

PALO ALTO NETWORKS PCCET STUDY GUIDE

January 2021

Palo Alto Networks, Inc.

www.paloaltonetworks.com

© 2021 Palo Alto Networks, Inc.

Aperture, AutoFocus, GlobalProtect, Palo Alto Networks, PAN-OS, Panorama, Traps, Cortex, and WildFire are trademarks of Palo Alto Networks, Inc.

Contents

Table of Contents

Palo Alto Networks PCCET Study Guide.....	14
Overview	14
Exam Format.....	14
How to Take This Exam.....	14
Preparation Resources.....	14
Exam Domain 1 — Fundamentals of Cybersecurity	16
1.1 Identify Web 2.0/3.0 applications and services	16
References	22
Knowledge Check.....	22
1.2 Recognize applications used to circumvent port-based firewalls.....	23
References	24
Knowledge Check.....	25
1.3 Summarize cloud computing challenges and best practices.....	26
Knowledge Check.....	28
1.4 Identify SaaS application risks	28
References	30
Knowledge Check.....	30
1.5 Recognize cybersecurity laws and regulations.....	31
Knowledge Check.....	33
1.6 High-profile cyberattack examples.....	33
References	36
Knowledge Check.....	36
1.7 Identify attacker profiles and motivations	36
References	37
Knowledge Check.....	37
1.8 Describe the modern cyberattack lifecycle	38
References	41
Knowledge Check.....	41
1.9 Classify malware types	42
Knowledge Check.....	46
1.10 List the differences between vulnerabilities and exploits.....	46
References	48

Knowledge Check.....	48
1.11 Categorize spamming and phishing attacks	49
References	50
Knowledge Check.....	50
1.12 Social Engineering	50
Knowledge Check.....	51
1.13 Cybersecurity Attacks.....	51
Spamming botnets.....	54
Distributed denial-of-service botnets	54
Financial botnets.....	55
References	56
Knowledge Check.....	56
1.14 Define the characteristics of advanced persistent threats	56
References	57
Knowledge Check.....	58
1.15 Recognize common Wi-Fi attacks	58
Wired Equivalent Privacy	59
Wi-Fi Protected Access (WPA/WPA2/WPA3)	59
Evil Twin	62
Jasager.....	62
SSLstrip.....	63
Emotet.....	65
References	65
Knowledge Check.....	65
1.16 Define perimeter-based network security	66
Knowledge Check.....	67
1.17 Explain Zero Trust design principles and architecture configuration.....	67
Core Zero Trust design principles	68
Zero Trust conceptual architecture	69
Key Zero Trust criteria and capabilities.....	71
Implementing a Zero Trust design	71
Knowledge Check.....	72
1.18 Define the capabilities of an effective product portfolio	73
Knowledge Check.....	75
1.19 Recognize Palo Alto Networks Strata, Prisma, and Cortex Technologies	75

Knowledge Check.....	77
Exam Domain 2 — The Connected Globe.....	78
2.1 Define the difference between hubs, switches, and routers.....	78
References:	79
Knowledge Check.....	79
2.2 Classify routed and routing protocols	79
Knowledge Check.....	81
2.3 Summarize area networks and topologies.....	82
Knowledge Check.....	86
2.4 Explain the purpose of the Domain Name System (DNS)	86
Knowledge Check.....	88
2.5 Identify categories of Internet of Things (IoT).....	89
References:	92
Knowledge Check.....	92
2.6 Review the structure of an IPv4/IPv6 address	93
Knowledge Check.....	99
2.7 Describe the purpose of IPv4 subnetting.....	100
Knowledge Check.....	102
2.8 Review the OSI and TCP/IP models	102
Knowledge Check.....	106
2.9 Explain the data encapsulation process.....	107
Knowledge Check.....	108
2.10 Classify various types of network firewalls	108
Packet filtering firewalls.....	108
Stateful packet inspection firewalls.....	109
Application firewalls.....	109
Knowledge Check.....	109
2.11 Compare intrusion detection and intrusion prevention systems	110
Knowledge Check.....	111
2.12 Define virtual private networks.....	111
Point-to-Point Tunneling Protocol	111
Layer 2 Tunneling Protocol	112
Secure Socket Tunneling Protocol	112
Microsoft Point-to-Point Encryption.....	112
OpenVPN.....	112
Internet Protocol Security.....	113

Secure Sockets Layer.....	113
Knowledge Check.....	114
2.13 Explain data loss prevention	115
Knowledge Check.....	115
2.14 Describe unified threat management	116
Knowledge Check.....	116
2.15 Define endpoint security basics	116
Knowledge Check.....	117
2.16 Compare signature and container-based malware protection.....	118
Signature-based anti-malware software	118
Container-based endpoint protection	120
Application allow lists	120
Anomaly detection.....	121
Anti-spyware software.....	121
References:	121
Knowledge Check.....	121
2.17 Recognize types of mobile device management.....	122
Knowledge Check.....	122
2.18 Explain the purpose of identify and access management.....	123
Directory services.....	123
Knowledge Check.....	124
2.19 Describe configuration management.....	124
Knowledge Check.....	125
2.20 Identify next-generation firewall features and capabilities	125
Application identification.....	128
App-ID traffic classification technology	129
App-ID: Addressing custom or unknown applications.....	130
App-ID in action: Identifying WebEx.....	132
Application identification and policy control.....	132
Application function control	133
Controlling multiple applications: Dynamic filters and groups.....	133
User identification.....	134
User-ID: Integrating user information and security policies.....	134
User-ID in action	135
Visibility into a user's activity.....	138

User-based policy control	139
Policy Optimizer	139
Content identification	139
Threat prevention	139
Stream-based malware scanning.....	140
Intrusion prevention	141
File and data filtering	141
Log correlation and reporting	142
Next-generation firewall deployment options	149
Physical appliances (PA-Series).....	149
Virtualized firewalls (VM-Series).....	150
K2-Series	150
IronSkillet	151
Palo Alto Networks Expedition (migration tool).....	152
Implementing Zero Trust with next-generation firewalls.....	153
Step 1: Define your protect surface.....	154
Step 2: Map the transaction flows.....	154
Step 3: Architect a Zero Trust network.....	155
Step 4: Create the Zero Trust policy	156
Step 5: Monitor and maintain the network.....	157
Knowledge Check.....	158
2.21 Compare NGFW four core subscription services	158
DNS Security service	158
Predict and block new malicious domains.....	159
Neutralize DNS tunneling.....	160
Simplify security with automation and replace standalone tools	161
URL Filtering service.....	161
Threat Prevention service (antivirus, anti-spyware, and vulnerability protection).....	162
Malware/antivirus protection.....	163
Command-and-control (spyware) protection.....	163
Vulnerability protection.....	164
Zero-day malware prevention (WildFire)	164
Behavior-based cyberthreat discovery	167

Threat prevention with global intelligence sharing	169
Integrated logging, reporting, and forensics	169
Knowledge Check.....	170
2.22 Define the purpose of network security management (Panorama)	170
Knowledge Check.....	175
Exam Domain 3 — Cloud technologies.....	177
3.1 Define the NIST cloud service and deployment models	177
Cloud Service Models.....	177
Cloud Deployment Models	178
Knowledge Check.....	178
3.2 Recognize and list cloud security challenges.....	179
Knowledge Check.....	183
3.3 Define the purpose of virtualization in cloud computing	184
Virtual machines	185
Thin virtual machines.....	185
Knowledge Check.....	186
3.4 Explain the purpose of containers in application deployment	186
VM-integrated containers.....	187
Containers.....	188
Containers as a Service	189
On-demand containers	190
Knowledge Check.....	191
3.5 Discuss the purpose of serverless computing	191
Knowledge Check.....	193
3.6 Compare the differences between DevOps and DevSecOps	193
CI/CD pipeline	194
DevOps and security	194
Knowledge Check.....	195
3.7 Explain governance and compliance related to deployment of SaaS applications.....	195
Knowledge Check.....	196
3.8 Describe traditional data security solution weaknesses	197
Knowledge Check.....	197
3.9 Compare east-west and north-south traffic protection.....	198
Knowledge Check.....	200
3.10 Recognize the four phases of hybrid data center security.....	201

Knowledge Check	202
3.11 Identify the four pillars of cloud application security [Prisma Cloud]	203
Cloud governance and compliance	205
Compute security	206
Network protection	206
Identity security	207
Knowledge Check	207
3.12 Describe the Prisma Access SASE architecture	208
Network-as-a-service layer	210
SD-WAN	210
Virtual private network	211
Zero Trust network access	212
Quality of Service	213
Security-as-a-service layer	213
DNS security	213
Firewall as a service	214
Threat prevention	214
Secure web gateway	215
Data loss prevention	215
Cloud access security broker	216
Knowledge Check	216
3.13 Compare sanctioned, tolerated, and unsanctioned SaaS applications	217
SaaS threat prevention	219
Data exposure visibility	219
Contextual data exposure control	219
Advanced document classification	220
Retroactive policy	220
Knowledge Check	221
Exam Domain 4 — Elements of Security Operations	222
4.1 The six essential elements of effective security operations	222
Knowledge Check	222
4.2 Describe the purpose of security information and event management (SIEM) and SOAR	223
Security orchestration, automation, and response	223
Knowledge Check	224

4.3 Describe the analysis tools used to detect evidence of a security compromise.....	225
Knowledge Check.....	225
4.4 Describe the features of Cortex XDR endpoint protection technology	226
Stop malware and ransomware.....	226
WildFire threat intelligence	227
Local analysis and machine learning.....	227
Behavioral Threat Protection.....	227
WildFire inspection and analysis.....	228
Block exploits and fileless threats.....	229
Pre-exploit protection.....	229
Technique-based exploit prevention	229
Kernel exploit prevention	230
Credential theft protection.....	230
Investigate and respond to attacks.....	231
Extending prevention beyond Windows environments	232
Cortex XDR for macOS	232
Cortex XDR for Android.....	232
Cortex XDR for Linux	232
Device control for secure USB access	233
Simple endpoint security management.....	233
Cloud-based management.....	234
Intuitive interface.....	234
Benefits of a connected platform	234
Native integration for fast investigation and response	234
Coordinated enforcement	236
Centralized logging across the platform	236
Cortex XDR technical architecture.....	237
Knowledge Check.....	237
4.5 Describe how Cortex XSOAR improves SOC efficiency and how Cortex Data Lake improves SOC visibility	238
Knowledge Check.....	239
4.6 Explain how AutoFocus gains threat intelligence for security analysis and response	239
Priority alerts and tags.....	241
Threat correlation	241

Actionable intelligence.....	242
Threat indicator sharing (MineMeld).....	242
Appendix A: Answers to Knowledge Check Questions	245
Exam Domain 1 — Fundamentals of Cybersecurity	245
1.1 Identify Web 2.0/3.0 applications and services.....	245
1.2 Recognize applications used to circumvent port-based firewalls	245
1.3 Summarize cloud computing challenges and best practices	246
1.4 Identify SaaS application security	246
1.5 Recognize cybersecurity laws and regulations	247
1.6 List recent high-profile cyberattack examples.....	247
1.7 Discover attacker profiles and motivations	247
1.8 Describe the modern cyberattack life-cycle	248
1.9 Classify malware types.....	249
1.10 List the differences between vulnerabilities and exploits	249
1.11 Categorize spamming and phishing attacks.....	250
1.12 Social Engineering	250
1.13 Cybersecurity Attacks	251
1.14 Define the characteristics of advanced persistent threats.....	251
1.15 Recognize common Wi-Fi attacks	251
1.16 Define perimeter-based network security.....	252
1.17 Explain Zero Trust design principles and architecture configuration	252
1.18 Define the capabilities of an effective product portfolio.....	253
1.19 Recognize Palo Alto Networks Strata, Prisma, and Cortex Technologies	253
Exam Domain 2 — The Connected Globe	254
2.1 Define the difference between hubs, switches, and routers.....	254
2.2 Classify routed and routing protocols.....	254
2.3 Summarize area networks and topologies	254
2.4 Explain the purpose of the Domain Name System (DNS)	255
2.5 Identify categories of Internet of Things (IoT)	255
2.6 Illustrate the structure of an IPv4/IPv6 address	256
2.7 Describe the purpose of IPv4 subnetting.....	257
2.8 Illustrate the OSI and TCP/IP models.....	257
2.9 Explain the data encapsulation process.....	258

2.10 Classify various types of network firewalls.....	258
2.11 Compare intrusion detection and intrusion prevention systems.....	259
2.12 Define virtual private networks	259
2.13 Explain data loss prevention (DLP)	260
2.14 Describe unified threat management.....	260
2.15 Define endpoint security basics.....	261
2.16 Compare signature and container-based malware protection	261
2.17 Recognize types of mobile device management	261
2.18 Explain the purpose of identify and access management	262
2.19 Describe configuration management	263
2.20 Identify next-generation firewall features and capabilities.....	263
2.21 Compare NGFW four core subscription services	263
2.22 Define the purpose of network security management (Panorama).....	264
Exam Domain 3 — Cloud technologies	264
3.1 Define the NIST cloud service and deployment models	264
3.2 Recognize and list cloud security challenges	265
3.3 Define the purpose of virtualization in cloud computing.....	266
3.4 Explain the purpose of containers in application deployment.....	266
3.5 Discuss the purpose of serverless computing.....	267
3.6 Compare the differences between DevOps and DevSecOps.....	267
3.7 Explain governance and compliance related to deployment of SaaS applications	268
3.8 Illustrate traditional data security solution weaknesses	268
3.9 Compare east-west and north-south traffic protection	268
3.10 Recognize the four phases of hybrid data center security	269
3.11 List the four pillars of cloud application security [Prisma Cloud]	269
3.12 Illustrate the Prisma Access SASE architecture.....	270
3.13 Compare sanctioned, tolerated and unsanctioned SaaS applications	270
Exam Domain 4 — Elements of Security Operations	270
4.1 List the six essential elements of effective security operations	270
4.2 Describe the purpose of security information and event management (SIEM) and SOAR	271
4.3 Describe the analysis tools used to detect evidence of a security compromise	271
4.4 Describe the features of Cortex XDR endpoint protection technology.....	272
4.5 Describe how Cortex XSOAR improves SOC efficiency and how Cortex Data Lake improves SOC visibility	272

Appendix B: Glossary	273
----------------------------	-----

Palo Alto Networks PCCET Study Guide

Welcome to the *Palo Alto Networks PCCET Study Guide*. The purpose of this guide is to help you prepare for your Palo Alto Networks Certified Cybersecurity Entry-level Technician (PCCET) exam and achieve your PCCET credential.

Overview

The PCCET certification validates the knowledge required for entry-level network security positions, whose technical requirements change as quickly as the technology upon which it is based. PCCET-certified individuals have detailed knowledge about the latest trends in networks-based cyberattacks and about cutting-edge technologies available to prevent the cyberattacks.

More information is available from the Palo Alto Networks public page at:

<https://www.paloaltonetworks.com/services/education/certification>

Exam Format

The test format is 75 multiple-choice items. Candidates will have 5 minutes to complete the Non-Disclosure Agreement (NDA), 90 minutes (1 hour, 30 minutes) to complete the questions, and 5 minutes to complete a survey at the end of the exam.

The approximate distribution of items by topic (*Exam Domain*) and topic weightings are shown in the following table.

Exam Domain	Weight (%)
Fundamentals of Cybersecurity	15%
The Connected Globe	25%
Cloud Technologies	30%
Elements of Security Operations	30%
Total	100%

How to Take This Exam

The exam is available through the third-party Pearson VUE testing platform. To register for the exam, please visit <https://home.pearsonvue.com/paloaltonetworks>.

Preparation Resources

The document is a compilation of key resources to guide exam preparation. These resources cover the material designated by the exam objectives. To study efficiently, focus on the

suggested topics listed for each resource. Be sure that you have a clear and complete understanding of these topics before you take the exam.

Exam Domain 1 — Fundamentals of Cybersecurity

The modern cybersecurity landscape is a rapidly evolving, hostile environment filled with advanced threats and increasingly sophisticated threat actors. This section describes computing trends that are shaping the cybersecurity landscape, application frameworks and *attack* (or *threat*) *vectors*, cloud computing and SaaS application security challenges, various information security and data protection regulations and standards, and some recent cyberattack examples.

Note: The terms “enterprise” and “business” are used throughout this guide to describe organizations, networks, and applications in general. The use of these terms is not intended to exclude other types of organizations, networks, or applications, and should be understood to include not only large businesses and enterprises but also small and medium-size businesses (SMBs), government, state-owned enterprises (SOEs), public services, military, healthcare, and nonprofits, among others.

Key Terms

- An *attack* (or threat) vector is a path or tool that an attacker uses to target a network.

1.1 Identify Web 2.0/3.0 applications and services

The nature of enterprise computing has changed dramatically over the past decade. Core business applications now are commonly installed alongside *Web 2.0* apps on a variety of *endpoints*, and networks that were originally designed to share files and printers now are used to collect massive volumes of data, exchange real-time information, transact online business, and enable global collaboration.

Many Web 2.0 apps are available as *software-as-a-service* (SaaS), web-based, or mobile apps that can be easily installed by end users or that can be run without installing any local programs or services on the endpoint. The use of Web 2.0 apps in the enterprise is sometimes referred to as *Enterprise 2.0*, although not all Web 2.0 apps are considered to be Enterprise 2.0 applications.

Key Terms

- **Web 2.0** is a term popularized by Tim O'Reilly and Dale Dougherty that unofficially refers to a new era of the World Wide Web, which is characterized by dynamic or user-generated content, interaction, and collaboration, and the growth of social media.
- An **endpoint** is a computing device such as a desktop or laptop computer, handheld scanner, internet of things (IoT) device or sensor (such as an autonomous vehicle, smart appliance, smart meter, smart TV, or wearable device), point-of-sale (POS) terminal, printer, satellite radio, security or videoconferencing camera, self-service kiosk, smartphone, tablet, or Voice over Internet Protocol (VoIP) phone. Although endpoints can include servers and network equipment, the term is generally used to describe end-user devices.
- The **internet of things (IoT)** is the network of physical smart objects that are embedded with electronics, software, sensors, and network connectivity to collect and share data.
- Voice over IP (VoIP), or IP telephony, is technology that provides voice communication over an IP-based network.

- **Software as a service (SaaS)** is a category of cloud computing services in which the customer is provided access to a hosted application that is maintained by the service provider.
- Enterprise 2.0 is a term introduced by Andrew McAfee and defined as “the use of emergent social software platforms within companies, or between companies and their partners or customers.”

Typical core business applications include:

- **Accounting software** is used to process and record accounting data and transactions such as accounts payable, accounts receivable, payroll, trial balances, and general ledger (GL) entries. Examples of accounting software include Intacct, Microsoft Dynamics AX and GP, NetSuite, QuickBooks, and Sage.
- **Business intelligence (BI) and business analytics software** consists of tools and techniques used to surface large amounts of raw unstructured data from a variety of sources (such as data warehouses and data marts). BI and business analytics software performs a variety of functions, including business performance management, data mining, event processing, and predictive analytics. Examples of BI and analytics software include IBM Cognos, MicroStrategy, Oracle Hyperion, and SAP.
- **Content management systems (CMS) and enterprise content management (ECM)** systems are used to store and organize files from a central management interface, with features such as indexing, publishing, search, workflow management, and versioning. Examples of CMS and ECM software include EMC Documentum, HP Autonomy, Microsoft SharePoint, and OpenText.
- **Customer relationship management (CRM)** software is used to manage an organization’s customer (or client) information, including lead validation, past sales, communication and interaction logs, and service history. Examples of CRM suites include Microsoft Dynamics CRM, Salesforce.com, SugarCRM, and ZOHO.
- **Database management systems (DBMS)** are used to administer databases, including the schemas, tables, queries, reports, views, and other objects that comprise a database. Examples of DBMS software include Microsoft SQL Server, MySQL, NoSQL, and Oracle Database.
- **Enterprise resource planning (ERP)** systems provide an integrated view of core business processes such as product and cost planning, manufacturing or service delivery, inventory management, and shipping and payment. Examples of ERP software include NetSuite, Oracle’s JD Edwards EnterpriseOne and PeopleSoft, and SAP.
- **Enterprise asset management (EAM)** software is used to manage an organization’s physical assets throughout their entire lifecycle, including acquisition, upgrade, maintenance, repair, replacement, decommissioning, and disposal. EAM is commonly implemented as an integrated module of ERP systems. Examples of EAM software include IBM Maximo, Infor EAM, and SAP.
- **Supply chain management (SCM)** software is used to manage supply chain transactions, supplier relationships, and various business processes, such as purchase order processing, inventory management, and warehouse management. SCM software is commonly integrated with ERP systems. Examples of SCM software include Fishbowl Inventory,

Freightview, Infor Supply Chain Management, and Sage X3.

- **Web content management (WCM)** software is used to manage website content, including administration, authoring, collaboration, and publishing. Examples of web content management software include Drupal, IBM FileNet, Joomla, and WordPress.

Common Web 2.0 apps and services (many of which also are SaaS apps) include:

- **File sync and sharing services** are used to manage, distribute, and provide access to online content, such as documents, images, music, software, and video. Examples include Apple iCloud, Box, Dropbox, Google Drive, Microsoft OneDrive, Spotify, and YouTube.
- **Instant messaging (IM)** is used to exchange short messages in real time. Examples include Facebook Messenger, Skype, Snapchat, and WhatsApp.
- **Microblogging** web services allow a subscriber to broadcast short messages to other subscribers. Examples include Tumblr and Twitter.
- **Office productivity suites** consist of cloud-based word processing, spreadsheet, and presentation software. Examples include Google Apps and Microsoft Office 365.
- **Remote access software** is used for remote sharing and control of an endpoint, typically for collaboration or troubleshooting. Examples include LogMeIn and TeamViewer.
- **Remote team meeting software** is used for audio conferencing, video conferencing, and screen sharing. Examples include Adobe Connect, Microsoft Teams, and Zoom.
- **Social curation** shares collaborative content about particular topics. Social bookmarking is a type of social curation. Examples include Cogenz, Instagram, Pinterest, and Reddit.
- **Social networks** are used to share content with business or personal contacts. Examples include Facebook, Google+, and LinkedIn.
- **Web-based email** is an internet email service that typically is accessed via a web browser. Examples include Gmail, Outlook.com, and Yahoo! Mail.
- **Wikis** enable users to contribute, collaborate, and edit site content. Examples include Socialtext and Wikipedia.

According to research from McKinsey & Company and the Association for Information and Image Management (AIIM), many organizations are recognizing significant benefits from the use of Enterprise 2.0 applications and technologies, including better collaboration, increased knowledge sharing, and reduced expenses (for example, for travel, operations, and communications). Thus, enterprise infrastructures (systems, applications, and networks) are rapidly converging with personal and Web 2.0 technologies and apps, making definition of where the internet begins and the enterprise infrastructure ends practically impossible. This convergence is being driven by several important trends, including:

- **Cloud computing:** Cloud computing now is more pervasive than ever. According to the *RightScale 2019 State of the Cloud Report* from Flexera, *public* and *private cloud* adoption is now at 94 percent for enterprises (1,000+ employees) and SMBs (fewer than 1,000 employees), and those companies run a majority of their workloads (about 79 percent) in the cloud. Also, 84 percent of enterprises and 61 percent of SMBs have a *multicloud* strategy leveraging an average of nearly five public and/or private clouds. Similarly, the Enterprise Strategy Group RightScale report found that production server workloads increasingly run on a mix of cloud-ready architectures, including *virtual*

machines (34 percent), *containers* (23 percent), and *serverless* (15 percent).

- **Consumerization:** The process of consumerization occurs as end users increasingly find personal technology and apps that are more powerful or capable, more convenient, less expensive, quicker to install, and easier to use than enterprise IT solutions.
- **Bring your own device (BYOD):** Closely related to consumerization is BYOD, a policy trend in which organizations permit end users to use their own personal devices, primarily smartphones and tablets, for work-related purposes. BYOD relieves organizations from the cost of providing equipment to employees but creates a management challenge because of the vast number and type of devices that must be supported.
- **Bring your own apps (BYOA):** Web 2.0 apps on personal devices are increasingly being used for work-related purposes. As the boundary between work and personal lives becomes less distinct, end users are practically demanding that these same apps be available to them in their workplaces.
- **Mobile computing:** The appetite for rapid, on-demand access to apps and data from anywhere, at any time, on any device is growing. There are approximately more than 8 billion mobile subscriptions worldwide, and total mobile monthly data traffic (including audio, file sharing, social networking, software uploads and downloads, video, web browsing, and other sources) is about 40 exabytes!
- **5G cellular wireless:** Each new generation of wireless connectivity has driven many innovations, and the move to the fifth-generation of cellular wireless (5G) is well under way, with mobile network operators announcing 5G pilot trials and commercialization plans as they expand their geographic footprints. The latest 5G applications are consumer-driven, help governments implement 5G for smart city rollouts, and bring 5G service experience to the public by seamlessly covering major sports events, among others. The promise of intelligent connectivity will drive massive adoption of the internet of things (IoT) and could transform industries. We're now describing the Enterprise of Things: networked industrial devices, sensors, networks, and apps that connect businesses. As today's enterprises undergo digital transformation, they'll be looking for 5G networks to drive true Industry 4.0 transformation, leveraging automation, *artificial intelligence* (AI), and IoT.
- **Content delivery networks (CDN):** Enterprises are using *content delivery networks* such as Akamai, Amazon CloudFront, and Limelight networks to distribute their web products and services to customers worldwide. The use of CDNs will become even more prominent as 5G adoption continues to expand.

Key Terms

- **Public cloud** is a cloud computing deployment model that consists of a cloud infrastructure that is open to use by the general public.
- **Private cloud** is a cloud computing model that consists of a cloud infrastructure that is used exclusively by a single organization.
- **Multicloud** is an enterprise cloud environment (or strategy) consisting of two or more public and/or private clouds.
- A **virtual machine (VM)** is an emulation of a physical (hardware) computer system, including CPU, memory, disk, operating system, and network interfaces.

- A **container** is a standardized, executable, and lightweight software code package that contains all the necessary components to run a given application (or applications) – including code, runtime, system tools and libraries, and configuration settings – in an isolated and virtualized environment to enable agility and portability of the application workloads.
- **Serverless** generally refers to an operational model in cloud computing in which applications rely on managed services that abstract away the need to manage, patch, and secure infrastructure and virtual machines. Serverless applications rely on a combination of managed cloud services and function-as-a-service (FaaS) offerings.
- **Artificial intelligence (AI)** is the ability of a system or application to interact with and learn from its environment, and to automatically perform actions accordingly, without requiring explicit programming.
- A **content delivery network (CDN)** is a network of distributed servers that distributes cached webpages and other static content to a user from a geographic location that is physically closest to the user.

Web 3.0 will transform the enterprise computing landscape over the next decade and beyond.

Web 3.0, as defined on ExpertSystem.com, is characterized by five main features:

- **Semantic web:** “The semantic web improves web technologies in order to generate, share and connect through search and analysis based on the ability to understand the meaning of words, rather than on keywords and numbers.”
- **Artificial intelligence:** “Computers can understand information like humans in order to provide faster and more relevant results.”
- **3D graphics:** 3D design is “used extensively in websites and services.”
- **Connectivity:** “Information is more connected thanks to semantic metadata. As a result, the user experience evolves to another level of connectivity that leverages all the available information.”
- **Ubiquity:** “Content is accessible by multiple applications, every device is connected to the web, [and] the services can be used everywhere.”

Key Terms

- **Web 3.0**, as defined on ExpertSystem.com, is characterized by the following five characteristics: semantic web, artificial intelligence, 3D graphics, connectivity, and ubiquity.

For many, the vision of Web 3.0 is to return the power of the internet to individual users, in much the same way that the original Web 1.0 was envisioned. To some extent, Web 2.0 has become shaped and characterized, if not controlled, by governments and large corporations dictating the content that is made available to individuals and raising many concerns about individual security, privacy, and liberty. Specific technologies that are evolving and beginning to form the foundations of Web 3.0 include:

- AI and *machine learning* are two related technologies that enable systems to understand and act on information in much the same way that a human might use information. AI acquires and applies knowledge to find the most optimal solution, decision, or course of action. Machine learning is a subset of AI that applies algorithms to large datasets to

discover common patterns in the data that then can be used to improve the performance of the system.

- *Blockchain* is essentially a data structure containing transactional records (stored as blocks) that ensures security and transparency through a vast, decentralized peer-to-peer network with no single controlling authority. *Cryptocurrency*, such as Bitcoin, is an example of a blockchain application.
- *Data mining* enables patterns to be discovered in large datasets through the use of machine learning, statistical analysis, and database technologies.
- *Mixed reality* includes technologies such as *virtual reality* (VR), *augmented reality* (AR), and *extended reality* (XR) that deliver an immersive and interactive physical and digital sensory experience in real time.
- *Natural language search* is the ability to understand human spoken language and context to find information, as opposed to a *Boolean* search, for example.

Key Terms

- ***Machine learning*** is a subset of AI that applies algorithms to large datasets to discover common patterns in the data that then can be used to improve the performance of the system.
- ***Blockchain*** is essentially a data structure containing transactional records (stored as blocks) that ensures security and transparency through a vast, decentralized peer-to-peer network with no single controlling authority. Cryptocurrency is an internet-based financial instrument that uses blockchain technology.
- ***Data mining*** enables patterns to be discovered in large datasets by using machine learning, statistical analysis, and database technologies.
- ***Mixed reality (MR)*** includes technologies such as virtual reality (VR), augmented reality (AR), and ***extended reality (XR)*** that deliver an immersive and interactive physical and digital sensory experience in real time. ***Virtual reality*** is a simulated experience. ***Augmented reality*** enhances a real-world environment with virtual objects. ***Extended reality*** broadly covers the spectrum from physical to virtual reality with various degrees of partial sensory experiences to fully immersive experiences.
- ***Natural language search*** is the ability to understand human spoken language and context to find information, as opposed to a Boolean search, for example. ***Boolean*** refers to a system of algebraic notation used to represent logical propositions.

Organizations often are unsure of the potential business benefits, and the inherent risks, of new trends such as Web 2.0 and Web 3.0, and therefore either:

- Implicitly allow personal technologies and apps by simply ignoring their use in the workplace, or
- Explicitly prohibit their use but then are unable to effectively enforce such policies with traditional firewalls and security technologies

Regardless of whether personal technologies and apps are implicitly allowed (and ignored) or explicitly prohibited (but not enforced), the adverse results of ineffective policies include:

- **Lost productivity** because users must either find ways to integrate these unsupported

technologies and apps (when allowed) with the enterprise infrastructure or use applications that are unfamiliar to them or less efficient (when personal technologies and apps are prohibited)

- **Potential disruption of critical business operations** because of underground or back-channel processes that are used to accomplish specific workflow tasks or to circumvent controls, and are known to only a few users and are fully dependent on their use of personal technologies and apps
- **Exposure to additional risks** for the enterprise due to unknown, and therefore unpatched, vulnerabilities in personal technologies and apps, and a perpetual wait-and-see game between employees that circumvent controls (for example, with external proxies, encrypted tunnels, and remote desktop applications) and security teams that manage these risks
- **Penalties can be levied against organizations for non-compliance of regulations from groups such as** the EU General Data Protection Regulation (GDPR), the U.S. Health Insurance Portability and Accountability Act (HIPAA), and the Payment Card Industry Data Security Standard (PCI DSS).

As these trends continue to blur the distinction between the internet and the enterprise network, new security challenges and risks emerge, including:

- New application threat vectors
- Turbulence in the cloud
- SaaS application risks

References

- “Flexera 2020 State of the Cloud Report .” <https://www.flexera.com/2019-cloud-report>.
- Cahill, Doug. “Leveraging DevSecOps to Secure Cloud-native Applications.” Enterprise Strategy Group. December 9, 2019.
<https://www.esg-global.com/research/esg-master-survey-results-leveraging-devsecops-to-secure-cloud-native-applications>.
- “Ericsson Mobility Report, November 2020.” Ericsson. November 2020.
<https://www.ericsson.com/en/mobility-report/reports/november-2019>.
- Expert System. 2020. “The 5 Main Features of Web 3.0.” Accessed April 30, 2020.
<http://www.expertsystem.com/web-3-0/>.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. **True or False:** Business intelligence (BI) software consists of tools and techniques used to surface large amounts of raw unstructured data to perform a variety of tasks, including data mining, event processing, and predictive analytics.

2. **True or False:** The process in which end users find personal technology and apps that are more powerful or capable, more convenient, less expensive, quicker to install, and easier to use than enterprise IT solutions is known as *consumerization*.
3. Which action is associated with Web 1.0?
 - A. checking CNN's website for news
 - B. posting on Facebook
 - C. adding information to Wikipedia
 - D. asking Apple's Siri a question
4. Which action is associated with Web 3.0?
 - A. checking CNN's web site for news
 - B. posting on Facebook
 - C. adding information to Wikipedia
 - D. asking Apple's Siri a question
5. Gmail is associated with which cloud computing model?
 - A. SaaS
 - B. PaaS
 - C. IaaS
 - D. DaaS

1.2 Recognize applications used to circumvent port-based firewalls

Exploitation of vulnerabilities in core business applications has long been a predominant attack vector, but threat actors are constantly developing new tactics, techniques, and procedures (TTPs). Enterprise security teams that want to effectively protect their networks and cloud environment must not only manage the risks associated with a relatively limited, known set of core applications but also manage the risks associated with an ever-increasing number of known and unknown cloud-based applications. The cloud-based application consumption model has revolutionized the way organizations do business, and applications such as Microsoft Office 365 and Salesforce are being consumed and updated entirely in the cloud.

Classification of applications as either “good” (allowed) or “bad” (blocked) in a clear and consistent manner has also become increasingly difficult. Many applications are clearly good (low risk, high reward) or clearly bad (high risk, low reward), but most are somewhere in between, depending on how the application is being used.

For example, many organizations use social networking applications such as Facebook for important business functions such as recruiting, research and development, marketing, and consumer advocacy. However, these same applications can be used to leak sensitive information or cause damage to an organization’s public image, whether inadvertently or maliciously.

Many applications are designed to circumvent traditional port-based firewalls so that they can be easily installed and accessed on any device, anywhere and anytime, using techniques such as:

- **Port hopping**, in which ports and protocols are randomly changed during a session.
- **Using non-standard ports**, such as running Yahoo! Messenger over TCP port 80 (HTTP) instead of the standard TCP port for Yahoo! Messenger (5050).

- **Tunneling within commonly used services**, such as when peer-to-peer (P2P) file sharing or an instant messenger (IM) client such as Meebo is running over HTTP.
- **Hiding within SSL encryption**, which masks the application traffic, for example, over TCP port 443 (HTTPS). More than half of all web traffic is now encrypted.

Many traditional client-server business applications also are being redesigned for web use and employ these same techniques for ease of operation while minimizing disruptions. For example, both *remote procedure call* (RPC) and Microsoft SharePoint use port hopping because it is critical to how the protocol or application (respectively) functions, rather than as a means to evade detection or enhance accessibility.

Key Terms

- **Remote procedure call (RPC)** is an inter-process communication (IPC) protocol that enables an application to be run on a different computer or network, rather than the local computer on which it is installed.

Applications also can be hijacked and repurposed by malicious actors, such as was done in the 2014 Heartbleed attack. According to an April 2014 Palo Alto Networks article:

- “[T]he story of Heartbleed’s impact has been focused on the compromise of HTTPS-enabled websites and web applications, such as Yahoo!, Google, Dropbox, Facebook, online banking, and the thousands of other vulnerable targets on the web. These are of huge impact, but those sites will all be updated quickly....
- “For security professionals, [the initial Heartbleed attack] is only the tip of the iceberg. The vulnerability puts the tools once reserved for truly advanced threats into the hands of the average attacker – notably, the ability to breach organizations, and move laterally within them. Most enterprises of even moderate size do not have a good handle on what services they are running internally using SSL encryption. Without this baseline knowledge, it is extremely difficult for security teams to harden their internal attack surface against the credential and data stealing tools Heartbleed enables. All footholds for the attacker with an enterprise network are suddenly of equal value.”

As new applications are increasingly web-enabled and browser-based, HTTP and HTTPS now account for about two-thirds of all enterprise network traffic. Traditional port-based firewalls and other security infrastructure cannot distinguish whether these applications, riding on HTTP and HTTPS, are being used for legitimate business purposes.

References

- Simkin, Scott. “Real-world Impact of Heartbleed (CVE-2014-0160): The Web is Just the Start.” Palo Alto Networks. April 2014.
<https://researchcenter.paloaltonetworks.com/2014/04/real-world-impact-heartbleed-cve-2014-0160-web-just-start>.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which two port numbers are associated with HTTP? (Choose two.)
 - A. 80
 - B. 389
 - C. 8080
 - D. 25
2. Which port number is associated with HTTPS?
 - A. 21
 - B. 23
 - C. 443
 - D. 53
3. Which port is used for encrypted communication?
 - A. 22
 - B. 80
 - C. 389
 - D. 25
4. Which protocol distinguishes between applications using port numbers?
 - A. TCP
 - B. ICMP
 - C. ESP
 - D. UDP
5. How do attackers prevent port scans from being noticed by monitoring software?
 - A. Scan ports so quickly it is finished before it can be detected and stopped
 - B. scan ports so slowly it looks like random attempts to connect, rather than a concerted attack
 - C. scan ports from an internal device
 - D. scan ports through WiFi instead of Ethernet
6. Which potentially risky attribute is the most serious?
 - A. Pervasive
 - B. malware
 - C. excessive bandwidth
 - D. tunnels
7. Which one of these applications can be used as a tunnel for other applications?
 - A. Telnet
 - B. SMTP
 - C. HTTPS
 - D. SSH
8. Which two devices or systems require the configuration of non-standard ports to be able to use an application on a non-standard port? (Choose two.)
 - A. firewall
 - B. client
 - C. server
 - D. operating system
 - E. certificate

1.3 Summarize cloud computing challenges and best practices

Cloud computing technologies enable organizations to evolve their data centers from a hardware-centric architecture where applications run on dedicated servers to a dynamic and automated environment where pools of computing resources are available on demand, to support application workloads that can be accessed anywhere, anytime, and from any device.

However, many organizations have been forced into significant compromises regarding their public and private cloud environments, trading function, visibility, and security for simplicity, efficiency, and agility. If an application hosted in the cloud isn't available or responsive, network security controls, which all too often introduce delays and outages, typically are "streamlined" out of the cloud design. Cloud security trade-offs often include:

- Simplicity or function
- Efficiency or visibility
- Agility or security

Many of the features that make cloud computing attractive to organizations also run contrary to network security best practices. For example:

- **Cloud computing doesn't mitigate existing network security risks:** The security risks that threaten your network today don't go away when you move to the cloud. The shared responsibility model defines who (customer and/or provider) is responsible for what (related to security) in the public cloud. In general terms, the cloud provider is responsible for security of the cloud, including the physical security of the cloud data centers, and for foundational networking, storage, compute, and virtualization services. The cloud customer is responsible for security in the cloud, which is further delineated by the cloud service model. For example, in an infrastructure-as-a-service (IaaS) model, the cloud customer is responsible for the security of the operating systems, middleware, runtime, applications, and data. In a platform-as-a-service (PaaS) model, the cloud customer is responsible for the security of the applications and data; the cloud provider is responsible for the security of the operating systems, middleware, and runtime. In a SaaS model, the cloud customer is responsible only for the security of the data, and the cloud provider is responsible for the full stack, from the physical security of the cloud data centers to the application.
- **Separation and segmentation are fundamental to security; the cloud relies on shared resources:** Security best practices dictate that mission-critical applications and data be separated in secure segments on the network, based on Zero Trust principles. Zero Trust in a physical network is relatively straightforward, using firewalls and policies based on application and user identity. In a cloud environment, direct communication between virtual machines (VMs) within a server host occurs constantly, in some cases, across varied levels of trust, thus making segmentation a challenge. Mixed levels of trust, combined with a lack of intra-host traffic visibility by virtualized port-based security offerings, may weaken your security posture.
- **Security deployments are process-oriented; cloud computing environments are dynamic:** The creation or modification of your cloud workloads often can be done in minutes, yet the security configuration for this workload may take hours, days, or weeks.

Security delays aren't designed to be burdensome; they're the result of a process that is designed to maintain a strong security posture. Policy changes need to be approved, the appropriate firewalls need to be identified, and the relevant policy updates need to be determined. In contrast, the cloud is a highly dynamic environment, with workloads being added, removed, and changed rapidly and constantly. The result is a disconnect between security policy and cloud workload deployments, which leads to a weakened security posture. Thus, security technologies and processes must be able to auto scale to take advantage of the elasticity of the cloud while maintaining a strong security posture.

- **Infrastructure as code automates the ability to rapidly scale secure configurations and misconfigurations:** Organizations are rapidly adopting *infrastructure as code* (IaC) as they attempt to automate more of their build processes in the cloud. IaC has become popular because it enables immutable infrastructure, which is the ability to standardize and freeze many parts of cloud infrastructure, so results are consistent and predictable when running code every time. For example, if you know that every node in your cloud has the same virtual networking configuration, your chances of having networking-related app problems decreases significantly. And although IaC offers security teams a predictable way to enforce security standards, this powerful capability remains largely unharnessed. The challenge for organizations is ensuring that IaC configurations are consistently enforced across multiple public cloud accounts, providers, and software development pipelines.
- **Data can be quickly and easily consumed by applications and users in the cloud.** However, more sophisticated threats and new privacy regulations have raised the stakes on data security everywhere, including in the cloud. Data loss prevention (DLP) provides visibility across all sensitive information, everywhere and at all times, thus enabling strong protective actions to safeguard data from threats and violations of corporate policies. But legacy standalone DLP technologies are not efficient for today's cloud-driven world. The technology is built on old core engines specifically for on-premises environments and has not changed significantly in the last decade. Legacy DLP providers are adjusting to cloud initiatives simply by extending their existing solutions to cloud environments, which creates a gap in visibility and management and minimizes policy control. Organizations that have spent enormous amounts of time and money to build a custom DLP architecture to fit their network environments now are struggling with complexity and poor usability as they try to "add in" their cloud apps, data, and public cloud instances. Security teams also face the challenge of using effective but complex DLP technologies while balancing the constant work that comes with them, from ongoing policy tuning to exhausting incident triage cycles and incident response decisions. These teams are drowning in too many alerts – most of which turn out to be false positives – and often respond to a data incident too late.

Key Terms

- **Infrastructure as code (IaC)** is a DevOps process in which developers or IT operations teams can programmatically provision and manage the infrastructure stack (such as virtual machines, networks, and connectivity) for an application in software.
- **DevOps** is the culture and practice of improved collaboration between application development and IT operations teams.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. If you are responsible for the application's security, but not the operating system's security, which cloud computing service model are you using?
 - A. your own data center
 - B. IaaS
 - C. PaaS
 - D. SaaS
2. Which kind of security always is the responsibility of the cloud customer?
 - A. physical security
 - B. network security
 - C. application security
 - D. data security

1.4 Identify SaaS application risks

Data is located everywhere in today's enterprise networks, including in many locations that are not under the organization's control. New data security challenges emerge for organizations that permit SaaS use in their networks.

With SaaS applications, data often is stored where the application resides, in the cloud. Thus, the data no longer is under the organization's control and visibility often is lost. SaaS vendors do their best to protect the data in their applications, but it is ultimately not their responsibility. Just as in any other part of the network, the IT team is responsible for protecting and controlling the data, regardless of its location.

Because of the nature of SaaS applications, their use is difficult to control – or have visibility into – after the data leaves the network perimeter. This lack of control presents a significant security challenge: End users now are acting as their own “shadow” IT department, with control over the SaaS applications they use and how they use them. But they have little or no understanding of the inherent data exposure and threat insertion risks of SaaS, including:

- **Malicious outsiders:** The most common source of breaches for networks overall is also a critical concern for SaaS security. The SaaS application becomes a new threat vector and distribution point for malware used by external adversaries. Some malware will even target the SaaS applications themselves, for example, by changing their shares to “public” so that the data can be retrieved by anyone.

- **Accidental data exposure:** Well-intentioned end users often are untrained and unaware of the risks their actions pose in SaaS environments. Because SaaS applications are designed to facilitate easy sharing, data often becomes unintentionally exposed. Accidental data exposure by end users is surprisingly common and includes:
 - **Accidental share:** A share meant for a particular person is accidentally sent to the wrong person or group. Accidental shares are common when a name auto-fills, or is mistyped, which may cause an old email address or the wrong name, group, or even an external user to have access to the share.
 - **Promiscuous share:** A legitimate share is created for a user, but that user then shares with other people who shouldn't have access. Promiscuous shares often result in the data being publicly shared because it can go well beyond the control of the original owner.
 - **Ghost (or stale) share:** A share remains active for an employee or vendor that no longer is working with the company, or no longer should have access. If there is no visibility and control of the shares, the tracking and fixing of shares to ensure that they are still valid is difficult.
- **Malicious insiders:** The least common but real SaaS application risk is the internal user that maliciously shares data for theft or revenge purposes. For example, an employee that is leaving the company might set a folder's share permissions to "public" or share it with an external email address to later steal the data from a remote location.

The average employee uses at least eight applications, but as employees add and use more SaaS apps that connect to the corporate network, the risk of sensitive data being stolen, exposed, or compromised increases. You must consider the security of the apps, which data they have access to, and how employees are using them. Here are several best practices for securing sensitive data in SaaS apps:

- **Discover employee use of unvetted SaaS applications.** As SaaS adoption rapidly expands, manual discovery of SaaS use in the enterprise becomes increasingly untenable. Instead, to quickly identify risk and extend appropriate security controls, your organization needs an automated way to continuously discover all SaaS applications in use by employees.
- **Protect sensitive data in SaaS applications.** Implement advanced DLP capabilities using an *application programming interface* (API)-based approach to scan for sensitive information stored within SaaS applications. Compared to inline, an API-based approach provides deeper context and allows for automatic remediation of data-risk violations.
- **Secure your weakest link: SaaS users.** Start with user training and interactive coaching to identify and help change risky behavior. Then, give your security team tools to help them monitor and govern SaaS application permissions. Look for a solution with robust access controls, including:
 - *Multi-factor authentication* (MFA)
 - *Role-based access control* (RBAC)
 - Protection for administrative accounts
 - User access monitoring that can detect malicious or risky behavior

- **Enforce compliance requirements in the cloud.** Create and enforce a consistent, granular security policy for compliance that covers all SaaS applications used by your organization. Security policy enforcement should include automating compliance and reporting for all relevant regulatory requirements across your SaaS applications.
- **Reduce risk from unmanaged devices.** Deploy a security product that differentiates access between managed and unmanaged devices to protect against the increased security risks inherent with personal devices. For instance, you could allow downloads to managed devices but block them for unmanaged devices while enabling access to core functionality.
- **Control data sharing from SaaS applications.** Use an inline approach to gain visibility into sensitive data flowing into high-risk, unsanctioned applications. Create and enforce DLP policies that control data-sharing activities in the SaaS applications employees use.
- **Stop SaaS-borne malware threats.** Implement threat prevention technology that works with your SaaS security to block malware and stop threats from spreading through SaaS applications, thus eliminating a new insertion point for malware.

Key Terms

- **An application programming interface (API)** is a set of routines, protocols, and tools for building software applications and integrations.
- **Multi-factor authentication (MFA)** refers to any authentication mechanism that requires two or more of the following factors: something you know, something you have, something you are.
- **Role-based access control (RBAC)** is a method for implementing discretionary access controls in which access decisions are based on group membership, according to organizational or functional roles.

References

- “2019 SaaS Trends.” Blissfully. 2019. <https://blissfully.com/saas-trends/2019-annual/>.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Where is your data typically stored in a SaaS application?
 - A. in your data center, in a database under your control
 - B. in your data center, in a database controlled by the SaaS provider
 - C. in the cloud, in a database you control
 - D. in the cloud, in a database controlled by the SaaS provider
2. Who is responsible for the security settings in an enterprise SaaS application? (choose the best answer)
 - A. SaaS provider
 - B. IT administrator of the customer organization
 - C. user, typically an employee of the customer organization
 - D. Both IT administrators and users
3. When is it impossible to secure SaaS data?
 - A. when a user uses an unmanaged device to access an unsanctioned SaaS instance

- B. when a user uses a managed device to access an unsanctioned SaaS instance
- C. when a user uses an unmanaged device to access a sanctioned SaaS instance
- D. when a user uses a managed device to access a sanctioned SaaS instance

1.5 Recognize cybersecurity laws and regulations

A rapidly and ever-increasing number of international, multinational, federal, regional, state, and local laws and regulations mandate numerous cybersecurity and data protection requirements for businesses and organizations worldwide. Various industry directives, such as the Payment Card Industry Data Security Standard (PCI DSS), also establish their own cybersecurity standards and best practices for businesses and organizations operating under their purview.

This complex regulatory environment is further complicated by the fact that many laws and regulations are obsolete, ambiguous, not uniformly supported by international communities, and/or inconsistent (with other applicable laws and regulations), thus requiring legal interpretation to determine relevance, intent, and/or precedence. As a result, businesses and organizations in every industry struggle to achieve and maintain compliance.

You should understand that compliance and security are not the same thing. An organization can be fully compliant with the various cybersecurity laws and regulations that are applicable for that organization, yet still not be secure. Conversely, an organization can be secure yet not be fully compliant. As if to underscore this point, the compliance and security functions in many organizations are separate.

Pertinent examples (neither comprehensive nor exhaustive) of current cybersecurity laws and regulations include:

- **Australian Privacy Principles:** The Privacy Act 1988 establishes standards for collecting and handling personal information, referred to as the Australian Privacy Principles (APP).
- **California Consumer Privacy Act (CCPA):** This privacy rights and consumer protection statute for residents of California was enacted in 2018 and became effective on January 1, 2020.
- **Canada Personal Information Protection and Electronic Documents Act (PIPEDA):** PIPEDA defines individual rights with respect to the privacy of their personal information and governs how private sector organizations collect, use, and disclose personal information in the course of business.
- **EU Network and Information Security (NIS) Directive:** An EU directive that imposes network and information security requirements for banks, energy companies, healthcare providers, and digital service providers, among others.
- **European Union (EU) General Data Protection Regulation (GDPR):** The GDPR applies to any organization that does business with EU residents. It strengthens data protection for EU residents and addresses the export of personal data outside the EU.
- **North American Electric Reliability Corporation (NERC) Critical Infrastructure Protection (CIP):** NERC CIP defines cybersecurity standards to protect the physical and cyber assets necessary to operate the bulk electric system (BES) – the power grid – in the United States and Canada. The standards are mandatory for all BES-generating facilities

with different criteria based on a tiered classification system (high, medium, or low impact).

- **Payment Card Industry Data Security Standard (PCI DSS):** PCI DSS applies to any organization that transmits, processes, or stores payment card (such as debit and credit cards) information. PCI DSS is mandated and administered by the PCI Security Standards Council (SSC) comprising Visa, MasterCard, American Express, Discover, and JCB.
- **U.S. Cybersecurity Enhancement Act of 2014:** This act provides an ongoing, voluntary public-private partnership to improve cybersecurity and to strengthen cybersecurity research and development, workforce development and education, and public awareness and preparedness.
- **U.S. Cybersecurity Information Sharing Act (CISA):** This act enhances information sharing about cybersecurity threats by allowing internet traffic information to be shared between the U.S. government and technology and manufacturing companies.
- **U.S. Federal Exchange Data Breach Notification Act of 2015:** This act further strengthens HIPAA by requiring health insurance exchanges to notify individuals whose personal information has been compromised as the result of a data breach as soon as possible but no later than 60 days after breach discovery.
- **U.S. Federal Information Security Modernization Act (FISMA):** Known as the Federal Information Security Management Act prior to 2014, FISMA implements a comprehensive framework to protect information systems used in federal government agencies.
- **U.S. Gramm-Leach-Bliley Act (GLBA):** Also known as the Financial Services Modernization Act of 1999, relevant provisions of GLBA include the Financial Privacy Rule and the Safeguards Rule, which require financial institutions to implement privacy and information security policies to safeguard the non-public personal information of clients and consumers.
- **U.S. Health Insurance Portability and Accountability Act (HIPAA):** The HIPAA Privacy Rule establishes national standards to protect individuals' medical records and other personal health information. It requires appropriate safeguards for *protected health information* (PHI) and applies to *covered entities* and their business associates.
- **U.S. National Cybersecurity Protection Advancement Act of 2015:** This act amends the Homeland Security Act of 2002 to enhance sharing of information related to cybersecurity risks and strengthens privacy and civil liberties protections.
- **U.S. Sarbanes-Oxley (SOX) Act:** This act was enacted to restore public confidence following several high-profile corporate accounting scandals, most notably Enron and Worldcom. SOX increases financial governance and accountability in publicly traded companies. Section 404 of SOX specifically addresses internal controls, including requirements to safeguard the confidentiality, integrity, and availability of IT systems.

Key Terms

- ***Protected health information (PHI)*** is defined by HIPAA as information about an individual's health status, provision of healthcare, or payment for healthcare that includes identifiers such as names, geographic identifiers (smaller than a state), dates, phone and fax numbers, email addresses, Social Security numbers, medical record numbers, and photographs.

- ***A covered entity*** is defined by HIPAA as a healthcare provider that electronically transmits PHI. These entities include doctors, clinics, psychologists, dentists, chiropractors, nursing homes, pharmacies, a health plan (such as a health insurance company, health maintenance organization, company health plan, or government program, including Medicare, Medicaid, military and veterans' healthcare), or a healthcare clearinghouse.
- ***A zero-day*** threat is the window of vulnerability that exists from the time a new (unknown) threat is released until security vendors release a signature file or security patch for the threat.
- ***Personally identifiable information (PII)*** is defined by the U.S. National Institute of Standards and Technology (NIST) as "any information about an individual maintained by an agency, including (1) any information that can be used to distinguish or trace an individual's identity ... and (2) any other information that is linked or linkable to an individual..."
Examples of PII include:
 - *Name* (such as full name, maiden name, mother's maiden name, or alias)
 - *Personal identification number* (such as Social Security number, passport number, driver's license number, and financial account number or credit card number)
 - *Address information* (such as street address or email address)
 - *Telephone numbers* (such as mobile, business, and personal numbers)
 - *Personal characteristics* (such as photographs, X-rays, fingerprints, and biometric data)
 - *Information about personally owned property* (such as vehicle registration number and title information)
 - *Information that is linked or linkable to any of the preceding PII examples* (such as birthdate, birthplace, and religion, and employment, medical, education, and financial records)

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. **True or False.** An organization can be compliant with all applicable security and privacy regulations for its industry yet still not be secure.
2. Which three data fields are considered personally identifiable information (PII)? (select three)
 - A. unique identification number (such as driver's license number)
 - B. honorific (Mr., Mrs., Dr., etc.)
 - C. telephone number
 - D. blood pressure (when not connected to other fields)
 - E. fingerprints
3. Which risk is eliminated in an organization that is 100% compliant?
 - A. having confidential information become public
 - B. having an advanced persistent threat change your information
 - C. having the regulator punish you for being non-compliant
 - D. having malicious insiders steal information

1.6 High-profile cyberattack examples

Thousands of cyberattacks are perpetrated against enterprise networks every day. Unfortunately, many more of these attacks succeed than typically are reported in the mass media. For organizations that are the victims of such attacks, the financial and reputational damage can be

devastating. Some high-profile past breaches that continue to serve as cautionary examples many years later include:

- **Target:** In late 2013, Target discovered that credit card data and debit card data from 40 million of its customers, and the personal information of an additional 70 million of its customers, had been stolen over a period of about 19 days, from November 27 to December 15, 2013. The attackers were able to infiltrate Target's point-of-sale (POS) systems by installing malware (believed to be a variant of the Zeus financial botnet) on an HVAC (heating, ventilation, and air conditioning) contractor's computer systems to harvest credentials for an online portal used by Target's vendors. Target's 2016 annual report disclosed that the total cost of the breach was US\$292 million.
- **Home Depot:** In September 2014, Home Depot suffered a data breach that went unnoticed for about five months. As with the Target data breach (see the previous attack example), the attackers used a vendor's credentials and exploited a *zero-day threat*, based on a Windows vulnerability, to gain access to Home Depot's network. Memory scraping malware was then installed on more than 7,500 self-service POS terminals to collect 56 million customer credit card numbers throughout the United States and Canada. Home Depot's 2016 annual report disclosed that the total cost of the breach was US\$298 million.
- **Anthem:** In February 2015, Anthem disclosed that its servers had been breached and PII including names, Social Security numbers, birthdates, addresses, and income information for about 80 million customers had been stolen. The breach occurred on December 10, 2014, when attackers compromised an Anthem database by using a database administrator's credentials. The breach wasn't found until January 27, 2015, when the database administrator discovered a questionable query being run with his credentials.
- **U.S. Office of Personnel Management (OPM):** Two separate data breaches discovered in April 2015 and June 2015 resulted in the compromise of personal information including names, Social Security numbers, birthdates, and other sensitive information of about 24 million current and prospective federal employees (along with their spouses and partners). The breaches are believed to have been linked to the Anthem data breach (see the Anthem example) and may have originated in China as early as March 2014. By some estimates, the total cost of the breach could exceed US\$1 billion over the next decade.
- **Yahoo!:** While in negotiations to sell itself to Verizon in September 2016, Yahoo! announced it had been the victim of a data breach in 2014, likely by a "state-sponsored actor." The attack compromised the names, email addresses, birthdates, and phone numbers of about 500 million users. Yahoo! said the vast majority of the passwords involved had been hashed using the robust bcrypt algorithm. As a direct result of the breach, Yahoo! reduced its sale price to Verizon by US\$350 million.
- **Equifax:** In July 2017, Equifax discovered a data breach that had exploited an unpatched security vulnerability (Apache Struts CVE-2017-5638, published March 10, 2017). From mid-May to July 2017, cybercriminals compromised various personal information of nearly 148 million U.S. consumers, including passport and driver's license data, and Social Security numbers. The total cost of the breach at the end of 2017 was US\$439 million.

Several more recent examples of attacks and breaches include:

- **Under Armour:** In March 2018, Under Armour reported that the personal information of an estimated 150 million users of its MyFitnessPal food and nutrition app had been compromised. The breach included usernames, email addresses, and hashed passwords but did not include payment information, which is collected and processed separately.
- **Marriott:** In November 2018, Marriott reported a data breach potentially involving the credit card information, passport numbers, and other personal data of up to 500 million hotel guests of more than 6,700 properties in its Starwood hotel brands (Aloft, Element, Four Points, Le Méridien, The Luxury Collection, St. Regis, Sheraton, W Hotels, and Westin) over a four-year period from 2014 to 2018. The sensitive nature of the personal data included mailing addresses, phone numbers, email addresses, dates of birth, gender, reservation dates, and arrival and departure dates/times, and introduces a broad range of potential criminal activities beyond credit card fraud and identity theft.
- **Quest Diagnostics:** In May 2019, Quest Diagnostics was notified by one of its billing collections service providers, American Medical Collection Agency (AMCA), that an unauthorized user had potentially accessed more than 12 million patient records including individual patient records, financial data, Social Security numbers, and other medical information.
- **City of Baltimore:** The U.S. city of Baltimore, Maryland, was hit by a ransomware attack in May 2019, demanding payment of \$72,000 in bitcoin. Although the city appropriately refused to pay the ransom, it budgeted \$18.2 million to remediate the damage associated with the attack. Baltimore is just one example: 82 U.S. cities and municipalities were hit by ransomware attacks in 2019.
- **Capital One:** In July 2019, Capital One announced a data breach affecting more than 100 million individual customers in the United States and Canada, which resulted from an individual exploiting a configuration vulnerability. Although the breach did not compromise credit card numbers or account login credentials, it exposed PII and other sensitive information including names, addresses, phone numbers, email addresses, dates of birth, some Social Security numbers, self-reported incomes, credit scores, credit limits and balances, payment history, and transaction data.
- **Gekko Group:** In November 2019, France-based Gekko Group, a subsidiary of Accor Hotels, suffered a data breach in a database containing more than 1 terabyte of data. The breach potentially exposed the customer information of Gekko Group brands (600,000 hotels worldwide), its clients, and connected external websites and platforms (such as Booking.com), including PII, hotel and transport reservations, and credit card information.
- **U.S. schools:** Ransomware attacks targeted 72 U.S. school districts from January 1 to December 1, 2019, impacting 867 schools and more than 10,000 students.

Important lessons to be learned from these attacks include:

- A “low and slow” cyberattack can go undetected for weeks, months, or even years.
- An attacker doesn’t necessarily need to run a sophisticated exploit against a hardened system to infiltrate a target organization. Often, an attacker will target an auxiliary system or other vulnerable endpoint, then pivot the attack toward the primary target.
- Unpatched vulnerabilities are a commonly exploited attack vector.

- The direct and indirect financial costs of a breach can be devastating for both the targeted organization and individuals whose personal and financial information is stolen or compromised.

References

- Mayes, Michael. “Top 10 Ransomware Stories of 2019.” CPO Magazine. December 27, 2019. <https://www.cpomagazine.com/cyber-security/top-10-ransomware-stories-of-2019/>.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. What does CVE mean?
 - A. Computer Vulnerabilities and their Exploits
 - B. Computer Vulnerabilities and Exposures
 - C. Common Vulnerabilities and their Exploits
 - D. Common Vulnerabilities and Exposures
2. What is the difference between CVE and CVSS?
 - A. CVE tells you what the vulnerabilities are. CVSS gives vulnerabilities a score (0-10) to evaluate how serious they are.
 - B. CVE is on a scale of low, medium, high, critical. CVSS is on a scale of 0-100.
 - C. CVSS tells you what the vulnerabilities are. CVE gives vulnerabilities a score (0-10) to evaluate how serious they are.
 - D. CVE is on a scale of 0-100. CVSS is on a scale of 0-10.

1.7 Identify attacker profiles and motivations

In modern cyber warfare you must understand the strengths, weaknesses, strategies, and tactics of your adversary, including their means and motivations.

Key Terms

- The term **hacker** was originally used to refer to anyone with highly specialized computing skills, without connoting good or bad purposes. However, common misuse of the term has redefined a hacker as someone who circumvents computer security with malicious intent, such as a cybercriminal, cyberterrorist, or hacktivist, cracker, and/or black hat.
- A **script kiddie** is someone with limited hacking and/or programming skills who uses malicious programs (malware) written by others to attack a computer or network.

Modern cyberattacks are perpetrated by far more sophisticated and dangerous adversaries, motivated by far more sinister purposes:

- **Cybercriminals:** Cybercriminals commit crimes acting independently or as part of a criminal organization to commit acts of data theft, embezzlement, fraud, and/or extortion for financial gain. According to the RAND Corporation, “In certain respects, the black market [for

cybercrime] can be more profitable than the illegal drug trade,” and by many estimates, cybercrime is now a money-making industry.

- **State-affiliated groups:** These organizations are sponsored by or affiliated with nation-states and have the resources to launch very sophisticated and persistent attacks, have great technical depth and focus, and are well funded. They often have military and/or strategic objectives such as the ability to disable or destroy critical infrastructure, including power grids, water supplies, transportation systems, emergency response, and medical and industrial systems. The Center for Strategic and International Studies reports that “At the nation-state level, Russia, Iran, and North Korea are using coercive cyberattacks to increase their sphere of influence, while China, Russia and Iran have conducted reconnaissance of networks critical to the operation of the U.S. power grid and other critical infrastructure without penalty.”
- **Hacktivists:** Hactivist groups (such as Anonymous) are motivated by political or social causes and typically execute denial-of-service (DoS) attacks against a target organization by defacing their websites or flooding their networks with traffic.
- **Cyberterrorists:** Terrorist organizations use the internet to recruit, train, instruct, and communicate, and to spread fear and panic to advance their ideologies. Unlike other threat actors, cyberterrorists are largely indiscriminate in their attacks, and their objectives include physical harm, death, and destruction.

External threat actors include organized crime, state-affiliated groups, activists, former employees, and other unaffiliated or otherwise unknown attackers and account for the majority of data breaches.

References

- Lillian Ablon, Martin Libicki, and Andrea Golay. “Markets for Cybercrime Tools and Stolen Data.” RAND Corporation, National Security Research Division. 2014.
https://www.rand.org/content/dam/rand/pubs/research_reports/RR600/RR610/RAND_RR610.pdf.
- Zheng, Denise E. “Global Forecast 2016: Disrupting the Cyber Status Quo.” Center for Strategic and International Studies. November 16, 2015.
<https://www.csis.org/analysis/disrupting-cyber-status-quo>.
- “2019 Data Breach Investigations Report.” Verizon Enterprise Solutions. 2019.
<https://www.enterprise.verizon.com/resources/reports/dbir/>.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. **True or False.** External threat actors have accounted for the majority of data breaches over the past five years.
2. Which group is likely to attack indiscriminately, whether you are a valuable target or not?
A. hacktivists

- B. cybercriminals
 - C. cyberterrorists
 - D. state-affiliated groups
3. Which group is primarily motivated by money?
- A. hacktivists
 - B. cybercriminals
 - C. cyberterrorists
 - D. state-affiliated groups

1.8 Describe the modern cyberattack lifecycle

Modern cyberattack strategy has evolved from a direct attack against a high-value server or asset (“shock and awe”) to a patient, multistep process that blends exploits, malware, stealth, and evasion in a coordinated network attack (“low and slow”).

The cyberattack lifecycle (see Figure 1-1) illustrates the sequence of events that an attacker goes through to infiltrate a network and exfiltrate (or steal) valuable data. Blocking of just one step breaks the chain and can effectively defend an organization’s network and data against an attack.



Figure 1-1: The cyberattack lifecycle

1. **Reconnaissance:** Like common criminals, attackers meticulously plan their cyberattacks. They research, identify, and select targets, often extracting public information from targeted employees’ social media profiles or from corporate websites, which can be useful for social engineering and phishing schemes. Attackers also will scan for network vulnerabilities, services, and applications that they can exploit by using tools such as:
 - **Network analyzers** (also known as packet analyzers, protocol analyzers, or packet sniffers) are used to monitor and capture raw network traffic (packets). Examples include tcpdump and Wireshark (formerly Ethereal).
 - **Network vulnerability scanners** typically consist of a suite of tools including password crackers, port scanners, and vulnerability scanners and are used to probe a network for vulnerabilities (including configuration errors) that can be exploited. Examples include Nessus and SAINT.
 - **Password crackers** are used to perform brute-force dictionary attacks against password hashes. Examples include John the Ripper and THC Hydra.
 - **Port scanners** are used to probe for open TCP or UDP (including ICMP) ports on an endpoint. Examples include Nmap (“network mapper”) and Nessus.

- **Web application vulnerability scanners** are used to scan web applications for vulnerabilities such as cross-site scripting, SQL injection, and directory traversal. Examples include Burp Suite and OWASP Zed Attack Proxy (ZAP).
- **Wi-Fi vulnerability scanners** are used to scan wireless networks for vulnerabilities (including open and misconfigured access points) to capture wireless network traffic and to crack wireless passwords. Examples include Aircrack-ng and Wifite.

Breaking the cyberattack lifecycle at this phase of an attack begins with proactive and effective end-user security awareness training that focuses on topics such as social engineering techniques (for example, phishing, piggybacking, and shoulder surfing), social media (for example, safety and privacy issues), and organizational security policies (for example, password requirements, remote access, and physical security). Another important countermeasure is continuous monitoring and inspection of network traffic flows to detect and prevent unauthorized port and vulnerability scans, host sweeps, and other suspicious activity. Effective change and configuration management processes help to ensure that newly deployed applications and endpoints are properly configured (for example, disabling unneeded ports and services) and maintained.

2. **Weaponization:** Next, attackers determine which methods to use to compromise a target endpoint. They may choose to embed intruder code within seemingly innocuous files such as a PDF or Microsoft Word document or email message. Or, for highly targeted attacks, attackers may customize deliverables to match the specific interests of an individual within the target organization.

Breaking the cyberattack lifecycle at this phase of an attack is challenging because weaponization typically occurs within the attacker's network. However, analysis of artifacts (both malware and weaponizer) can provide important threat intelligence to enable effective zero-day protection when delivery (the next step) is attempted.

3. **Delivery:** Attackers next attempt to deliver their weaponized payload to a target endpoint, for example, via email, instant messaging (IM), drive-by download (an end user's web browser is redirected to a webpage that automatically downloads malware to the endpoint in the background), or infected file share.

Breaking the cyberattack lifecycle at this phase of an attack requires visibility into all network traffic (including remote and mobile devices) to effectively block malicious or risky websites, applications, and IP addresses, and preventing known and unknown malware and exploits.

4. **Exploitation:** After a weaponized payload is delivered to a target endpoint, it must be triggered. An end user may unwittingly trigger an exploit, for example, by clicking a malicious link or opening an infected attachment in an email, or an attacker may remotely trigger an exploit against a known server vulnerability on the target network.

Breaking the cyberattack lifecycle at this phase of an attack, as during the Reconnaissance

phase, begins with proactive and effective end-user security awareness training that focuses on topics such as malware prevention and email security. Other important security countermeasures include vulnerability and patch management; malware detection and prevention; threat intelligence (including known and unknown threats); blocking risky, unauthorized, or unneeded applications and services; managing file or directory permissions and root or administrator privileges; and logging and monitoring network activity.

5. **Installation:** Next, an attacker will escalate privileges on the compromised endpoint, for example, by establishing remote shell access and installing root kits or other malware. With remote shell access, the attacker has control of the endpoint and can execute commands in privileged mode from a command line interface (CLI) as if physically sitting in front of the endpoint. The attacker then will move laterally across the target's network, executing attack code, identifying other targets of opportunity, and compromising additional endpoints to establish persistence.

The way to break the cyberattack lifecycle at this phase of an attack is to limit or restrict the attackers' lateral movement within the network. Use network segmentation and a Zero Trust model that monitors and inspects all traffic between zones or segments, and granular control of applications that are allowed on the network.

6. **Command and Control:** Attackers establish encrypted communication channels back to command-and-control (C2) servers across the internet so that they can modify their attack objectives and methods as additional targets of opportunity are identified within the victim network, or to evade any new security countermeasures that the organization may attempt to deploy if attack artifacts are discovered. Communication is essential to an attack because it enables the attacker to remotely direct the attack and execute the attack objectives. C2 traffic must therefore be resilient and stealthy for an attack to succeed. Attack communication traffic is usually hidden with various techniques and tools including:
 - **Encryption** with SSL, SSH (Secure Shell), or some other custom or proprietary encryption
 - **Circumvention** via proxies, remote access tools, or tunneling. In some instances, use of cellular networks enables complete circumvention of the target network for attack C2 traffic.
 - **Port evasion** using network anonymizers or port hopping to traverse over any available open ports
 - **Fast Flux (or Dynamic DNS)** to proxy through multiple infected endpoints or multiple, ever-changing C2 servers to reroute traffic and make determination of the true destination or attack source difficult
 - **DNS tunneling** is used for C2 communications and data infiltration (for example, sending malicious code, commands, or binary files to a victim) and data exfiltration.

Breaking the cyberattack lifecycle at this phase of an attack requires inspection of all

network traffic (including encrypted communications), blocking of outbound C2 communications with anti-C2 signatures (along with file and data pattern uploads), blocking all outbound communications to known malicious URLs and IP addresses, blocking novel attack techniques that employ port evasion methods, prevention of the use of anonymizers and proxies on the network, monitoring DNS for malicious domains and countering with DNS sinkholing or DNS poisoning, and redirecting malicious outbound communications to honeypots to identify or block compromised endpoints and analyze attack traffic.

7. **Actions on the Objective:** Attackers often have multiple, different attack objectives including data theft; destruction or modification of critical systems, networks, and data; and denial-of-service (DoS). This last stage of the cyberattack lifecycle also can be used by an attacker to advance the early stages of the cyberattack lifecycle against another target. The 2018 Verizon *Data Breach Investigations Report* (DBIR) describes this strategy as a secondary motive in which “[web applications] are compromised to aid and abet in the attack of another victim.” For example, an attacker may compromise a company’s extranet to breach a business partner that is the primary target. The attacker pivots the attack against the initial victim network to a different victim network, thus making the initial victim an unwitting accomplice.

References

- “2018 Data Breach Investigations Report, 11th Edition. Verizon Enterprise Solutions. 2018. https://enterprise.verizon.com/resources/reports/DBIR_2018_Report.pdf.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. **True or False:** The cyberattack lifecycle is a seven-step process.
2. **True or False:** An attacker needs to succeed in executing only one step of the cyberattack lifecycle to infiltrate a network, whereas a defender must “be right every time” and break every step of the chain to prevent an attack.
3. **True or False:** The key to breaking the cyberattack lifecycle during the Installation phase is to implement network segmentation, a Zero Trust model, and granular control of applications to limit or restrict an attacker’s lateral movement within the network.
4. Which stage of the cyberattack lifecycle can be identified by port scans from external sources?
 - A. Reconnaissance
 - B. Weaponization and Delivery
 - C. Exploitation
 - D. Installation
5. Which stage of the cyberattack lifecycle involves querying public databases and testing exploits in the attacker's internal network?
 - A. Reconnaissance

- B. Weaponization and Delivery
 - C. Exploitation
6. Which step is involved in getting malware to run on the inside of the targeted organization?
 - A. Weaponization and Delivery
 - B. Exploitation and Installation
 - C. Command and Control
 - D. Actions on the Objective
 7. In which stage of the cyberattack lifecycle would you identify unusual communication between an internal database that should not access the internet and an external server?
 - A. Exploitation
 - B. Installation
 - C. Command and Control
 - D. Actions on the Objective

1.9 Classify malware types

Malware is malicious software or code that typically takes control of, collects information from, or damages an infected endpoint. Malware broadly includes:

- **Viruses:** A virus is malware that is self-replicating but must first infect a host program and be executed by a user or process.
- **Worms:** A worm is malware that typically targets a computer network by replicating itself to spread rapidly. Unlike viruses, worms do not need to infect other programs and do not need to be executed by a user or process.
- **Trojan horses:** A trojan horse is malware that is disguised as a harmless program but actually gives an attacker full control and elevated privileges of an endpoint when installed. Unlike other types of malware, trojan horses typically are not self-replicating.
- **Ransomware:** Ransomware is malware that locks a computer or device (Locker ransomware) or encrypts data (Crypto ransomware) on an infected endpoint with an encryption key that only the attacker knows, thereby making the data unusable until the victim pays a ransom (usually cryptocurrency, such as Bitcoin). Reveton and Locky are two examples of Locker ransomware. Locky, TeslaCrypt/EccKrypt, Cryptolocker, and Cryptowall are examples of Crypto ransomware.
- **Anti-AV:** Anti-AV is malware that disables legitimately installed antivirus software on the compromised endpoint, thereby preventing automatic detection and removal of other malware.
- **Logic bombs:** A logic bomb is malware that is triggered by a specified condition, such as a given date or a particular user account being disabled.
- **Back doors:** A back door is malware that allows an attacker to bypass authentication to gain access to a compromised system.
- **Root kits:** A root kit is malware that provides privileged (root-level) access to a computer. Root kits are installed in the BIOS of a machine, which means operating system-level security tools cannot detect them.

- **Boot kits:** A boot kit is malware that is a kernel-mode variant of a root kit, commonly used to attack computers that are protected by full-disk encryption.
- **Spyware and adware:** Spyware and adware are types of malware that collect information, such as internet surfing behavior, login credentials, and financial account information on an infected endpoint. Spyware often changes browser and other software settings, and slows computer and internet speeds on an infected endpoint. Adware is spyware that displays annoying advertisements on an infected endpoint, often as popup banners.

Early malware typically consisted of viruses that displayed annoying but relatively benign errors, messages, or graphics.

The first computer virus was Elk Cloner, written in 1982 by a ninth-grade high school student near Pittsburgh, Pennsylvania. Elk Cloner was a relatively benign *boot sector* virus that displayed a poem on the fiftieth time that an infected *floppy disk* was inserted into an Apple II computer.

The first PC virus was a boot sector virus, written in 1986, called Brain. Brain also was relatively benign and displayed a message with the actual contact information for the creators of the virus. Brain was written by two Pakistani brothers who created the virus so that they could track piracy of their medical software.

Key Terms

- A ***boot sector virus*** targets the boot sector or master boot record (MBR) of an endpoint's storage drive or other removable storage media.
- A ***boot sector*** contains machine code that is loaded into an endpoint's memory by firmware during the startup process, before the operating system is loaded.
- A ***master boot record (MBR)*** contains information about how the logical partitions (or file systems) are organized on the storage media and an executable boot loader that starts up the installed operating system.
- A ***floppy disk*** is a removable magnetic storage medium commonly used from the mid-1970s until about 2007, when it was largely replaced by compact discs and removable USB storage devices. Floppy disks typically were available in 8-inch, 5¼-inch, and 3½-inch sizes with capacities from 90 kilobytes to 200 megabytes.

One of the first computer worms to gain widespread notoriety was the Morris worm, written by a Harvard and Cornell University graduate student, Robert Tappan Morris, in 1988. The worm exploited weak passwords and known vulnerabilities in several Unix programs and spread rapidly across the early internet (the worm infected up to an estimated 10 percent of all Unix machines connected to the internet at that time, or about 6,000 computers), sometimes infecting a computer numerous times to the point that it was rendered useless – an example of an early DoS attack. The U.S. Government Accountability Office (GAO) estimated the damage caused by the Morris worm between US\$100,000 and US\$10 million.

Unfortunately, more than 35 years since these early examples of malware, modern malware has

evolved and is used for far more sinister purposes. Examples of modern malware include:

- **WannaCry:** In a period of just 24 hours in May 2017, the WannaCry ransomware attack infected more than 230,000 vulnerable Windows computers in more than 150 countries worldwide. Although the attack was quickly halted after the discovery of a “kill switch,” the total economic damage is estimated between hundreds of millions of U.S. dollars to as much as US\$4 billion, despite the perpetrators collecting only 327 ransom payments totaling about US\$130,000.
- **HenBox:** HenBox typically masquerades as legitimate Android system and VPN apps, and sometimes drops and installs legitimate versions of other apps as a decoy. The primary goal of the HenBox apps appears to be to spy on those who install them. By using traits similar to legitimate apps, for example, copycat iconography and app or package names, HenBox lures victims into downloading and installing the malicious apps from third-party, non-Google Play app stores that often have fewer security and vetting procedures for the apps they host. As is the case with other Android malware, some apps also may be available on forums or file-sharing sites, or even may be sent to victims as email attachments.
- **TeleRAT:** Telegram Bots are special accounts that do not require an additional phone number to set up and generally are used to enrich Telegram chats with content from external services or to get customized notifications and news. TeleRAT abuses Telegram’s Bot API for C2 and data exfiltration.
- **Rarog:** Rarog is a cryptocurrency-mining trojan that has been sold on various underground forums since June 2017 and has been used by countless criminals since then. Rarog has been primarily used to mine the Monero cryptocurrency. However, it can mine others. It comes equipped with several features, including providing mining statistics to users, configuring various processor loads for the running miner, the ability to infect USB devices, and the ability to load additional *dynamic link libraries* (DLLs) on the victim device. Rarog provides an affordable way for new criminals to gain entry using this particular type of malware. Other examples of cryptocurrency miners include Coinhive, JSE-Coin, Crypto-Loot, and CoinImp.

Key Terms

- A *dynamic link library (DLL)* is a type of file used in Microsoft operating systems that enables multiple programs to simultaneously share programming instructions contained in a single file to perform specific functions.

Modern malware typically is stealthy and evasive, and now plays a central role in a coordinated attack against a target.

Advanced malware leverages networks to gain power and resilience, and can be updated, just like any other software application, so that an attacker can change course and dig deeper into the network or make changes and enact countermeasures.

This advanced malware is a fundamental shift compared to earlier types of malware, which generally were independent agents that simply infected and replicated themselves. Advanced

malware increasingly has become a centrally coordinated, networked application. In much the same way that the internet changed what was possible in personal computing, ubiquitous network access is changing what is possible in the world of malware. Now all malware of the same type can work together toward a common goal, with each infected endpoint expanding the attack foothold and increasing the potential damage to the organization.

Important characteristics and capabilities of advanced malware include:

- **Distributed, fault-tolerant architecture:** Advanced malware takes full advantage of the resiliency built into the internet itself. Advanced malware can have multiple control servers distributed all over the world with multiple fallback options, and also can leverage other infected endpoints as communication channels, thus providing a near infinite number of communication paths to adapt to changing conditions or update code as needed.
- **Multifunctionality:** Updates from C2 servers also can completely change the functionality of advanced malware. This multifunctional capability enables an attacker to use various endpoints strategically to accomplish specific desired tasks, such as stealing credit card numbers, sending spam containing other malware payloads (such as spyware), or installing ransomware for the purpose of extortion.
- **Polymorphism and metamorphism:** Some advanced malware have entire sections of code that serve no purpose other than to change the signature of the malware, thus producing an infinite number of unique signature hashes for even the smallest of malware programs. Techniques such as *polymorphism* and *metamorphism* are used to avoid detection by traditional signature-based anti-malware tools and software. For example, a change of just a single character or bit of the file or source code completely changes the *hash signature* of the malware.
- **Obfuscation:** Advanced malware often uses common *obfuscation* techniques to hide certain binary strings that are characteristically used in malware and therefore are easily detected by anti-malware signatures, or to hide an entire malware program.

Key Terms

- ***Polymorphism*** alters part of the malware code with every iteration, such as the encryption key or decryption routine, but the malware payload remains unchanged.
- ***Metamorphism*** uses more advanced techniques than polymorphism to alter malware code with each iteration. Although the malware payload changes with each iteration (for example, by using a different code structure or sequence or by inserting unnecessary code to change the file size), the fundamental behavior of the malware payload remains unchanged.
- A ***hash signature*** is a cryptographic representation of an entire file or program's source code.
- ***Obfuscation*** is a programming technique used to render code unreadable. It can be implemented by using a simple substitution cipher, such as an *exclusive or* (XOR) operation, in which the output is true only when the inputs are different (for example, TRUE and TRUE equals FALSE, but TRUE and FALSE equals TRUE), or by using more sophisticated encryption algorithms, such as the *Advanced Encryption Standard* (AES). A *packer* also can be used to compress a malware program for delivery and then decompress it in memory at runtime.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which two malware types are self-replicating? (Choose two.)
 - A. logic bomb
 - B. back door
 - C. virus
 - D. trojan horse
 - E. worm
2. Which two malware types are likely to be left behind by a disgruntled employee? (Choose two.)
 - A. logic bomb
 - B. back door
 - C. virus
 - D. trojan horse
 - E. worm
3. Which two malware types require external communication channels? (Choose two.)
 - A. ransomware
 - B. spyware
 - C. adware
 - D. logic bomb
4. What is the term for an unauthorized remote access program?
 - F. logic bomb
 - G. back door
 - H. virus
 - I. trojan horse

1.10 List the differences between vulnerabilities and exploits

An exploit is a type of malware that takes advantage of a vulnerability in installed endpoint or server software such as a web browser, Adobe Flash, Java, or Microsoft Office. An attacker crafts an exploit that targets a software vulnerability, causing the software to perform functions or execute code on behalf of the attacker.

Vulnerabilities routinely are discovered in software at an alarming rate. Vulnerabilities may exist in software when the software is initially developed and released, or vulnerabilities may be inadvertently created, or even re-introduced, when subsequent version updates or security patches are installed.

Security patches are developed by software vendors as quickly as possible after a vulnerability has been discovered in their software. However, an attacker may learn of a vulnerability and begin exploiting it before the software vendor is aware of the vulnerability or has an opportunity to develop a patch. This delay between the discovery of a vulnerability and development and

release of a patch is known as a zero-day threat (or exploit). It may be months or years before a vulnerability is announced publicly. After a security patch becomes available, time inevitably is required for organizations to properly test and deploy the patch on all affected systems. During this time, a system running the vulnerable software is at risk of being exploited by an attacker (see Figure 1-2).

Figure 1-2: *Vulnerabilities can be exploited from the time software is deployed until it is patched.*

Exploits can be embedded in seemingly innocuous data files (such as Microsoft Word documents, PDF files, and webpages), or they can target vulnerable network services. Exploits are particularly dangerous because they often are packaged in legitimate files that do not trigger anti-malware (or antivirus) software and therefore are not easily detected.

Creation of an exploit data file is a two-step process. The first step is to embed a small piece of malicious code within the data file. However, the attacker still must trick the application into running the malicious code. Thus, the second part of the exploit typically involves memory corruption techniques that allow the attacker's code to be inserted into the execution flow of the vulnerable software. After that happens, a legitimate application, such as a document viewer or web browser, will perform actions on behalf of the attacker, such as establishing communication and providing the ability to upload additional malware to the target endpoint. Because the application being exploited is a legitimate application, traditional signature-based antivirus and allow-list software has virtually no effectiveness against these attacks.

Although there are many thousands of exploits, they all rely on a small set of core techniques that change infrequently. For example, a *heap spray* is an attempt to insert the attacker's code into multiple locations within the memory heap in the hope that one of those locations will be called by the process and executed. Three to five core techniques typically must be used to exploit an application. Regardless of the attack or its complexity, for the attack to be successful the attacker must execute a series of these core exploit techniques in sequence, like navigating a maze to reach its objective (see Figure 1-3).

Key Terms

- **Heap spray** is a technique used to facilitate arbitrary code execution by injecting a certain sequence of bytes into the memory of a target process.
-

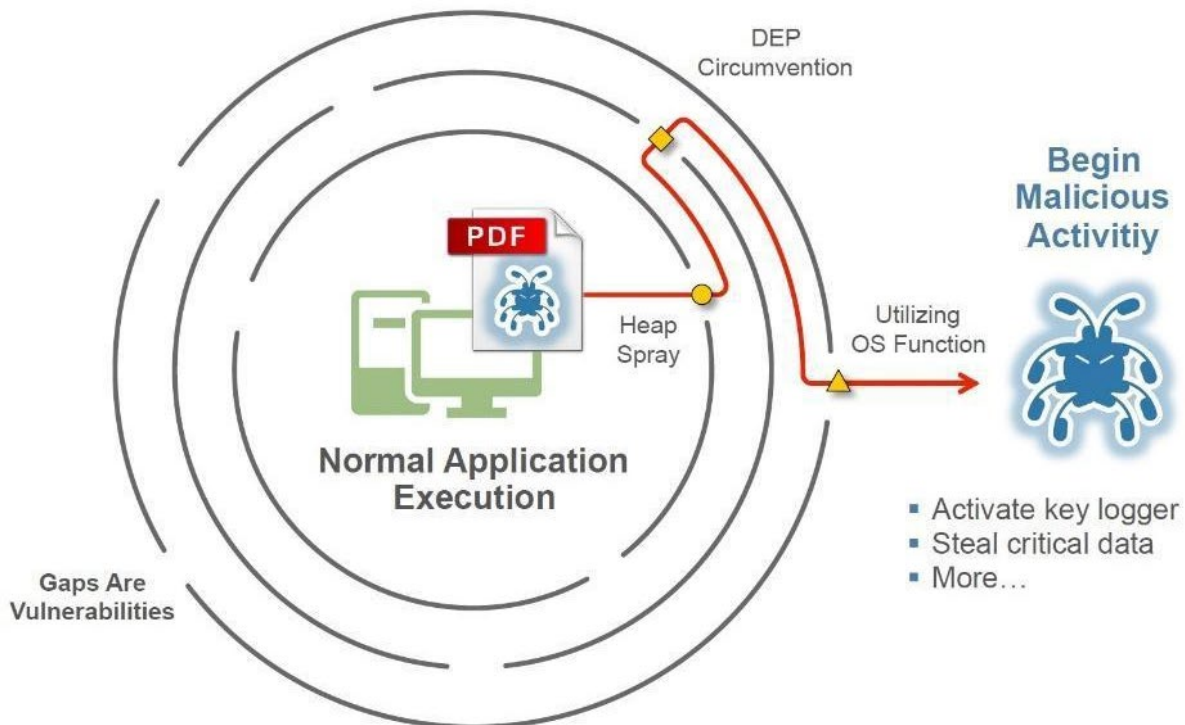


Figure 1-3: Exploits rely on a series of core attack techniques to succeed.

References

- “Internet Security Threat Report, Volume 23.” Symantec. 2018.
<https://www.symantec.com/security-center/threat-report>.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which statement is correct?
 - A. A security researcher might write a vulnerability to demonstrate an exploit.
 - B. A security researcher might write an exploit to demonstrate a vulnerability.
 - C. Exploits often are the result of poorly trained programmers.
 - D. Exploits always are the vendor's responsibility.
2. A zero-day exploit uses which type of vulnerability?
 - A. one that hasn't been discovered yet, by anybody
 - B. one that hasn't been disclosed to the vendor (or published)
 - C. one that the vendor knows about, but hasn't released a patch for
 - D. one that has a patch, but the patch hasn't been installed everywhere yet
3. Which time interval describes a “window of vulnerability”?
 - A. between when a vulnerability is discovered and when a patch is published
 - B. between when a patch is published and when the patch is installed on your system
 - C. between when a vulnerability is discovered and when the patch is installed on your system

D. between when a vulnerability is discovered and when it is disclosed to the vendor

1.11 Categorize spamming and phishing attacks

Spam and phishing emails are the most common delivery methods for malware. The volume of spam email as a percentage of total global email traffic fluctuates widely from month to month, typically 45 to 75 percent. Although most end users today are readily able to identify spam emails and are savvier about not clicking links, opening attachments, or replying to spam emails, spam remains a popular and effective infection vector for the spread of malware.

Phishing attacks, in contrast to spam, are becoming more sophisticated and difficult to identify.

Spear phishing is a targeted phishing campaign that appears more credible to its victims by gathering specific information about the target and thus has a higher probability of success. A spear phishing email may spoof an organization (such as a financial institution) or individual that the recipient actually knows and does business with, and it may contain very specific information (such as the recipient's first name, rather than just an email address). According to Symantec's *2018 Internet Security Threat Report*, "Spear-phishing emails emerged as by far the most widely used infection vector, employed by 71 percent of [140 known targeted attack] groups."

Whaling is a type of spear phishing attack that is specifically directed at senior executives or other high-profile targets within an organization. A whaling email typically purports to be a legal subpoena, customer complaint, or other serious matter.

Spear phishing and phishing attacks in general are not always conducted via email. A link is all that is required, such as a link on Facebook or on a message board, or a shortened URL on Twitter. These methods are particularly effective in spear phishing attacks because they allow the attacker to gather much information about the targets and then lure them through dangerous links into a place where the users feel comfortable.

Watering hole attacks compromise websites that are likely to be visited by a targeted victim, for example, an insurance company website that may be frequently visited by healthcare providers. The compromised website typically will infect unsuspecting visitors with malware (known as a "drive-by download"). Watering hole attacks are the second most popular infection vector for targeted attack groups, according to Symantec.

A pharming attack redirects a legitimate website's traffic to a fake site, typically by modifying an endpoint's local hosts file or by compromising a DNS server ("DNS poisoning").

Key Terms

- ***Spear phishing*** is a highly targeted phishing attack that uses specific information about the target to make the phishing attempt appear legitimate.
- ***Whaling*** is a type of spear phishing attack that is specifically directed at senior executives or other high-profile targets within an organization.

- **Watering hole** attacks compromise websites that are likely to be visited by a targeted victim to deliver malware via a **drive-by download**. A drive-by download is a software download, typically malware, that happens without a user's knowledge or permission.
- **Pharming** is a type of attack that redirects a legitimate website's traffic to a fake site.

References

- "Internet Security Threat Report, Volume 23." Symantec. 2018.
<https://www.symantec.com/security-center/threat-report>.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which type of attack would include an email advertisement for a dry cleaning service?
 - A. spamming
 - B. phishing
 - C. spear phishing
 - D. whaling
2. Which type of attack would include an email with an attachment not-a-trojan.exe?
 - A. spamming
 - B. phishing
 - C. spear phishing
 - D. whaling
3. Which type of attack would include an e-mail with your name that claims to be from your bank and tells you to click the link <https://chase.bankofamerica.mysite.ru>?
 - A. spamming
 - B. phishing
 - C. spear phishing
 - D. whaling
4. Your CFO receives an email with her name that claims to be the company's bank and tells her to click the link <https://chase.bankofamerica.mysite.ru>. What type of attack is this?
 - A. spamming
 - B. phishing
 - C. spear phishing
 - D. whaling

1.12 Social Engineering

The term "social engineering" means the use of various manipulation techniques to cause human error to gain access into a system.

The most common type of social engineering attack is phishing. The hacker sends an email that appears to come from a legitimate source. This email can include a link to a site that asks for user

credentials, an attachment that installs malware on the user's computer, etc. Here are some examples:

- In April 2013 the Twitter account of the Associated Press (AP) was compromised and posted tweets that clearly were false. An employee clicked on a link to a page that requested the login details of the AP Twitter account, and logged in.
- In 2013 Target lost customer data. By the end of 2015, the company announced a loss of \$162 million due to this data breach, which happened because Target provided an HVAC vendor remote access to its internal network. An employee of that vendor opened an attachment, which installed malware that allowed the hacker to get into that internal network and take over some Point of Sale (POS) devices.
- In 2016 emails belonging to the Democratic National Committee (DNC) were published. The hacker sent an email, supposedly from Google, telling people their accounts had been compromised and asking them to reset their passwords. Unfortunately, the email included a link to a form provided by the hacker that asked for information (for "verification") and then reset the password. This action allowed the hacker to know the correct password and use it to access the emails.

The basic requirement for social engineering to work is to ensure that the user does not realize that something is wrong. Typically, a successful social engineering attack is accomplished either through a routine the user goes through routinely (for example, logging in to the Twitter account) or by arousing the user's emotions so that they override normal rational thought. For example, a hacker might call the help desk, pretend to be a vice president of the company, and immediately demand their password or the help desk representative will be fired.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which two techniques do "social engineers" use to distract their targets so they'll do whatever the attacker wants? (Choose two.)
 - A. autopilot, requesting an action that the user does automatically without thinking
 - B. phishing, sending email that asks for specific actions
 - C. masquerading as a trojan horse
 - D. infecting programs with a virus
2. Who is the most likely target of social engineering?
 - A. executive management, because it has the most permissions
 - B. senior IT engineers, because the attacker hopes to get them to disable the security infrastructure
 - C. junior people, because they are easier to stress and probably not as well trained
 - D. the accounting department, because it can wire money directly to the attacker's account

1.13 Cybersecurity Attacks

Attackers use a variety of techniques and attack types to achieve their objectives. *Malware* and

exploits are integral to the modern cyberattack strategy. Spamming and phishing are commonly employed techniques to deliver malware and exploits to an endpoint via an email executable or a web link to a malicious website. After an endpoint is compromised, an attacker typically installs back doors, remote access trojans, and other malware to ensure persistence. Compromised endpoints (“bots”) under the control of an attacker often are used to perpetrate much larger-scale attacks against other organizations or networks as part of a botnet.

Key Terms

- **Bots** (or **zombies**) are individual endpoints that are infected with advanced malware that enables an attacker to take control of the compromised endpoint.
- A **botnet** is a network of bots (often tens of thousands or more) working together under the control of attackers using numerous servers.

Bots and *botnets* are notoriously difficult for organizations to detect and defend against using traditional anti-malware solutions.

In a botnet, advanced malware works together toward a common objective, with each bot increasing the power and destructiveness of the overall botnet. The botnet can evolve to pursue new goals or adapt as different security countermeasures are deployed. Communication between the individual bots and the larger botnet through C2 servers provides resiliency in the botnet (see Figure 1-4).

The flexibility and ability of botnets to evade defenses presents a significant threat to organizations. The ultimate impact of a botnet is largely left up to the attacker, from sending spam one day to stealing credit card data the next and far beyond because many cyberattacks go undetected for months or even years.

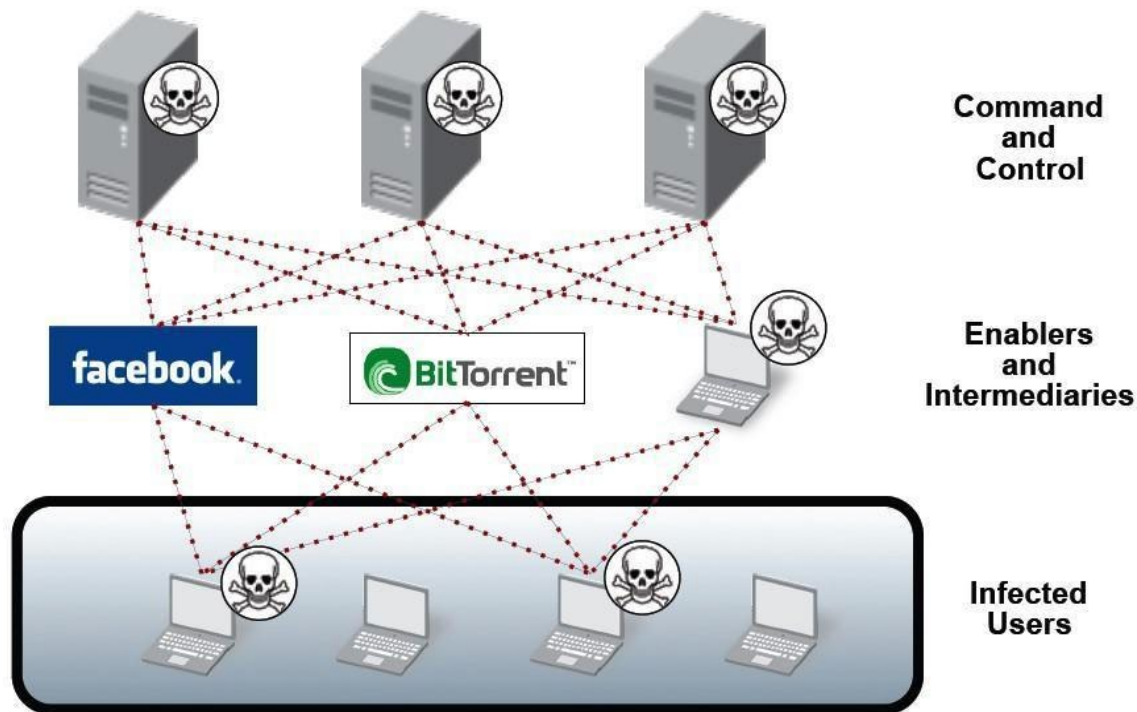


Figure 1-4: *The distributed C2 infrastructure of a botnet*

Botnets themselves are dubious sources of income for cybercriminals. Botnets are created by cybercriminals to harvest computing resources (bots). Control of botnets (through C2 servers) then can be sold or rented out to other cybercriminals.

The key to “taking down” or “decapitating” a botnet is to separate the bots (infected endpoints) from their brains (C2 servers). If the bots cannot get to their servers, they cannot get new instructions, upload stolen data, or do anything that makes botnets so unique and dangerous.

Although this approach may seem straightforward, extensive resources typically are required to map the distributed C2 infrastructure of a botnet, and this approach almost always requires an enormous amount of investigation, expertise, and coordination between numerous industry, security, and law enforcement organizations worldwide.

Disabling of C2 servers often requires both physically seizing the servers and taking ownership of the domain and/or IP address range associated with the servers. Technical teams, legal teams, and law enforcement must coordinate closely to disable the C2 infrastructure of a botnet. Many botnets have C2 servers all over the world and will specifically function in countries that have little or no law enforcement for internet crimes.

Further complicating takedown efforts is the fact that a botnet almost never relies on a single C2 server but rather uses multiple C2 servers for redundancy purposes. Each server also typically is insulated by a variety of intermediaries to hide the true location of the server. These intermediaries include P2P networks, blogs, and social networking sites, and even communications that proxy through other infected bots. These evasion techniques make simply

finding C2 servers a considerable challenge.

Most botnets also are designed to withstand the loss of a C2 server, which means that the *entire* botnet C2 infrastructure must be disabled almost simultaneously. If any C2 server is accessible or any of the fallback options survives, the bots will be able to get updates and rapidly populate a completely new set of C2 servers, and the botnet will quickly recover. Thus, even a single C2 server remaining functional for even a small amount of time can give an attacker the window needed to update the bots and recover the entire botnet.

According to a 2019 botnet threat report, Spamhaus Malware Labs identified and issued Spamhaus Block List (SBL) listings for 17,602 botnet C2 servers on 1,210 different networks.

Key Terms

- ***Distributed denial-of-service (DDoS)*** is a type of cyberattack in which extremely high volumes of network traffic such as packets, data, or transactions are sent to the target victim's network to make its network and systems (such as an e-commerce website or other web application) unavailable or unusable.

Botnet C2 servers are used to control infected endpoints (bots) and to exfiltrate personal and/or valuable data from bots. Botnets can be easily scaled up to send massive volumes of spam, spread ransomware, launch *distributed denial-of-service* (DDoS) attacks, commit click-fraud campaigns, and/or mine cryptocurrency (such as Bitcoin).

Spamming botnets

The largest botnets often are dedicated to sending spam. The premise is straightforward: The attacker attempts to infect as many endpoints as possible, and the endpoints then can be used to send out spam email messages without the end users' knowledge. The relative impact of this type of bot on an organization may seem low initially, but an infected endpoint sending spam could consume additional bandwidth and ultimately reduce the productivity of the users and even the network itself. Perhaps more consequential is the fact that the organization's email domain and IP addresses also could easily become listed by various real-time blackhole lists (RBLs), thus causing legitimate emails to be labeled as spam and blocked by other organizations, and damaging the reputation of the organization.

The Rustock botnet is an example of a spamming botnet. It could send up to 25,000 spam email messages per hour from an individual bot and, at its peak, sent an average of 192 spam emails per minute per bot. Rustock is estimated to have infected more than 2.4 million computers worldwide. In March 2011, the U.S. Federal Bureau of Investigation (FBI), working with Microsoft and others, took down the Rustock botnet, which had operated for more than five years and at the time was responsible for sending up to 60 percent of the world's spam.

Distributed denial-of-service botnets

A DDoS attack is a type of cyberattack in which extremely high volumes of network traffic such

as packets, data, or transactions are sent to the target victim's network to make their network and systems (such as an e-commerce website or other web application) unavailable or unusable. A DDoS botnet uses bots as part of a DDoS attack, overwhelming a target server or network with traffic from a large number of bots. In such attacks, the bots themselves are not the target of the attack. Instead, the bots are used to flood some other remote target with traffic. The attacker leverages the massive scale of the botnet to generate traffic that overwhelms the network and server resources of the target.

Unlike other types of cyberattacks, a DDoS attack does not typically employ a prolonged, stealthy approach. Instead, a DDoS attack usually is a highly visible brute-force attack that is intended to rapidly cause damage to the victim's network and systems infrastructure and to its business and reputation.

DDoS attacks often target specific organizations for personal or political reasons, or to extort a ransom payment in exchange for stopping the DDoS attack. DDoS attacks often are used by hacktivists to promote or protest a particular political agenda or social cause. DDoS attacks also may be used for criminal extortion purposes to extract a ransom payment in exchange for ending the attack.

DDoS botnets represent a dual risk for organizations: The organization itself can be the target of a DDoS attack. And even if the organization isn't the ultimate target, any infected endpoints participating in the attack will consume valuable network resources and facilitate a criminal act, albeit unwittingly.

A DDoS attack also can be used as part of a targeted strategy for a later attack. While the victim organization is busy defending against the DDoS attack and restoring the network and systems, the attacker can deliver an exploit to the victim network (for example, by causing a buffer overflow in an SQL database) that will enable a malware infection and establish a foothold in the network. The attacker then can return later to expand the (stealthy) attack and extract stolen data.

Examples of recent DDoS attacks include attacks against *World of Warcraft Classic* and Wikipedia in September 2019.

Financial botnets

Financial botnets, such as Zeus and SpyEye, are responsible for the direct theft of funds from all types of enterprises. These types of botnets typically are not as large as spamming or DDoS botnets, which grow as large as possible for a single attacker. Instead, financial botnets often are sold as kits that allow attackers to license the code and build their own botnets.

The impact of a financial breach can be enormous, including the breach of sensitive consumer and financial information, thus leading to significant financial, legal, and brand damage.

As reported by Tech Republic:

"A Mirai botnet variant was used in attacks against at least one financial sector company in

January 2018 – possibly the first time an IoT botnet has been observed in use in a DDoS attack since the Mirai botnet took down multiple websites in 2017, according to a Thursday report from Recorded Future.”

References

- “Spamhaus Botnet Threat Report 2019.” Spamhaus Malware Labs. January 2020. <https://www.spamhaus.org/news/article/793/spamhaus-botnet-threat-report-2019>.
- Oleg Kuprev, Ekaterina Badovskaya, and Alexander Gutnikov. “DDoS attacks in Q3 2019.” Kaspersky. November 11, 2019. <https://securelist.com/ddos-report-q3-2019/94958/>.
- Rayome, Alison DeNisco. “Mirai variant botnet launches IoT DDoS attacks on financial sector.” Tech Republic. April 5, 2018. <https://www.techrepublic.com/article/mirai-variant-botnet-launches-iot-ddos-attacks-on-financial-sector/>.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. In the cyberattack lifecycle, what does C2 mean?
 - A. Configuration and Communication
 - B. Configuration Control
 - C. Command and Control
 - D. Communication Control
2. A server that has a bug that lets a single transaction take it off line is susceptible to which type of attack?
 - A. Denial of Service (DoS)
 - B. Distributed Denial of Service (DDoS)
 - C. trojan horses
 - D. worms
3. Which two attacks typically use a botnet? (Choose two.)
 - A. social engineering
 - B. DoS
 - C. DDoS
 - D. sending spam to a lengthy mailing list
 - E. spear phishing

1.14 Define the characteristics of advanced persistent threats

Advanced persistent threats (APTs) are a class of threats that are far more deliberate and potentially devastating than other types of cyberattacks. As its name implies, an APT has three defining characteristics. An APT is:

- **Advanced:** Attackers use advanced malware and exploits and typically also have the skills and resources necessary to develop additional cyberattack tools and techniques, and may have access to sophisticated electronic surveillance equipment, satellite imagery, and even human intelligence assets.

- **Persistent:** An APT may take place over a period of several years. The attackers pursue specific objectives and use a “low-and-slow” approach to avoid detection. The attackers are well organized and typically have access to substantial financial backing, such as from a nation-state or organized criminal organization, to fund their activities.
- **Threat:** An APT is deliberate and focused, rather than opportunistic. APTs are designed to cause real damage, including significant financial loss, destruction of systems and infrastructure, and physical harm and loss of life.

Recent APT threat actors include:

- **Lazarus** (also known as APT38, Gods Apostles, Gods Disciples, Guardians of Peace, ZINC, Whois Team, and Hidden Cobra). The Lazarus APT group is a threat actor linked to North Korea and believed to be behind attacks against more than 16 organizations in at least 11 countries, including the Bangladesh cyber heist (US\$81 million was surreptitiously transferred from the New York Federal Reserve Bank account of Bangladesh in February 2016), the Troy Operation (attacks against South Korean infrastructure in 2013), the DarkSeoul Operation (malware-based attacks that wiped tens of thousands of hard drives belonging to South Korean television networks and banks in March 2013), and the Sony Picture hack (employees’ emails and personal information including salaries, addresses, and Social Security numbers revealed, unreleased movies posted on file sharing sites, and internal computer systems shut down in 2014).
- **Fancy Bear** (also known as APT28, Sofacy, Sednit, and Tsar Team). Fancy Bear is a Russia-based APT threat actor that has been operating since 2010. Recent targets and attacks have included the German Think Tank Attacks (2019), German elections (2017), World Anti-Doping Agency attack (2016), U.S. Democratic National Committee breach (2016), and Operation “Pawn Storm” (2014).
- **MONSOON** (also known as Patchwork, APT -C-09, Chinastrats, Dropping Elephant, and Quilted Tiger). MONSOON is an APT threat actor that appears to have begun in 2014. According to Forcepoint Security Labs, “The overarching campaign appears to target both Chinese nationals within different industries and government agencies in Southern Asia ... The malware components used in MONSOON typically are distributed through [weaponized] documents sent through email to specifically chosen targets. Themes of these documents are usually political in nature and taken from recent publications on topical current affairs. Several malware components have been used in this operation including Unknown Logger Public, TINYTYPHON, BADNEWS, and an AutoIt [3] backdoor.”

References

- “Top 25 Threat Actors – 2019 Edition.” SBS CyberSecurity. December 12, 2019. <https://sbscopy.com/resources/top-25-threat-actors-2019-edition>.
- Paganini, Pierluigi. “US blames North Korea for the \$81 million Bangladesh cyber heist.” Security Affairs. March 24, 2017. <http://securityaffairs.co/wordpress/57396/cyber-crime/bangladesh-cyber-heist.html>.
- Paganini, Pierluigi. “Hackers hit South Korea also spread spyware to steal military secrets.” Security Affairs. July 9, 2013.

<http://securityaffairs.co/wordpress/16014/hacking/hackers-hit-south-korea-spyware-steal-military-secrets.html>.

- Weisman, Aly. “A Timeline of the Crazy Events in the Sony Hacking Scandal.” Business Insider. December 9, 2014. <http://www.businessinsider.com/sony-cyber-hack-timeline-2014-12>.
- “Top 25 Threat Actors – 2019 Edition.” SBS CyberSecurity. December 12, 2019. <https://sbscopyer.com/resources/top-25-threat-actors-2019-edition>.
- “Advanced Persistent Threat Groups.” FireEye. 2020. <https://www.fireeye.com/current-threats/apt-groups.html>.
- “Top 25 Threat Actors – 2019 Edition.” SBS CyberSecurity. December 12, 2019. <https://sbscopyer.com/resources/top-25-threat-actors-2019-edition>.
- Settle, Andy, Nicholas Griffin, and Abel Toro. “Monsoon – Analysis of an APT Campaign: Espionage and Data Loss Under the Cover of Current Affairs. Forcepoint Security Labs. 2016.
- <https://www.forcepoint.com/sites/default/files/resources/files/forcepoint-security-labs-monsoon-analysis-report.pdf>.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which option is least likely to be the purpose of an advanced persistent threat?
 - A. wire money to an offshore bank account
 - B. steal classified information
 - C. expand a botnet to send more spam
 - D. be able to destroy an enemy's infrastructure in case of a war
2. Which behavior does an advanced persistent threat use to elude detection?
 - A. do everything at night, when nobody is monitoring
 - B. rely exclusively on insiders with privileged access
 - C. do everything quickly with scripting so that the effect of the threat is achieved by the time it is detected
 - D. use a low and slow approach to avoid triggering alarms

1.15 Recognize common Wi-Fi attacks

With the explosive growth in the number of mobile devices over the past decade, wireless (Wi-Fi) networks now are everywhere.

Of course, as a security professional, your first concern when trying to get connected is, “How secure is this Wi-Fi network?” But for the average user, the unfortunate reality is that Wi-Fi connectivity is more about convenience than security.

Thus, the challenge is not only to secure your Wi-Fi networks but also to protect the mobile devices that your organization’s employees use to perform work and access potentially sensitive data, regardless of where they are or whose network they’re on.

Wi-Fi security begins and ends with authentication. If you can't control who has access to your wireless network, then you can't protect your network.

Wired Equivalent Privacy

The Wired Equivalent Privacy (WEP) protocol was the wireless industry's first attempt at security. As its name falsely implies, WEP was intended to provide data confidentiality equivalent to the security of a wired network. However, WEP had many well-known and well-publicized weaknesses, such as its weak random value, or initialization vector (IV), and key-generation algorithm, and wasn't effective for establishing a secure wireless network.

Wi-Fi Protected Access (WPA/WPA2/WPA3)

WPA was published as an interim standard in 2003, quickly followed by WPA2 in 2004. WPA/WPA2 contains improvements to protect against the inherent flaws in WEP. These improvements include changes to the encryption to avoid many of the problems that plagued WEP.

WPA2 can be implemented in different ways. WPA2-Enterprise, also known as WPA2-802.1x mode, uses the *Extensible Authentication Protocol* (EAP) and *Remote Authentication Dial-In User Service* (RADIUS) for authentication. Numerous EAP types also are available for use in WPA2-Enterprise.

However, a *pre-shared key* (PSK) by far is the most common use, particularly in homes, small businesses, and guest Wi-Fi networks. WPA2-PSK can be implemented with just the AP and the client; neither a third-party 802.1x authentication server nor individual user accounts are required.

Key Terms

- The ***Extensible Authentication Protocol (EAP)*** is a widely used authentication framework that includes about 40 different authentication methods.
- ***Remote Authentication Dial-In User Service (RADIUS)*** is a client-server protocol and software that enables remote access servers to communicate with a central server to authenticate users and authorize access to a system or service.
- A ***pre-shared key (PSK)*** is a shared secret, used in symmetric key cryptography, that has been exchanged between two parties communicating over an encrypted channel.

WPA2-PSK supports 256-bit keys, which require 64 hexadecimal characters. Because requirement of users to enter a 64-hexadecimal character key is impractical, WPA2 includes a function that generates a 256-bit key based on a much shorter passphrase created by the administrator of the Wi-Fi network and the *service set identifier* (SSID) of the AP used as a *salt* for the *one-way hash function*.

In WPA2, the name of the SSID is used for the salt. An easy way to make your Wi-Fi security

stronger (and make *rainbow table* attacks impractical) is to change your SSID to something that isn't common or easily guessed.

To execute an attack on a WPA2 passphrase, an attacker needs to be able to test a large number of passphrase candidates. So, although WPA2 remains cryptographically secure (the key isn't recoverable by simple observation of the traffic, as with WEP), methods do exist to test passphrases offline by gathering the handshake packets between the AP and a legitimate user.

To collect the necessary packets to crack a WPA2 passphrase, an attacker could passively gather traffic when a legitimate user joins the network. This method requires time, however, because the attacker does not know when someone will join the network.

For an impatient attacker, the solution is to employ an active attack. If a legitimate user is already online, the attacker can force the user's client device to disconnect from the AP with forged de-authentication packets. After the client device is disconnected, it will automatically attempt to reconnect, thus providing the attacker with the handshake packets needed for offline passphrase analysis. Thus, unlike with WEP, attacks on WPA2 can be done without spending a significant amount of time in the proximity of the target network, after the handshake packets have been captured.

Key Terms

- A **service set identifier (SSID)** is a case-sensitive, 32-character alphanumeric identifier that uniquely identifies a Wi-Fi network.
- A **one-way hash function** is a mathematical function that creates a unique representation (a hash value) of a larger set of data in a manner that is easy to compute in one direction (input to output) but not in the reverse direction (output to input). The hash function can't recover the original text from the hash value. However, an attacker could attempt to guess the original text and see if it produces a matching hash value.
- A **rainbow table** is a pre-computed table used to find the original value of a cryptographic hash function.

Next, the attacker must recover (or find) the passphrase itself, which requires the following:

- **A test to check millions of potential passphrases until it finds the correct passphrase.** To avoid detection, an attacker can't use the actual target, because the victim could see this attack activity. The alternative is to use an offline method of testing that uses the handshake packets.
- **A methodology to guess passphrases.** The worst-case scenario is to "brute force" the passphrase, trying every possible combination of numbers and characters until a correct value is found. This effort can produce a correct result given enough time and computing power. However, a much faster method is to take educated guesses without having to resort to brute force. An attacker that uses educated guesses on possible passphrase candidates can attempt a much shorter list.

This basic process for recovering Wi-Fi passphrases is similar to cracking user passwords. In the

early days of password cracking, an attacker might have knowledge of a target system's one-way hash function and a list of the system's user password hash values. However, the attacker could not decrypt the password, because the original text isn't recoverable from a hash. But by encrypting a list of words with the same one-way hash function (a dictionary attack), an attacker then can compare the resulting hash values with the hash values stored for the various user accounts on the system. So, although the password itself isn't decrypted, a given input that produces a given result, such as a password match, can be found. With the addition of more computing power, an attacker could try longer word lists and a greater number of variations of each word. The process for attacking WPA2 passphrases is similar.

WPA3 was published in 2018 and introduces security enhancements such as more robust brute-force attack protection, improved hot spot and guest access security, simpler integration with devices that have limited or no user interface (such as IoT devices), and a 192-bit security suite. Newer Wi-Fi routers and client devices likely will support both WPA2 and WPA3 to ensure backward compatibility in mixed environments.

According to the Wi-Fi Alliance, WPA3 features include improved security for IoT devices such as smart bulbs, wireless appliances, smart speakers, and other screen-free gadgets that make everyday tasks easier. The Wi-Fi Alliance is expected to support a one-touch setup system that will make devices without screens (such as IoT devices and smart speakers such as Google Home and Amazon Echo) easier to connect. It will be similar to the existing Wi-Fi Protected Setup protocol, which involves pushing a button on the router to connect a device.

According to a recent *VentureBeat* article, WPA3 also “supports a much stronger encryption algorithm than WPA2 ... intended for industrial, defense, and government applications rather than homes and offices. Specifically, it includes a 192-bit security suite that's aligned with the Commercial National Security Algorithm (CNSA) Suite, a feature requested by the Committee on National Security Systems (CNSS), a part of the U.S. National Security Agency [NSA].”

WPA3 provides protection against brute-force dictionary attacks by implementing “a robust handshake [called the Dragonfly protocol, also referred to as Simultaneous Authentication of Equals] that isn't vulnerable to wireless exploits like KRACK, and it hardens security at the time when the network key is exchanged between a device and the access point.” WPA3 also reduces the efficacy of common dictionary attacks by limiting the number of network password attempts on a per-user basis.

An attacker can trick victims into connecting to a wireless network that the attacker controls instead of breaking into a wireless network. These techniques are part of a larger set of attacks known as man-in-the-middle attacks. With a man-in-the-middle exploit in place on a Wi-Fi network, an attacker can produce or display practically any content, for example:

- If a user attempts to download a legitimate file, the attacker can send mobile malware instead.
- When a user attempts to visit a legitimate webpage, the attacker can alter the content to exploit a vulnerability that exists in the device's browser, thus allowing the attacker to

further escalate an attack.

- Email addresses and financial account information can be harvested from the connected endpoint, thus enabling an attacker to create a very targeted and convincing phishing attack to trick even more users on a network into disclosing sensitive information.

Evil Twin

Perhaps the easiest way for an attacker to find a victim to exploit is to set up a wireless access point that serves as a bridge to a real network. An attacker can inevitably bait a few victims with “free Wi-Fi access.”

The main problem with this approach is that it requires a potential victim to stumble on the access point and connect. The attacker can’t easily target a specific victim, because the attack depends on the victim initiating the connection.

A slight variation on this approach is to use a more specific name that mimics a real access point normally found at a particular location, the Evil Twin. For example, if your local airport provides Wi-Fi service and calls it “Airport Wi-Fi,” the attacker might create an access point with the same name using an access point that has two radios. Average users cannot easily discern when they are connected to the real access point or a fake one, so this approach would catch a greater number of users than a method that tries to attract victims at random. Still, the user must select the network, so a bit of chance is involved in trying to reach a particular target.

The main limitation of the Evil Twin attack is that the attacker can’t choose the victim. In a crowded location, the attacker will be able to get many people connecting to the wireless network to unknowingly expose their account names and passwords. However, it’s not an effective approach if the goal is to target employees in a specific organization.

Jasager

If you want to understand a more targeted approach than the Evil Twin attack, think about what happens when you bring your wireless device back to a location that you’ve previously visited. For example, when you bring your laptop home, you don’t have to choose which access point to use, because your device remembers the details of wireless networks to which it has previously connected. The same practice applies when you visit the office or your favorite coffee shop.

Your mobile device detects when it’s in proximity to a previously known wireless network by sending a beacon out to discover if a preferred network is within range. Under normal conditions, when a wireless device sends out a beacon, the non-matching access points ignore it. The beacon goes unanswered, except when it comes within the proximity of the preferred network.

The Jasager attack takes a more active approach toward beacon requests. Jasager (German for “the yes-man”) responds to all beacon requests, thus taking a very permissive approach toward who can connect. The user doesn’t have to manually choose the attacker’s access point. Instead, the attacker pretends to be whichever access point the user normally connects to (see Figure 1-5).

Instead of trying to get victims to connect at random, now the attacker simply needs to be within proximity of the target.

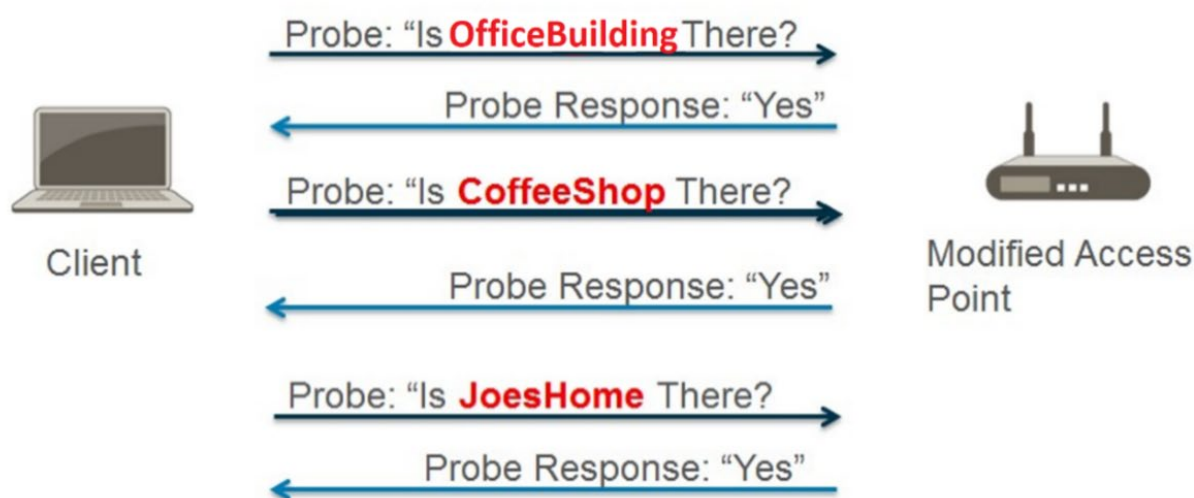


Figure 1-5: *Jasager pretends to be whichever access point is requested by the client’s beacon.*

This process intercepts the communication from laptops, mobile phones, and tablets. Many if not most 3G/4G/LTE mobile devices automatically switch to Wi-Fi when they recognize that they are near a network that they know.

An attacker can use the same method to capture WPA2 handshake packets to disconnect users from a Wi-Fi network by using forged de-authentication packets. Users that reconnect unwittingly will connect to the modified access point. Unlike the Evil Twin attack, the attacker doesn’t have to just wait for a victim to connect to the modified access point; with this approach, everyone who’s in the vicinity will automatically connect and become a potential victim.

Jasager runs on any number of devices, but perhaps one of the most effective ways to employ it is with the Pineapple access point. The Pineapple is simply an access point with modified firmware that embeds several tools for wireless “penetration” testing. It also has several accessories such as support for cellular USB cards to provide network connectivity when it is otherwise unavailable at the target location, and battery packs to operate as a standalone unit. It’s also easily concealed because it can be disguised within any number of housings typically found plugged in at the office.

After the attacker has the victim connected to a malicious access point, the man-in-the-middle attack can proceed, and the attacker not only can observe and capture network traffic but also modify it.

SSLstrip

After a user connects to a Wi-Fi network that’s been compromised or to an attacker’s Wi-Fi network masquerading as a legitimate network, the attacker can control the content that the victim sees. The attacker simply intercepts the victim’s web traffic, redirects the victim’s

browser to a web server that it controls, and serves whatever content the attacker desires.

A man-in-the-middle attack can be used to steal a victim's online banking or corporate email account credentials. Normally, this type of traffic would be considered safe because the webpage typically uses Secure Sockets Layer (SSL) encryption. However, the average user that thinks a padlock somewhere in the address bar means that their browser is secure is not correct.

But the padlock appears differently, and in different locations, in different browsers. How does the padlock appear in Internet Explorer? What about Mozilla Firefox, Google Chrome, and Apple Safari? And it appears differently on different smartphones and tablets too. It's no wonder that typical end users and even many security professionals can be easily tricked.

SSLstrip strips SSL encryption from a "secure" session. When a user connected to a compromised Wi-Fi network attempts to initiate an SSL session, the modified access point intercepts the SSL request (see Figure 1-6). The modified access point then completes the SSL session on behalf of the victim's device. Then the SSL tunnel between the victim's device and the legitimate secure web server is actually terminated and decrypted on the modified access point, thus allowing the attacker to see the victim's credentials and other sensitive information in cleartext.

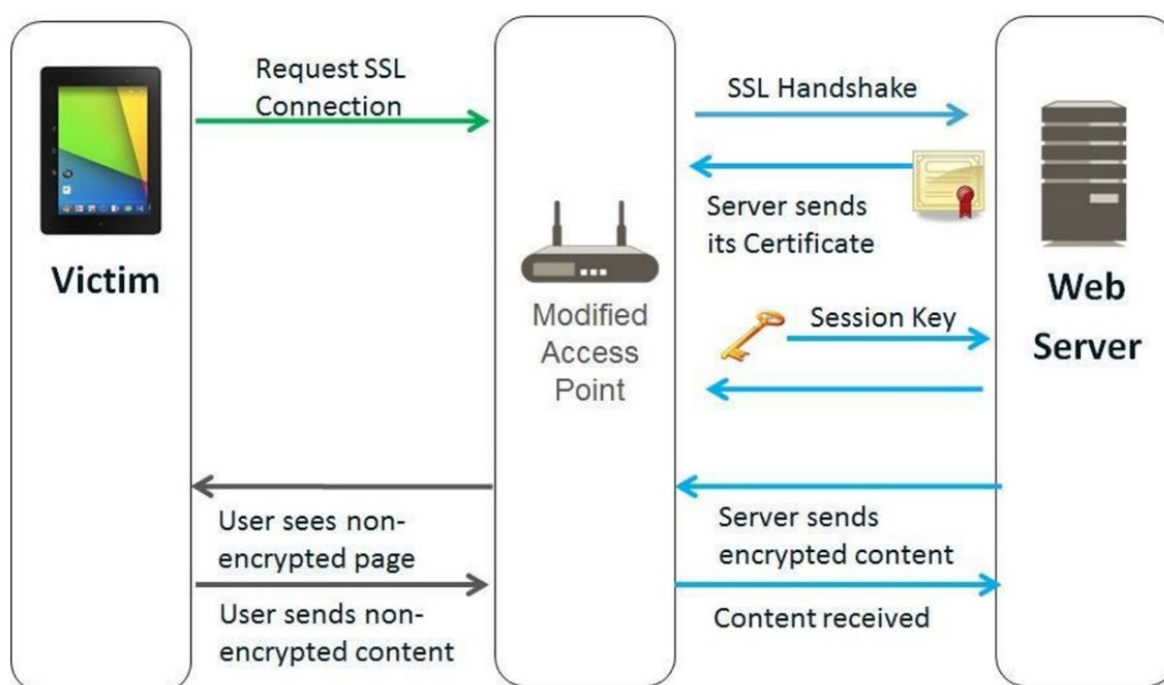


Figure 1-6: Man-in-the-middle with SSLstrip

With SSLstrip, the modified access point displays a fake padlock in the victim's web browser. Webpages can display a small icon called a *favicon* next to a website address in the browser's address bar. SSLstrip replaces the favicon with a padlock that looks like SSL to an unsuspecting user.

Key Terms

- A *favicon* (“favorite icon”) is a small file containing one or more small icons associated with a particular website or webpage.

Emotet

Emotet is a trojan, first identified in 2014, that has long been used in spam botnets and ransomware attacks. Emotet variants use Wi-Fi spreader modules to scan Wi-Fi networks and look for vulnerable devices to infect. The Wi-Fi spreader module scans nearby Wi-Fi networks on an infected device and then attempts to connect to vulnerable Wi-Fi networks via a brute-force attack. After Emotet successfully connecting to a Wi-Fi network, it scans for non-hidden shares and attempts another brute-force attack to guess usernames and passwords on other devices connected to the network. It then installs its malware payload and establishes C2 communications on newly infected devices.

References

- Wiggers, Kyle. “What is WPA3, why does it matter, and when can you expect it?” VentureBeat. May 19, 2018. <https://venturebeat.com/2018/05/19/what-is-wpa3-why-does-it-matter-and-when-can-you-expect-it/>.
- Quinn, James. “Emotet Evolves With New Wi-Fi Spreader.” Binary Defense. February 7, 2020. <https://www.binarydefense.com/emotet-evolves-with-new-wi-fi-spreader/>.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which two types of behavior could enable someone to eavesdrop on a WiFi network? (Choose two.)
 - A. passive
 - B. inactive
 - C. yielding
 - D. active
 - E. agile
2. What is the name of the attack in which the attacker gets the victim to connect to an access point the attack controls?
 - A. person in the middle
 - B. man in the middle
 - C. access point in the middle
 - D. access point masquerading
3. What is the name of the "authentication" method that lets anybody with the password to access a WiFi network?
 - A. Pre-Shared Key (PSK)
 - B. Password Authentication (PA)

- C. Extensible Authentication Protocol (EAP)
- D. service set identifier (SSID)

1.16 Define perimeter-based network security

Perimeter-based network security models date to the early mainframe era (circa late 1950s), when large mainframe computers were located in physically secure “machine rooms” that could be accessed by only a relatively limited number of remote job entry (RJE) “dumb” terminals that were directly connected to the mainframe and also located in physically secure areas. Today’s data centers are the modern equivalent of machine rooms, but perimeter-based physical security no longer is sufficient for several obvious but important reasons:

- Mainframe computers predate the internet. In fact, mainframe computers predate ARPANET, which predates the internet. Today, an attacker uses the internet to remotely gain access, instead of physically breaching the data center perimeter.
- Data centers today are remotely accessed by millions of remote endpoint devices from anywhere and at any time. Unlike the RJE’s of the mainframe era, modern endpoints (including mobile devices) are far more powerful than many of the early mainframe computers and are themselves targets.
- The primary value of the mainframe computer was its processing power. The relatively limited data that was produced was typically stored on near-line media, such as tape. Today, data is the target. Data is stored online in data centers and in the cloud, and it is a high-value target for any attacker.

The primary issue with a perimeter-based network security strategy in which countermeasures are deployed at a handful of well-defined ingress and egress points to the network is that the strategy relies on the assumption that everything on the internal network can be trusted. However, this assumption no longer is safe to make, given modern business conditions and computing environments where:

- Remote employees, mobile users, and cloud computing solutions blur the distinction between “internal” and “external”
- Wireless technologies, the proliferation of partner connections, and the need to support guest users introduce countless additional pathways into the network branch offices that may be located in untrusted countries or regions
- Insiders, whether intentionally malicious or just careless, may present a very real security threat
- Perimeter-based approach strategies fail to account for:
 - The potential for sophisticated cyberthreats to penetrate perimeter defenses, in which case they would then have free passage on the internal network
 - Scenarios where malicious users can gain access to the internal network and sensitive resources by using the stolen credentials of trusted users
 - The reality that internal networks are rarely homogeneous but instead include pockets of users and resources with inherently different levels of trust or sensitivity that should ideally be separated in any event (for example, research and development and financial systems versus print or file servers)

A broken trust model is not the only issue with perimeter-centric approaches to network security. Another contributing factor is that traditional security devices and technologies (such as port-based firewalls) commonly used to build network perimeters let too much unwanted traffic through. Typical shortcomings in this regard include the inability to:

- Definitively distinguish good applications from bad ones (which leads to overly permissive access control settings)
- Adequately account for encrypted application traffic
- Accurately identify and control users (regardless of where they're located or which devices they're using)
- Filter allowed traffic not only for known application-borne threats but also for unknown ones

The net result is that re-architecting defenses in a way that creates pervasive internal trust boundaries is, by itself, insufficient. You also must ensure that the devices and technologies used to implement these boundaries actually provide the visibility, control, and threat inspection capabilities needed to securely enable essential business applications while still thwarting modern malware, targeted attacks, and the unauthorized exfiltration of sensitive data.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. What is a network demilitarized zone (DMZ)?
 - A. the safest part of the network, used for the security infrastructure
 - B. the part of the network you don't secure, for example a network segment used for visitors to access the internet
 - C. the database management zone
 - D. the network zone where you put servers that serve the outside, to limit the exposure
2. Which type of traffic flows between the public internet and private DMZ?
 - A. north-south
 - B. east-west
 - C. up-down
 - D. egress traffic
3. Which type of traffic flows inside a data center?
 - A. north-south
 - B. east-west
 - C. up-down
 - D. egress traffic
4. What is the name of the device used to secure a network's perimeter?
 - A. switch
 - B. hub
 - C. modem
 - D. firewall

1.17 Explain Zero Trust design principles and architecture configuration

The Zero Trust security model was introduced by Forrester Research. It addresses some of the

limitations of perimeter-based network security strategies by removing the assumption of trust. With Zero Trust, essential security capabilities are deployed in a way that provides policy enforcement and protection for all users, devices, applications, and data resources, and the communications traffic between them, regardless of location.

In particular, with Zero Trust there is no default trust for any entity – including users, devices, applications, and packets – regardless of what it is and its location on or relative to the enterprise network. Verification that authorized entities are always doing only what they’re allowed to do also no longer is optional in a Zero Trust model: It’s now mandatory.

These changes imply the following needs:

- The need to establish trust boundaries that effectively compartmentalize the various segments of the internal computing environment. The general idea is to move security functionality closer to the pockets of resources that require protection. In this way, security always can be enforced regardless of the point of origin of associated communications traffic.
- The need for trust boundaries to do more than just initial authorization and access control enforcement. To “always verify” also requires ongoing monitoring and inspection of associated communications traffic for subversive activities (such as threats).

Benefits of implementing a Zero Trust network include:

- Clearly improved effectiveness in mitigating data loss with visibility and safe enablement of applications, and detection and prevention of cyberthreats
- Greater efficiency for achieving and maintaining compliance with security and privacy mandates, using trust boundaries to segment sensitive applications, systems, and data
- Improved ability to securely enable transformative IT initiatives, such as user mobility, bring your own device (BYOD) and bring your own access (BYOA), infrastructure virtualization, and cloud computing
- Lower total cost of ownership (TCO) with a consolidated and fully integrated product platform, rather than a disparate array of siloed, purpose-built security point products

Core Zero Trust design principles

The core Zero Trust principles that define the operational objectives of a Zero Trust implementation include:

- **Ensure that all resources are accessed securely, regardless of location.** This principle suggests not only the need for multiple trust boundaries but also increased use of secure access for communication to or from resources, even when sessions are confined to the “internal” network. It also means ensuring that the only devices allowed access to the network have the correct status and settings, have an approved VPN client and proper passcodes, and are not running malware.
- **Adopt a *least privilege* strategy and strictly enforce access control.** The goal is to minimize allowed access to resources as a means to reduce the pathways available for malware and attackers to gain unauthorized access and subsequently to spread laterally and/or infiltrate sensitive data.

- **Inspect and log all traffic.** This principle reiterates the need to “always verify” while also reinforcing that adequate protection requires more than just strict enforcement of access control. Close and continuous attention also must be given to exactly what “allowed” applications are actually doing, and the only way to accomplish these goals is to inspect the content for threats.

Zero Trust conceptual architecture

In Zero Trust, you identify a *protect surface*. The protect surface is made up of the network’s most critical and valuable data, assets, applications, and services (DAAS). Protect surfaces are unique to each organization. Because the protect surface contains only what’s most critical to an organization’s operations, it is orders of magnitude smaller than the attack surface, and it is always knowable.

With the protect surface identified, you can identify how traffic moves across the organization in relation to the protect surface. The only way to determine and enforce policy that ensures secure access to your data is to understand who the users are, which applications they are using, and how they are connecting. With an understanding of the interdependencies between the DAAS, infrastructure, services, and users, you should put controls in place as close to the protect surface as possible, thus creating a micro-perimeter around it. This micro-perimeter moves with the protect surface, wherever it goes.

Key Terms

- The principle of ***least privilege*** in network security requires that only the permission or access rights necessary to perform an authorized task are granted.
- A ***protect surface*** consists of the most critical and valuable data, assets, applications, and services (DAAS) on a network.

The main components of a Zero Trust conceptual architecture (shown in Figure 1-7) include:

- **Zero Trust Segmentation Platform.** The Zero Trust Segmentation Platform is referred to as a network segmentation gateway by Forrester Research. It is the component used to define internal trust boundaries. That is, the platform provides the majority of the security functionality needed to deliver on the Zero Trust operational objectives, including the ability to:
 - Enable secure network access
 - Granularly control traffic flow to and from resources
 - Continuously monitor allowed sessions for any threat activity



Figure 1 -7: Zero Trust conceptual architecture

Although Figure 1-7 depicts the Zero Trust Segmentation Platform as a single component in a single physical location, in practice – because of performance, scalability, and physical limitations – an effective implementation is more likely to entail multiple instances distributed throughout an organization’s network. The solution also is designated as a “platform” to reflect that it is an aggregation of multiple distinct (and potentially distributed) security technologies that operate as part of a holistic threat protection framework to reduce the attack surface and correlate information about threats that are found.

- **Trust zones:** Forrester Research refers to a trust zone as a micro core and perimeter (MCAP). A trust zone is a distinct pocket of infrastructure where the member resources not only operate at the same trust level but also share similar functionality. Functionality such as protocols and types of transactions must be shared because it is needed to minimize the number of allowed pathways into and out of a given zone and, in turn, minimize the potential for malicious insiders and other types of threats to gain unauthorized access to sensitive resources.
- Examples of trust zones shown in Figure 1-7 are the user (or campus) zone, a wireless zone for guest access, a cardholder data zone, database and application zones for multitier services, and a zone for public-facing web applications.

Remember that a trust zone is not intended to be a “pocket of trust” where systems (and therefore threats) within the zone can communicate freely and directly with each other. For a full Zero Trust implementation, the network would be configured to ensure that *all* communications traffic, including traffic between devices in the same zone, is intermediated by the corresponding Zero Trust Segmentation Platform.

- **Management infrastructure:** Centralized management capabilities are crucial to

enabling efficient administration and ongoing monitoring, particularly for implementations involving multiple distributed Zero Trust Segmentation Platforms. A data acquisition network also provides a convenient way to supplement the native monitoring and analysis capabilities for a Zero Trust Segmentation Platform. Session logs that have been forwarded to a data acquisition network then can be processed by any number of out-of-band analysis tools and technologies intended, for example, to further enhance network visibility, detect unknown threats, or support compliance reporting.

Key Zero Trust criteria and capabilities

The core of any Zero Trust network security architecture is the Zero Trust Segmentation Platform, so you must choose the correct solution. Key criteria and capabilities to consider when selecting a Zero Trust Segmentation Platform include:

- **Secure access:** Consistent secure IPsec and SSL VPN connectivity is provided for all employees, partners, customers, and guests wherever they're located (for example, at remote or branch offices, on the local network, or over the internet). Policies to determine which users and devices can access sensitive applications and data can be defined based on application, user, content, device, and device state.
- **Inspection of all traffic:** Application identification accurately identifies and classifies all traffic, regardless of ports and protocols, and evasive tactics such as port hopping or encryption. Application identification eliminates methods that malware may use to hide from detection and provides complete context into applications, associated content, and threats.
- **Least privileges access control:** The combination of application, user, and content identification delivers a positive control model that allows organizations to control interactions with resources based on an extensive range of business-relevant attributes, including the specific application and individual functions being used, user and group identity, and the specific types or pieces of data being accessed (such as credit card or Social Security numbers). The result is truly granular access control that safely enables the correct applications for the correct sets of users while automatically preventing unwanted, unauthorized, and potentially harmful traffic from gaining access to the network.
- **Cyberthreat protection:** A combination of anti-malware, intrusion prevention, and cyberthreat prevention technologies provides comprehensive protection against both known and unknown threats, including threats on mobile devices. Support for a closed-loop, highly integrated defense also ensures that inline enforcement devices and other components in the threat protection framework are automatically updated.
- **Coverage for all security domains:** Virtual and hardware appliances establish consistent and cost-effective trust boundaries throughout an organization's entire network, including in remote or branch offices, for mobile users, at the internet perimeter, in the cloud, at ingress points throughout the data center, and for individual areas wherever they might exist.

Implementing a Zero Trust design

Implementation of a Zero Trust network security model doesn't require a major overhaul of an

organization's network and security infrastructure. A Zero Trust design architecture can be implemented in a way that requires only incremental modifications to the existing network and is completely transparent to your users. Advantages of such a flexible, non-disruptive deployment approach include minimizing the potential impact on operations and being able to spread the required investment and work effort over time.

To get started, you can configure a Zero Trust Segmentation Platform in listen-only mode to obtain a detailed view of traffic flows throughout the network, including where, when, and to which extent specific users are using specific applications and data resources.

After you have a detailed understanding of the network traffic flows in the environment, the next step is to define trust zones and incrementally establish corresponding trust boundaries based on relative risk and/or sensitivity of the data involved:

- Deploy devices in appropriate locations to establish internal trust boundaries for defined trust zones
- Configure the appropriate enforcement and inspection policies to effectively put each trust boundary "online"

Next, you can progressively establish trust zones and boundaries for other segments of the computing environment based on their relative degree of risk. Examples of where secure trust zones can be established are:

- IT management systems and networks (where a successful breach could result in compromise of the entire network)
- Partner resources and connections (business to business, or B2B)
- High-profile, customer-facing resources and connections (business to consumer, or B2C)
- Branch offices in risky countries or regions, followed by all other branch offices
- Guest access networks (both wireless and wired)
- Campus networks

Zero Trust principles and concepts must be implemented at major access points to the internet. You will have to replace or augment legacy network security devices with a Zero Trust Segmentation Platform at this deployment stage to gain all of the requisite capabilities and benefits of a Zero Trust security model.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. A Zero Trust network security model is based on which security principle?
 - A. due diligence
 - B. least privilege
 - C. non-repudiation
 - D. negative control
2. What does Zero Trust mean?

- A. Systems never trust the information they get from other systems
 - B. Systems don't trust each other implicitly.
 - C. Systems don't trust each other explicitly.
 - D. Systems only trust each other within the same data center.
3. In a full Zero Trust architecture, can two devices communicate except through a security checkpoint?
- A. Yes, but only if they are in the same trust zone.
 - B. Yes, but only if the client's trust zone level is higher than the server's.
 - C. No, unless they belong to the same application.
 - D. No, all traffic needs to be secured.

1.18 Define the capabilities of an effective product portfolio

Cybercrime and the types of security threats continue to evolve, thus challenging organizations to stay current as network boundaries and attack surfaces expand. Security breaches and intellectual property loss can have a huge impact on organizations. Current approaches to security, which focus mainly on detection and remediation, are inadequate to sufficiently address the rise in volume and sophistication of attacks.

Cybercriminals leverage automation and big data analytics to execute massively scalable and increasingly effective attacks against their targets. Cybercriminals are not the only threat: Employees often may unknowingly violate corporate compliance and expose critical data in locations such as the public cloud.

Because of the rapid evolution of applications moving to the cloud, decentralization of IT infrastructure, and the increased threat landscape, organizations have lost visibility and control. Devices are proliferating and the network perimeter has all but disappeared, leaving enterprise security teams struggling to safely enable and protect their businesses, customers, and users. Because new threats growing in number and sophistication, organizations are finding that traditional security products and approaches are less and less capable of protecting their networks against advanced cyberattacks.

Application development and IT operations teams also are accelerating the delivery of new applications to drive business growth by adopting DevOps tools and methodologies, cloud and container technologies, big data analytics, and automation and orchestration. Meanwhile, applications are increasingly accessible. The result is an incredibly complex network that introduces significant business risk. Organizations must minimize this risk without slowing down the business.

A different approach to security is needed. Defenders need to replace siloed point products with security innovations that are tightly integrated. Security requires simplicity. The Palo Alto Networks product portfolio consists of a tightly integrated system of components and services, including a partner ecosystem, that delivers consistent security across the network, endpoints, and cloud. The product portfolio is a fully integrated system that simplifies security by leveraging consolidated threat intelligence information, automation, machine learning, and data

analytics (see Figure 1-8).



Figure 1-8: Palo Alto Networks product portfolio

The product portfolio is designed so that security teams can operate simply and efficiently to protect their organizations. The platform prevents successful attacks and stops attacks in progress while providing consistent protection to secure the enterprise, the cloud, and the future. The product portfolio is based on prevention and is designed and purpose-built to counter attacks before they can breach an organization's environment.

The product portfolio's prevention architecture allows organizations to reduce threat exposure by first enabling applications for all users or devices in any location and then preventing threats within application flows, associating application use to user identities across physical, cloud-based, and software-as-a-service (SaaS) environments.

To enable the prevention of successful cyberattacks, the product portfolio delivers four key capabilities:

1. **Provide full visibility:** For network administrators and security practitioners to understand the full context of an attack, visibility of all users and devices is provided across the organization's network, endpoint, cloud, and SaaS applications.
2. **Reduce the attack surface:** Best-of-breed technologies that are natively integrated provide a prevention architecture that inherently reduces the attack surface. This type of architecture allows organizations to exert positive control based on applications, users, and content, with support for open communication, orchestration, and visibility.
3. **Prevent all known threats, fast:** A coordinated security platform accounts for the full scope of an attack, across the various security controls that compose the security posture,

thus enabling organizations to quickly identify and block known threats.

4. **Detect and prevent new, unknown threats with automation:** Security that simply detects threats and requires a manual response is too little, too late. Automated creation and delivery of near-real-time protections against new threats to the various security solutions in the organization's environments enable dynamic policy updates. These updates are designed to allow enterprises to scale defenses with technology, rather than people.

Security should not be a barrier to the adoption of new mobility, SaaS, public, or private cloud technologies that enable productivity. Organizations that have a natively integrated, prevention-first security platform in place can securely adopt innovative, productivity-enhancing applications and technologies, all while maintaining a comprehensive and consistent prevention-oriented enterprise security posture.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which component of a security operating platform can identify a trojan horse that does not use the network?
 - A. network security
 - B. cloud security
 - C. Advanced Endpoint Protection
 - D. SaaS logging service
2. The Logging Service stores data on the cloud in an instance that your organization does not control and thus provides protection from what?
 - A. trojan horses
 - B. viruses
 - C. worms
 - D. insider threat

1.19 Recognize Palo Alto Networks Strata, Prisma, and Cortex Technologies

Palo Alto Networks is helping to address the world's greatest security challenges with continuous innovation that seizes the latest breakthroughs in artificial intelligence, analytics, automation, and orchestration. By delivering an integrated platform and empowering a growing ecosystem of partners, Palo Alto Networks is at the forefront of protecting tens of thousands of organizations across clouds, networks, and mobile devices.

The Palo Alto Networks broad portfolio of security technologies and solutions address three essential areas of cybersecurity strategy:

- **Secure the Enterprise (Strata):**
 - **Palo Alto Networks PA-Series, VM-Series, and K2-Series Next-Generation Firewalls** are the cornerstone of enterprise network security. These firewalls are powered by PAN-OS® software and leverage App-ID, User-ID, and Content-ID to provide complete visibility and control of the applications in use across all users,

devices, and locations.

- **Cloud-based subscription services**, including DNS Security, URL Filtering, Threat Prevention, and WildFire® malware prevention, deliver real-time advanced predictive analytics, AI and machine learning, exploit/malware/C2 threat protection, and global threat intelligence to the Palo Alto Networks product portfolio.
- **Panorama** network security management enables centralized control, log collection, and policy workflow automation across all your next-generation firewalls (scalable to tens of thousands of firewalls) from a single central console.
- **Secure the Cloud (Prisma):**
 - **Prisma® Cloud** is the industry's most comprehensive threat protection, governance, and compliance offering. It dynamically discovers cloud resources and sensitive data across AWS, GCP, and Azure to detect risky configurations and identify network threats, suspicious user behavior, malware, data leakage, and host vulnerabilities. It eliminates blind spots across your cloud environments and provides continuous protection with a combination of rule-based security policies and machine learning.
 - **Prisma Access (SASE)** helps your organization deliver consistent security to your remote networks and mobile users. It's a generational step forward in cloud security, using a cloud-delivered architecture to connect all users to all applications. All of your users, whether at your headquarters, in branch offices, or on the road, connect to Prisma Access to safely use cloud and data center applications, and the internet. Prisma Access consistently inspects all traffic across all ports and provides bidirectional software-defined wide-area networking (SD-WAN) to enable branch-to-branch and branch-to-headquarters traffic.
 - **Prisma SaaS** functions as a multimode cloud access security broker (CASB), offering inline and API-based protection working together to minimize the range of cloud risks that can lead to breaches. With a fully cloud-delivered approach to CASB, you can secure your SaaS applications through the use of inline protections to safeguard inline traffic with deep application visibility, segmentation, secure access, and threat prevention, and API-based protections to connect directly to SaaS applications for data classification, data loss prevention, and threat detection.
- **Secure the Future (Cortex®):**
 - **Cortex XDR** breaks the silos of traditional detection and response by natively integrating network, endpoint, and cloud data to stop sophisticated attacks. Cortex XDR takes advantage of machine learning and AI models across all data sources by identifying unknown and highly evasive threats from managed and unmanaged devices.
 - **Cortex XSOAR** is the only security orchestration, automation, and response (SOAR) platform that combines security orchestration, incident management, and interactive investigation to serve security teams across the incident lifecycle.
 - **Cortex Data Lake** enables AI-based innovations for cybersecurity with the industry's only approach to normalizing your enterprise's data. It automatically collects, integrates, and normalizes data across your security infrastructure. The cloud-based

service is ready to scale from the start, thus eliminating the need for local compute or storage and providing assurance in the security and privacy of your data.

- **AutoFocus** contextual threat intelligence service speeds your ability to analyze threats and respond to cyberattacks. Instant access to community-based threat data from WildFire, enhanced with deep context and attribution from the Palo Alto Networks Unit 42 threat research team, saves time. Your security teams get detailed insight into attacks with prebuilt Unit 42 tags that identify malware families, adversaries, campaigns, malicious behaviors, and exploits without the need for a dedicated research team.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which Palo Alto Networks product suite is used to secure the data center?
 - A. Strata
 - B. Prisma
 - C. Cortex
 - D. WildFire
2. Which Palo Alto Networks product suite is used to secure remote access and cloud native technologies?
 - A. Strata
 - B. Prisma
 - C. Cortex
 - D. WildFire
3. Which Palo Alto Networks product suite is used to manage alerts, obtain additional information, and orchestrate responses?
 - A. Strata
 - B. Prisma
 - C. Cortex
 - D. WildFire

Exam Domain 2 — The Connected Globe

With more than 4.5 billion internet users worldwide in 2020, which represents well over half the world's population, the internet connects businesses, governments, and people across the globe. Our reliance on the internet will continue to grow, with nearly 30 billion devices and “things” – including autonomous vehicles, household appliances, and wearable technology – connecting to the internet of things (IoT) and nearly 9 billion worldwide smartphone subscriptions using a total of 160 exabytes (EB) of monthly data by 2025.

2.1 Define the difference between hubs, switches, and routers

In the 1960s, the U.S. Defense Advanced Research Project Agency (DARPA) created ARPANET, the precursor to the modern internet. ARPANET was the first packet-switched network. A packet-switched network breaks data into small blocks (packets), transmits each individual packet from node to node toward its destination, and then reassembles the individual packets in the correct order at the destination.

Hundreds of millions of routers deliver Transmission Control Protocol/Internet Protocol (TCP/IP) packets using various routing protocols (discussed under task 2.2) across local-area networks (LANs) and wide-area networks. The Domain Name System (DNS, discussed under task 2.4) enables internet addresses, such as www.paloaltonetworks.com, to be translated into routable IP addresses.

Routers are physical or virtual devices that send data packets to destination networks along a network path using logical addresses (discussed under task 2.6). Routers use various routing protocols to determine the best path to a destination, based on variables such as bandwidth, cost, delay, and distance. A wireless router combines the functionality of a router and a wireless access point (AP) to provide routing between a wired and wireless network. An AP is a network device that connects to a router or wired network and transmits a Wi-Fi signal so that wireless devices can connect to a wireless (or Wi-Fi) network. A *wireless repeater* rebroadcasts the wireless signal from a wireless router or AP to extend the range of a Wi-Fi network.

A *hub* (or *concentrator*) is a network device that connects multiple devices such as desktop computers, laptop docking stations, and printers on a LAN. Network traffic that is sent to a hub is broadcast out of all ports on the hub, which can create network congestion and introduces potential security risks (broadcast data can be intercepted).

A *switch* is essentially an intelligent hub that uses physical addresses to forward data packets to devices on a network. Unlike a hub, a switch is designed to forward data packets only to the port that corresponds to the destination device. This transmission method (referred to as micro-segmentation) creates separate network segments and effectively increases the data transmission rates available on the individual network segments. Also, a switch can be used to implement *virtual LANs* (VLANs), which logically segregate a network and limit *broadcast domains* and *collision domains*.

Key Terms

- A **router** is a network device that sends data packets to a destination network along a network path.
- A **wireless repeater** rebroadcasts the wireless signal from a wireless router or AP to extend the range of a Wi-Fi network.
- A **hub** (or **concentrator**) is a device used to connect multiple networked devices on a local-area network (LAN).
- A **switch** is an intelligent hub that forwards data packets only to the port associated with the destination device on a network.
- A **virtual LAN (VLAN)** is a logical network that is created within a physical LAN.
- A **broadcast domain** is the portion of a network that receives broadcast packets sent from a node in the domain.
- A **collision** domain is a network segment on which data packets may collide with each other during transmission.

References:

“Ericsson Mobility Report, November 2019.” Ericsson. November 2019.
<https://www.ericsson.com/en/mobility-report>.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which device does not process addresses?
 - A. hub
 - B. switch
 - C. WiFi access point
 - D. router
2. Which device processes logical addresses?
 - A. hub
 - B. switch
 - C. WiFi access point
 - D. router
3. On which device do you configure VLANs?
 - A. wireless repeater
 - B. hub
 - C. switch
 - D. router

2.2 Classify routed and routing protocols

Routed protocols, such as *Internet Protocol (IP)*, address packets with routing information that enables those packets to be transported across networks using routing protocols.

Key Terms

- An **Internet Protocol (IP)** address is a 32-bit or 128-bit identifier assigned to a networked

Routing protocols are defined at the Network layer of the OSI model and specify how routers communicate with one another on a network. Routing protocols can either be static or dynamic.

A static routing protocol requires that routes be created and updated manually on a router or other network device. If a static route is down, traffic can't be automatically rerouted unless an alternate route has been configured. Also, if the route is congested, traffic can't be automatically rerouted over the less congested alternate route. Static routing is practical only in very small networks or for very limited, special-case routing scenarios (for example, a destination that's used as a backup route or is reachable only via a single router). However, static routing has low bandwidth requirements (routing information isn't broadcast across the network) and some built-in security (users can route only to destinations that are specified in statically defined routes).

A dynamic routing protocol can automatically learn new (or alternate) routes and determine the best route to a destination. The routing table is updated periodically with current routing information. Dynamic routing protocols are further classified as:

- **Distance-vector:** A distance-vector protocol makes routing decisions based on two factors: the distance (hop count or other metric) and vector (the egress router interface). It periodically informs its peers and/or neighbors of topology changes. Convergence is the time required for all routers in a network to update their routing tables with the most current information (such as link status changes), and it can be a significant problem for distance-vector protocols. Without convergence, some routers in a network may be unaware of topology changes, which causes the router to send traffic to an invalid destination. During convergence, routing information is exchanged between routers, and the network slows down considerably. Convergence can take several minutes in networks that use distance-vector protocols.

Routing Information Protocol (RIP) is an example of a distance-vector routing protocol that uses hop count as its routing metric. To prevent routing loops, in which packets effectively get stuck bouncing between various router nodes, RIP implements a hop limit of 15, which limits the size of networks that RIP can support. After a data packet crosses 15 router nodes (hops) between a source and a destination, the destination is considered unreachable. In addition to hop limits, RIP employs four other mechanisms to prevent routing loops:

- **Split horizon:** Prevents a router from advertising a route back out through the same interface from which the route was learned
- **Triggered updates:** When a change is detected, the update is sent immediately instead of after the 30-second time delay normally required to send a RIP update.
- **Route poisoning:** Sets the hop count on a bad route to 16, which effectively advertises the route as unreachable
- **Holddown timers:** Cause a router to start a timer when the router first receives information that a destination is unreachable. Subsequent updates about that destination will not be accepted until the timer expires. This timer also helps avoid problems

associated with flapping. Flapping occurs when a route (or interface) repeatedly changes state (up, down, up, down) over a short period of time.

- **Link state:** A link-state protocol requires every router to calculate and maintain a complete map, or routing table, of the entire network. Routers that use a link-state protocol periodically transmit updates that contain information about adjacent connections, or link states, to all other routers in the network. Link-state protocols are compute-intensive, but they can calculate the most efficient route to a destination. They consider numerous factors, such as link speed, delay, load, reliability, and cost (an arbitrarily assigned weight or metric). Convergence occurs very rapidly (within seconds) with link-state protocols.

Open Shortest Path First (OSPF) is an example of a link-state routing protocol that often is used in large enterprise networks. OSPF routes network traffic within a single autonomous system (AS). OSPF networks are divided into areas identified by 32-bit area identifiers. Area identifiers can (but don't have to) correspond to network IP addresses and can duplicate IP addresses without conflicts.

- **Path vector:** A path-vector protocol is similar to a distance-vector protocol but without the scalability issues associated with limited hop counts in distance-vector protocols. Each routing table entry in a path-vector protocol contains path information that gets dynamically updated.

Border Gateway Protocol (BGP) is an example of a path-vector protocol used between separate autonomous systems. BGP is the core protocol used by internet service providers (ISPs) and network service providers (NSPs), and on very large private IP networks.

Key Terms

- **Convergence** is the time required for all routers in a network to update their routing tables with the most current routing information about the network.
- **Hop count** generally refers to the number of router nodes that a packet must pass through to reach its destination.
- An **autonomous system (AS)** is a group of contiguous IP address ranges under the control of a single internet entity. Individual autonomous systems are assigned a 16-bit or 32-bit AS number (ASN) that uniquely identifies the network on the internet. ASNs are assigned by the Internet Assigned Numbers Authority (IANA).

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which option is an example of a static routing protocol?
 - A. Open Shortest Path First (OSPF)
 - B. Border Gateway Protocol (BGP)
 - C. Routing Information Protocol (RIP)
 - D. split horizon
2. Which is a routed protocol?
 - A. Open Shortest Path First (OSPF)

- B. Internet Protocol (IP)
 - C. Border Gateway Protocol (BGP)
 - D. Routing Information Protocol (RIP)
3. Which device type uses routing protocols to exchange information?
- A. switches
 - B. hubs
 - C. routers
 - D. servers
4. What is the primary purpose of the information exchanged by routing protocols?
- A. dynamic routing
 - B. static routing
 - C. billing for network access
 - D. advertising MAC addresses

2.3 Summarize area networks and topologies

Most computer networks are broadly classified as either local-area networks (LANs) or wide-area networks (WANs).

A *local-area network* (LAN) is a computer network that connects end-user devices such as laptop and desktop computers, servers, printers, and other devices so that applications, databases, files, file storage, and other networked resources can be shared among authorized users on the LAN. A LAN operates across a relatively small geographic area (such as a floor, a building, or a group of buildings), typically at speeds of up to 10Mbps (Ethernet), 100Mbps (Fast Ethernet), 1,000Mbps (or 1Gbps – Gigabit Ethernet) on wired networks and 11Mbps (802.11b), 54Mbps (802.11a and g), 450Mbps (802.11n), 1.3Gbps (802.11ac), and 14Gbps (802.11ax – theoretical) on wireless networks. A LAN can be wired, wireless, or a combination of wired and wireless. Examples of networking equipment commonly used in LANs include *bridges*, *hubs*, *repeaters*, *switches*, and *wireless access points* (APs).

Two basic network topologies (with many variations) are commonly used in LANs:

- **Star:** Each node on the network is directly connected to a switch, hub, or concentrator, and all data communications must pass through the switch, hub, or concentrator. The switch, hub, or concentrator thus can become a performance bottleneck or single point of failure in the network. A star topology is ideal for practically any size environment and is the most commonly used basic LAN topology.
- **Mesh:** All nodes are interconnected to provide multiple paths to all other resources. A mesh topology may be used throughout the network or only for the most critical network components, such as routers, switches, and servers, to eliminate performance bottlenecks and single points of failure.

Key Terms

- A *local-area network* (LAN) is a computer network that connects laptop and desktop computers, servers, printers, and other devices so that applications, databases, files and file storage, and other networked resources can be shared across a relatively small geographic area, such as a floor, a building, or a group of buildings.

- A **bridge** is a wired or wireless network device that extends a network or joins separate network segments.
- A **repeater** is a network device that boosts or retransmits a signal to physically extend the range of a wired or wireless network.
- In a **ring topology**, all nodes are connected in a closed loop that forms a continuous ring and all communication travels in a single direction around the ring. Ring topologies were common in token ring networks.
- In a **bus (or linear bus)** topology, all nodes are connected to a single cable (the backbone) that is terminated on both ends. In the past, bus networks were commonly used for very small networks because they were inexpensive and relatively easy to install.

Other once-popular network topologies, such as ring and bus, are rarely found in modern networks.

A *wide-area network* (WAN) is a computer network that connects multiple LANs or other WANs across a relatively large geographic area such as a small city, a region or country, or a global enterprise network.

A WAN connects networks using telecommunications circuits and technologies such as *multiprotocol label switching* (MPLS), *broadband cable*, *digital subscriber line* (DSL), *fiber optic*, *optical carrier* (for example, OC-3), and *T-carrier* (for example, T-1) at various speeds typically ranging from 256Kbps to several hundred megabits per second. Examples of networking equipment commonly used in WANs include access servers, channel service units (CSUs) and data service units (DSUs), firewalls, modems, routers, virtual private network (VPN) gateways, and WAN switches.

Key Terms

- **T-carrier** is a full-duplex digital transmission system that uses multiple pairs of copper wire to transmit electrical signals over a network. For example, a T-1 circuit consists of two pairs of copper wire – one pair transmits, the other pair receives – that are multiplexed to provide a total of 24 channels, each delivering 64Kbps of data, for a total bandwidth of 1.544Mbps.
- **Multiprotocol label switching (MPLS)** is a networking technology that routes traffic using the shortest path based on “labels,” rather than network addresses, to handle forwarding over private wide-area networks.
- **Broadband cable** is a type of high-speed internet access that delivers different upload and download data speeds over a shared network medium. The overall speed varies depending on the network traffic load from all the subscribers on the network segment.
- **Digital subscriber line (DSL)** is a type of high-speed internet access that delivers different upload and download data speeds. The overall speed depends on the distance from the home or business location to the provider’s central office (CO).
- **Fiber optic** technology converts electrical data signals to light and delivers constant data speeds in the upload and download directions over a dedicated fiber optic cable medium. Fiber optic technology is much faster and more secure than other types of network technology.
- **Optical carrier** is a specification for the transmission bandwidth of digital signals on

synchronous optical networking (SONET) fiber optic networks. Optical carrier transmission rates are designated by the integer value of the multiple of the base rate (51.84Mbps). For example, OC-3 designates a 155.52Mbps (3 x 51.84) network and OC-192 designates a 9953.28Mbps (192 x 51.84) network.

Traditional WANs rely on physical routers to connect remote or branch users to applications hosted on data centers. Each router has a data plane, which holds the information, and a control plane, which tells the data where to go. Where data flows typically is determined by a network engineer or administrator that writes rules and policies, often manually, for each router on the network, a process that can be time-consuming and prone to error.

A software-defined wide-area network (SD-WAN) separates the control and management processes from the underlying networking hardware, thus making them available as software that can be easily configured and deployed. A centralized control console means network administrators can write new rules and policies, and then configure and deploy them across an entire network at once.

SD-WAN makes management and direction of traffic across a network easier. With traditional networking approaches such as MPLS, traffic created in the branch is returned, or “backhauled,” to a centralized internet security point in a headquarters data center. Backhauling of traffic can lower application performance, which results in reduced productivity and poor user experience. Because MPLS networks are private networks built for one given organization, they are considered reliable and secure, but they are expensive. Moreover, MPLS is not designed to handle the high volumes of WAN traffic that result from software-as-a-service (SaaS) applications and cloud adoption.

Compared to traditional WANs, SD-WANs can manage multiple types of connections, including MPLS, broadband, *Long-Term Evolution* (LTE), and others, and support applications hosted in data centers, public and private clouds, and SaaS services. SD-WAN can route application traffic over the best path in real time. In the case of cloud, SD-WAN can forward internet-bound and cloud-bound traffic directly from the branch without backhauling.

SD-WAN offers many benefits to geographically distributed organizations, including:

- **Simplicity:** Because each device is centrally managed, with routing based on application policies, WAN managers can create and update security rules in real time as network requirements change. Also, when SD-WAN is combined with zero-touch provisioning, a feature that helps automate the deployment and configuration processes, organizations can further reduce the complexity, resources, and operating expenses required to spin up new sites.
- **Improved performance:** By allowing efficient access to cloud-based resources without the need to backhaul traffic to centralized locations, organizations can provide a better user experience.
- **Reduced costs:** Network administrators can supplement or substitute expensive MPLS with broadband and other connectivity options.

Key Terms

- A **software-defined wide-area network (SD-WAN)** separates the network control and management processes from the underlying hardware in a wide-area network and makes them available as software.
- **Long-Term Evolution (LTE)** is a type of 4G cellular connection that provides fast connectivity primarily for mobile internet use.

The hierarchical internetworking model is a best-practice network design, originally proposed by Cisco, that comprises three layers:

- **Access:** User endpoints and servers connect to the network at this layer, typically via network switches. Switches at this layer may perform some Layer 3 functions and also may provide electrical power via *Power over Ethernet* (PoE) ports to other equipment connected to the network, such as wireless APs or VoIP phones.
- **Distribution:** This layer performs any compute-intensive routing and switching functions on the network, such as complex routing, filtering, and *quality of service* (QoS). Switches at this layer may be Layer 7 switches and connect to lower-end Access layer switches and higher-end Core layer switches.
- **Core:** This layer is responsible for high-speed routing and switching. Routers and switches at this layer are designed for high-speed packet routing and forwarding.

Key Terms

- **Power over Ethernet (PoE)** is a network standard that provides electrical power to certain network devices over Ethernet cables.
- **Quality of service (QoS)** is the overall performance of specific applications or services on a network including error rate, bit rate, throughput, transmission delay, availability, and jitter. QoS policies can be configured on certain network and security devices to prioritize certain traffic (such as voice or video) over other, less performance-intensive traffic.

In addition to LANs and WANs, many other types of area networks are used for different purposes:

- Campus area networks (CANs) and wireless campus area networks (WCANs) connect multiple buildings in a high-speed network (for example, across a corporate or university campus).
- Metropolitan area networks (MANs) and wireless metropolitan area networks (WMANs) extend networks across a relatively large area, such as a city.
- Personal area networks (PANs) and wireless personal area networks (WPANs) connect an individual's electronic devices such as laptop computers, smartphones, tablets, virtual personal assistants (for example, Amazon Alexa, Apple Siri, Google Assistant, and Microsoft Cortana), and wearable technology to each other or to a larger network.
- Storage area networks (SANs) connect servers to a separate physical storage device (typically a disk array).
- Value-added networks (VANs) are a type of extranet that allows businesses within an

industry to share information or integrate shared business processes.

- Virtual local-area networks (VLANs) segment broadcast domains in a LAN, typically into logical groups (such as business departments). VLANs are created on network switches.
- Wireless local-area networks (WLANs), also known as Wi-Fi networks, use wireless access points (APs) to connect wireless-enabled devices to a wired LAN.
- Wireless wide-area networks (WWANs) extend wireless network coverage over a large area, such as a region or country, typically using mobile cellular technology.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. **True or False:** The internet is an example of a wide-area network (WAN).
2. Which network technology is used for WANs?
 - A. Ethernet
 - B. token-ring
 - C. digital subscriber line (DSL)
 - D. FDDI
3. Which device creates a collision domain that includes all the interfaces to which it is connected?
 - A. hub
 - B. switch
 - C. router
 - D. web server
4. Which requirement must be fulfilled for a client device to use a DHCP server, assuming there are no DHCP relay agents?
 - A. be on the same collision domain
 - B. be on the same broadcast domain
 - C. have latency below 20msec
 - D. have the same subnet mask
5. What kind of network is most likely to use point to point links?
 - A. LAN
 - B. WAN
 - C. SD WAN (only)
 - D. WAN (only if it is not SD WAN)

2.4 Explain the purpose of the Domain Name System (DNS)

The *Domain Name System* (DNS) is a distributed, hierarchical internet database that maps *fully qualified domain names* (FQDNs) for computers, services, and other resources such as a website address (also known as a uniform resource locator, or URL) to IP addresses, similar to how a contact list on a smartphone maps the names of businesses and individuals to phone numbers. If you want to create a new domain name that will be accessible via the internet, you must register your unique domain name with a *domain name registrar*, such as GoDaddy or Network Solutions. This registration is similar to listing a new phone number in a phone directory. DNS is critical to the operation of the internet.

A root name server is the *authoritative* name server for a DNS root zone. Worldwide, 13 root name servers (actually, 13 networks comprising hundreds of root name servers) are configured. They are named a.root-servers.net through m.root-servers.net. DNS servers typically are configured with a root hints file that contains the names and IP addresses of the root servers.

Key Terms

- A **fully qualified domain name (FQDN)** is the complete domain name for a specific computer, service, or resource connected to the internet or a private network.
- A **domain name registrar** is an organization that is accredited by a top-level domain (TLD) registry to manage domain name registrations.
- A **top-level domain (TLD)** is the highest-level domain in DNS, represented by the last part of an FQDN (for example, .com and .edu). The most commonly used TLDs are generic top-level domains (gTLDs) (such as .com, edu, .net, and .org) and country-code top-level domains (ccTLDs) (such as .ca and .us).
- An **authoritative** DNS server is the system of record for a given domain.

A host (such as a web browser on a desktop computer) on a network that needs to connect to another host (such as a web server on the internet) must first translate the name of the destination host from its URL to an IP address. The connecting host (the DNS client) sends a DNS request to the IP address of the DNS server that is specified in the network configuration of the DNS client. If the DNS server is authoritative for the destination domain, the DNS server resolves the IP address of the destination host and answers the DNS request from the DNS client. For example, you are attempting to connect to an *intranet* server on your internal network from the desktop computer in your office. If the DNS server address that is configured on your computer is an internal DNS server that is authoritative for your intranet domain, the DNS server resolves the IP address of the intranet server. Your computer then encapsulates the resolved destination IP address in the *Hypertext Transfer Protocol* (HTTP) or *Hypertext Transfer Protocol Secure* (HTTPS) request packets that are sent to the intranet server.

If a DNS server is not authoritative for the destination domain (for example, an internet website address), then the DNS server performs a *recursive* query (if it is configured to perform recursive queries) to obtain the IP address of the authoritative DNS server and then sends the original DNS request to the authoritative DNS server. This process is a top-down process in which the DNS server first consults its root hints file and queries a root name server to identify the authoritative DNS server for the top-level domain (TLD; for example, .com) associated with the DNS query. The DNS server then queries the TLD server to identify the authoritative server for the specific domain that is being queried (for example, paloaltonetworks.com). This process continues until the authoritative server for the FQDN is identified and queried. The recursive DNS server then answers the original DNS client's request with the DNS information from the authoritative DNS server.

DNS over HTTPS (DoH) is a more secure implementation of the DNS protocol that uses HTTPS to encrypt data between the DNS client and the DNS resolver.

The basic DNS record types are as follows:

- **A (IPv4) or AAAA (IPv6) (Address):** Maps a domain or subdomain to an IP address or multiple IP addresses
- **CNAME (Canonical Name):** Maps a domain or subdomain to another hostname
- **MX (Mail Exchanger):** Specifies the hostname or hostnames of email servers for a domain
- **PTR (Pointer):** Points to a CNAME; commonly used for reverse DNS lookups that map an IP address to a host in a domain or subdomain
- **SOA (Start of Authority):** Specifies authoritative information about a DNS zone such as primary name server, email address of the domain administrator, and domain serial number
- **NS (Name Server):** The NS record specifies an authoritative name server for a given host.
- **TXT (Text):** Stores text-based information

Key Terms

- An **intranet** is a private network that provides information and resources such as a company directory, human resources policies and forms, department or team files, and other internal information to an organization's users. Like the internet, an intranet uses the HTTP and/or HTTPS protocols, but access to an intranet typically is restricted to an organization's internal users. Microsoft SharePoint is a popular example of intranet software.
- **Hypertext Transfer Protocol (HTTP)** is an application protocol used to transfer data between web servers and web browsers.
- **Hypertext Transfer Protocol Secure (HTTPS)** is a secure version of HTTP that uses Secure Sockets Layer (SSL) or Transport Layer Security (TLS) encryption.
- A **recursive** DNS query is performed (if the DNS server allows recursive queries) when a DNS server is not authoritative for a destination domain. The non-authoritative DNS server obtains the IP address of the authoritative DNS server for the destination domain and sends the original DNS request to that server to be resolved.
- **DNS over HTTPS (DOH)** uses the HTTPS protocol to encrypt DNS traffic.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which DNS record type do you use to find the IPv4 address of a host?
 - A. A
 - B. AAAA
 - C. PTR
 - D. MX
2. Which DNS record type do you use to find the IPv6 address of a host?
 - A. A
 - B. AAAA
 - C. PTR
 - D. MX
3. A website is called `www.amazing.co.uk`. What does that mean?
 - A. The website is hosted in the United Kingdom by a company called Amazing.

- B. The website can be hosted anywhere, but the company must be located in the United Kingdom.
- C. The website can be hosted anywhere, and the company decided to appear British.
- D. The company decided to appear British, and the website is hosted in the United Kingdom.

2.5 Identify categories of Internet of Things (IoT)

In 2019, there were nearly 27 billion active internet of things (IoT) devices worldwide, including *machine-to-machine* (M2M), wide-area IoT, short-range IoT, massive-and-critical IoT, and *multi-access edge computing* (MEC) devices (source: <https://securitytoday.com/Articles/2020/01/13/The-IoT-Rundown-for-2020>).

Key Terms

- **Machine-to-machine (M2M)** devices are networked devices that exchange data and can perform actions without manual human interaction.
- **Multi-access edge computing (MEC)** is defined by the European Telecommunications Standards Institute (ETSI) as an environment “characterized by ultra-low latency and high bandwidth as well as real-time access to radio network information that can be leveraged by applications.”

IoT connectivity technologies are broadly categorized as follows:

- **Cellular:**
 - **2G/2.5G:** 2G connectivity remains a prevalent and viable IoT connectivity option due to the low cost of 2G modules, relatively long battery life, and large installed base of 2G sensors and M2M applications.
 - **3G:** IoT devices with 3G modules use either Wideband Code Division Multiple Access (W-CDMA) or Evolved High Speed Packet Access (HSPA+ and Advanced HSPA+) to achieve data transfer rates of 384Kbps to 168Mbps.
 - **4G/Long-Term Evolution (LTE):** 4G/LTE networks enable real-time IoT use cases, such as autonomous vehicles, with 4G LTE Advanced Pro delivering speeds in excess of 3Gbps and less than 2 milliseconds of latency.
 - **5G.** 5G cellular technology provides significant enhancements compared to 4G/LTE networks and is backed by ultra-low latency, massive connectivity and scalability for IoT devices, more efficient use of the licensed spectrum, and network slicing for application traffic prioritization.
- **Satellite:**
 - **C-band:** C-band satellite operates in the 4 to 8 gigahertz (GHz) range. It is used in some Wi-Fi devices and cordless phones, and in surveillance and weather radar systems.
 - **L-band:** L-band satellite operates in the 1 to 2GHz range. It commonly is used for radar, global positioning systems (GPSs), radio, and telecommunications applications.

- **Short-range wireless:**
 - **Adaptive Network Technology + (ANT+):** ANT+ is a proprietary multicast wireless sensor network technology primarily used in personal wearables, such as sports and fitness sensors.
 - **Bluetooth/Bluetooth Low-Energy (BLE):** Bluetooth is a low-power, short-range communications technology primarily designed for point-to-point communications between wireless devices in a hub-and-spoke topology. BLE (also known as Bluetooth Smart or Bluetooth 4.0+) devices consume significantly less power than Bluetooth devices and can access the internet directly through 6LoWPAN connectivity.
 - **Internet Protocol version 6 (IPv6) over Low-Power Wireless Personal Area Networks (6LoWPAN):** 6LoWPAN allows IPv6 traffic to be carried over low-power wireless mesh networks. 6LoWPAN is designed for nodes and applications that require wireless internet connectivity at relatively low data rates in small form factors, such as smart light bulbs and smart meters.
 - **Wi-Fi/802.11:** The Institute of Electrical and Electronics Engineers (IEEE) defines the 802 LAN protocol standards. 802.11 is the set of standards used for Wi-Fi networks typically operating in the 2.4GHz and 5GHz frequency bands. The most common implementations today include:
 - 802.11n (labeled Wi-Fi 4 by the Wi-Fi Alliance), which operates on both 2.4GHz and 5GHz bands at ranges from 54Mbps to 600Mbps
 - 802.11ac (Wi-Fi 5), which operates on the 5GHz band at ranges from 433Mbps to 3.46 Gbps
 - 802.11ax (Wi-Fi 6), which operates on the 2.4GHz and 5GHz bands (and all bands between 1 and 6GHz, when they become available for 802.11 use) at ranges up to 11Gbps
 - **Z-Wave:** Z-Wave is a low-energy wireless mesh network protocol primarily used for home automation applications such as smart appliances, lighting control, security systems, smart thermostats, windows and locks, and garage doors.
 - **Zigbee/802.14:** Zigbee is a low-cost, low-power wireless mesh network protocol based on the IEEE 802.15.4 standard. Zigbee is the dominant protocol in the low-power networking market, with a large installed base in industrial environments and smart home products.
- Low-power WAN (LP-WAN) and other wireless WAN (WWAN):
 - **Narrowband IoT (NB-IoT):** NB-IoT provides low cost, long battery life, and high connection density for indoor applications. It uses a subset of the LTE standard in the 200 kilohertz (kHz) range.
 - **LoRa:** The LoRa Alliance is driving the Long-Range Wide-Area Network (LoRaWAN) protocol as the open global standard for secure, carrier-grade IoT low-power wide-area (LPWA) connectivity, primarily for large-scale public networks with a single operator.
 - **Sigfox:** Sigfox provides subscription-based global cellular LPWA connectivity for IoT devices. The Sigfox network relies on Ultra Narrowband (UNB) modulation and operates in unlicensed sub-GHz frequency bands.

- **Worldwide Interoperability for Microwave Access (WiMAX):** WiMAX is a family of wireless broadband communications standards based on the IEEE 802.16 standards. WiMAX applications include portable mobile broadband connectivity, smart grids and metering, and internet failover for business continuity.

Identity of Things (IDoT) refers to Identity and Access Management (IAM) solutions for the IoT. These solutions must be able to manage human-to-device, device-to-device, and/or device-to-service/system IAM by:

- Establishing a naming system for IoT devices
- Determining an identity lifecycle for IoT devices, ensuring that it can be modified to meet the projected lifetime of IoT devices
- Creating a well-defined process for registering IoT devices. The type of data that the device will be transmitting and receiving should shape the registration process.
- Defining security safeguards for data streams from IoT devices
- Outlining well-defined authentication and authorization processes for admin local access to connected devices
- Creating safeguards for protecting different types of data, making sure to create privacy safeguards for personally identifiable information (PII)

Though the IoT presents innovative new approaches and services in all industries, it also presents new cybersecurity risks. According to research conducted by the Palo Alto Networks Unit 42 threat intelligence team, the general security posture of IoT devices is declining, thus leaving organizations vulnerable to new IoT-targeted malware and older attack techniques that IT teams have long forgotten. Key findings include:

- **IoT devices are unencrypted and unsecured:** Ninety-eight percent of all IoT device traffic is unencrypted, thus exposing personal and confidential data on the network. Attackers that have successfully bypassed the first line of defense (most frequently via phishing attacks) and established C2 can listen to unencrypted network traffic, collect personal or confidential information, and then exploit that data for profit on the dark web.

Fifty-seven percent of IoT devices are vulnerable to medium-severity or high-severity attacks, thus making IoT the “low-hanging fruit” for attackers. Because of the generally low patch level of IoT assets, the most frequent attacks are exploits via long-known vulnerabilities and password attacks using default device passwords.

- **Internet of Medical Things (IoMT) devices are running outdated software:** In 2019, 83 percent of medical imaging devices run on unsupported operating systems, which is a 56 percent jump from 2018, as a result of the Windows 7 operating system reaching its end of life. This general decline in security posture presents opportunities for new attacks, such as cryptojacking (which increased from 0 percent in 2017 to 5 percent in 2019) and brings back long-forgotten attacks such as Conficker, which IT environments had previously been immune to for a long time.

The IoMT devices with the most security issues are imaging systems, which represent a critical part of the clinical workflow. For healthcare organizations, 51 percent of threats involve imaging devices, disrupting the quality of care and allowing attackers to exfiltrate patient data stored on these devices.

- **Healthcare organizations are displaying poor network security hygiene:** Seventy-two percent of healthcare VLANs mix IoT and IT assets, allowing malware to spread from users' computers to vulnerable IoT devices on the same network. There is a 41 percent rate of attacks exploiting device vulnerabilities, as IT-borne attacks scan through network-connected devices in an attempt to exploit known weaknesses. We're seeing a shift from IoT botnets conducting denial-of-service attacks to more sophisticated attacks targeting patient identities, corporate data, and monetary profit via ransomware.
- **IoT-focused cyberattacks are targeting legacy protocols:** There is an evolution of threats targeting IoT devices using new techniques, such as peer-to-peer C2 communications and wormlike features for self-propagation. Attackers recognize the vulnerability of decades-old legacy operational technology (OT) protocols, such as Digital Imaging and Communications in Medicine (DICOM), and can disrupt critical business functions in the organization.

Zingbox IoT Guardian is a Palo Alto Networks IoT security offering that automates the orchestration of the IoT lifecycle to provide security, management, and optimization of all assets. Zingbox IoT Guardian uses a unique, IoT personality-based approach to secure and manage IoT devices with integrated IoT security based on machine learning throughout their entire lifecycles, from discovery through retirement. It allows customers to automate threat detection and response for their IT and IoT infrastructures from a single system.

References:

Mayan, Gilad David. "The IoT Rundown for 2020: Stats, Risks, and Solutions." Security Today. January 13, 2020. <https://securitytoday.com/Articles/2020/01/13/The-IoT-Rundown-for-2020>.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which devices is M2M (machine to machine)?
 - A. internet-connected TV
 - B. home alarm that dials the police for response
 - C. car GPS
 - D. temperature sensor connected to a fire suppression system
2. Sensors for a cultivated field must report the results once a day. These sensors are powered by batteries that need to last for years. Which form of connectivity do you use?
 - A. Bluetooth
 - B. Wi-Fi
 - C. LoRaWAN
 - D. Satellite C-Band
3. Which two advantages make 2G a popular choice for cellular IoT devices? (Choose two.)
 - A. low latency
 - B. high latency
 - C. low hardware cost
 - D. high bandwidth
 - E. low power consumption
4. Why are IoT devices so often insecure?

- A. rushed development
- B. long release and patch cycles
- C. insufficient time for quality assurance
- D. low development budget

2.6 Review the structure of an IPv4/IPv6 address

Physical, logical, and virtual addressing in computer networks requires a basic understanding of decimal (base10), binary (base2), and hexadecimal (base16) numbering (see Table 2-1).

The decimal (base10) numbering system comprises the numerals 0 through 9. Humans use the decimal numbering system because we have ten fingers, so a base10 numbering system is easiest for humans to understand.

Decimal	Hexadecimal	Binary
0	0	0000
1	1	0001
2	2	0010
3	3	0011
4	4	0100
5	5	0101
6	6	0110
7	7	0111
8	8	1000
9	9	1001
10	A	1010
11	B	1011
12	C	1100
13	D	1101
14	E	1110
15	F	1111

Table 2-1: *Decimal, hexadecimal, and binary notation*

A binary (base2) numbering system comprises only two digits: 1 (“on”) and 0 (“off”). Binary numbering is used in computers and networking because they use electrical transistors (rather than fingers) to count. The basic function of a transistor is a gate: When electrical current is present, the gate is closed (“1” or “on”). When no electrical current is present, the gate is open (“0” or “off”). With only two digits, a binary numbering system increments to the next position more frequently than a decimal numbering system. For example, the decimal number one is represented in binary as “1,” number two is represented as “10,” number three is represented as “11,” and number four is represented as “100.”

A hexadecimal (base16) numbering system comprises 16 digits (0 through 9, and A through F). Hexadecimal numbering is used because it is more convenient to represent a byte (which consists of 8 bits) of data as two digits in hexadecimal, rather than eight digits in binary. The decimal numbers 0 through 9 are represented as in hexadecimal “0” through “9,” respectively. However, the decimal number 10 is represented in hexadecimal as “A,” the number 11 is represented as “B,” the number 12 is represented as “C,” the number 13 is represented as “D,” the number 14 is represented as “E,” and the number 15 is represented as “F.” The number 16 then increments to the next numeric position, represented as “10.”

The physical address of a network device, known as a *media access control* (MAC) address (also referred to as a burned-in address [BIA] or hardware address), is used to forward traffic on a local network segment. The MAC address is a unique 48-bit identifier assigned to the network adapter of a device. If a device has multiple NICs, each NIC must have a unique MAC address. The MAC address is usually assigned by the device manufacturer and is stored in the device read-only memory (ROM) or firmware. MAC addresses typically are expressed in hexadecimal format with a colon or hyphen separating each 8-bit section.

An example of a 48-bit MAC address is:

- 00:40:96:9d:68:16

The logical address of a network device, such as an IP address, is used to route traffic from one network to another. An IP address is a unique 32-bit or 128-bit (IPv4 and IPv6, respectively) address assigned to the NIC of a device. If a device has multiple NICs, each NIC may be assigned a unique IP address, or multiple NICs may be assigned a virtual IP address to enable bandwidth aggregation or failover capabilities. IP addresses are statically or dynamically (most commonly using *Dynamic Host Configuration Protocol*, or DHCP) assigned, typically by a network administrator or network service provider (NSP). IPv4 addresses usually are expressed in dotted decimal notation with a dot separating each decimal section (known as an *octet*).

An example of an IPv4 address is:

- 192.168.0.1

IPv6 addresses typically are expressed in hexadecimal format (32 hexadecimal numbers grouped into eight blocks) with a colon separating each block of four hexadecimal digits (known as a *hextet*).

An example of an IPv6 address is:

- 2001:0db8:0000:0000:0008:0800:200c:417a

IPv4 and IPv6 addressing is explained further below.

Address Resolution Protocol (ARP) translates a logical address, such as an IP address, to a physical MAC address. *Reverse Address Resolution Protocol* (RARP) translates a physical MAC

address to a logical address.

Key Terms

- A **media access control (MAC)** address is a unique 48-bit or 64-bit identifier assigned to a network interface card (NIC) for communications at the Data Link layer of the OSI model.
- **Dynamic Host Configuration Protocol (DHCP)** is a network management protocol that dynamically assigns (leases) IP addresses and other network configuration parameters (such as default gateway and DNS information) to devices on a network.
- A **default gateway** is a network device, such as a router or switch, to which an endpoint sends network traffic when a specific destination IP address is not specified by an application or service, or when the endpoint does not know how to reach a specified destination.
- An **octet** is a group of 8 bits in a 32-bit IPv4 address.
- A **hextet** is a group of four 4-bit hexadecimal digits in a 128-bit IPv6 address.
- **Address Resolution Protocol (ARP)** translates a logical address, such as an IP address, to a physical MAC address. Reverse Address Resolution Protocol (RARP) translates a physical MAC address to a logical address.

DHCP is a network management protocol used to dynamically assign IP addresses to devices that do not have a statically assigned (manually configured) IP address on a TCP/IP network. Bootstrap Protocol (BOOTP) is a similar network management protocol that is commonly used on Unix and Linux TCP/IP networks. When a network-connected device that does not have a statically assigned IP address is powered on, the DHCP client software on the device broadcasts a DHCPDISCOVER message on UDP port 67. When a DHCP server on the same subnet (or a different subnet if a DHCP Helper or DHCP Relay Agent is configured) as the client receives the DHCPDISCOVER message, it reserves an IP address for the client and sends a DHCPOFFER message to the client on UDP port 68. The DHCPOFFER message contains the MAC address of the client, the IP address that is being offered, the subnet mask, the lease duration, and the IP address of the DHCP server that made the offer. When the client receives the DHCPOFFER, it broadcasts a DHCPREQUEST message on UDP port 67, requesting the IP address that was offered. A client may receive DHCPOFFER messages from multiple DHCP servers on a subnet but can accept only one offer. When the DHCPREQUEST message is broadcast, the other DHCP servers that sent an offer that was not requested (in effect, accepted) in the DHCPREQUEST message will withdraw their offers. Finally, when the correct DHCP server receives the DHCPREQUEST message, it sends a DHCPACK (acknowledgment) message on UDP port 68, and the IP configuration process is completed (see Figure 2-1).

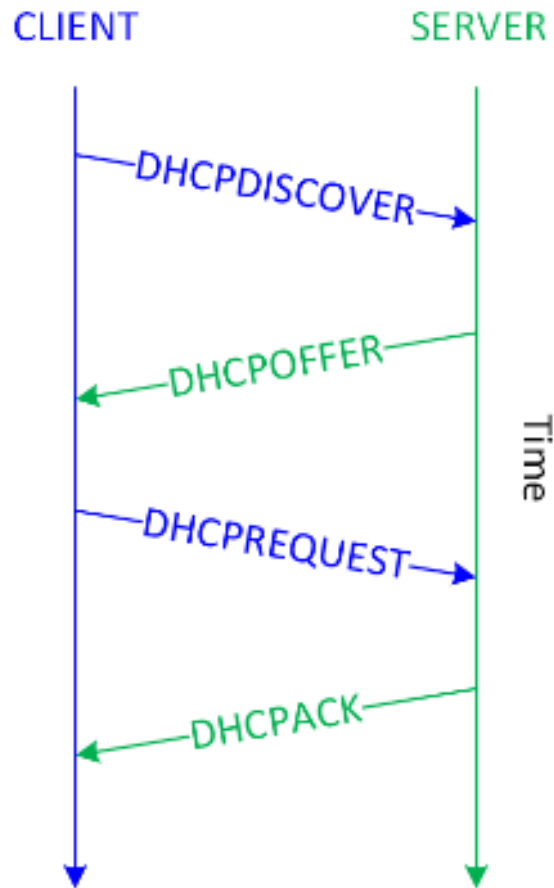


Figure 2-1: DHCP operation

Network address translation (NAT) virtualizes IP addresses by mapping private, non-routable IP addresses that are assigned to internal network devices to public IP addresses when communication across the internet is required. NAT commonly is implemented on firewalls and routers to conserve public IP addresses.

Data packets are routed over a Transmission Control Protocol/Internet Protocol (TCP/IP) network using IP addressing information. IPv4, which is the most widely deployed version of IP, consists of a 32-bit logical IP address. The first four bits in an octet are known as the *high-order* bits; the first bit in the octet is referred to as the *most significant* bit. The last four bits in an octet are known as the *low-order* bits; the last bit in the octet is referred to as the *least significant* bit.

Key Terms

- **Network address translation (NAT)** virtualizes IP addresses by mapping private, non-routable IP addresses assigned to internal network devices to public IP addresses.
- The first four bits in a 32-bit IPv4 address octet are referred to as the **high-order** bits.
- The last four bits in a 32-bit IPv4 address octet are referred to as the **low-order** bits.
- The first bit in a 32-bit IPv4 address octet is referred to as the **most significant** bit.
- The last bit in a 32-bit IPv4 address octet is referred to as the **least significant** bit.

As shown in Table 2-2, each bit position represents its value if the bit is “on” (1); otherwise, the bit’s value is zero (“off” or 0).

High-order bits				Low-order bits			
128	64	32	16	8	4	2	1

Table 2-2: *Bit position values in an IPv4 address*

Each octet contains an 8-bit number with a value of 0 to 255. Table 2-3 shows a partial list of octet values in binary notation.

Decimal	Binary	Decimal	Binary	Decimal	Binary
255	1111 1111	172	1010 1100	64	0100 0000
254	1111 1110	170	1010 1010	32	0010 0000
253	1111 1101	160	1010 0000	16	0001 0000
252	1111 1100	150	1001 0110	8	0000 1000
251	1111 1011	140	1000 1100	7	0000 0111
250	1111 1010	130	1000 0010	6	0000 0110
249	1111 1001	128	1000 0000	5	0000 0101
248	1111 1000	120	0111 1000	4	0000 0100
224	1110 0000	110	0110 1110	3	0000 0011
200	1100 1000	100	0110 0100	2	0000 0010
192	1100 0000	96	0110 0000	1	0000 0001
180	1011 0100	90	0101 1010	0	0000 0000

Table 2-3: *Binary notation of octet values*

The five IPv4 address classes (indicated by the high-order bits) are shown in Table 2-4.

Classes	Purpose	High-Order Bits	Address Range	Max. # of Hosts
A	Large networks	0	1 to 126	16,777,214

B	Medium-size networks	10	128 to 191	65,534
C	Small networks	110	192 to 223	254
D	Multicast	1110	224 to 239	—
E	Experimental	1111	240 to 254	—

Table 2-4: *IP address classes*

The address range 127.0.0.1 to 127.255.255.255 is a loopback network used for testing and troubleshooting. Packets sent to a loopback (or localhost) address such as 127.0.0.1 are immediately routed back to the source device.

A *subnet mask* is a number that hides the network portion of an IPv4 address, leaving only the host portion of the IP address. The network portion of a subnet mask is represented by contiguous “on” (1) bits beginning with the most significant bit. For example, in the subnet mask 255.255.255.0, the first three octets represent the network portion and the last octet represents the host portion of an IP address. Recall that the decimal number 255 is represented in binary notation as 1111 1111 (see Table 2-2).

Key Terms

- A **subnet mask** is a number that hides the network portion of an IPv4 address, leaving only the host portion of the IP address.

The default (or standard) subnet masks for Class A, B, and C networks are:

- **Class A:** 255.0.0.0
- **Class B:** 255.255.0.0
- **Class C:** 255.255.255.0

Several IPv4 address ranges are reserved for use in private networks and are not routable on the internet, including:

- 10.0.0.0–10.255.255.255 (Class A)
- 172.16.0.0–172.31.255.255 (Class B)
- 192.168.0.0–192.168.255.255 (Class C)

The 32-bit address space of an IPv4 address limits the total number of unique public IP addresses to about 4.3 billion. The widespread use of NAT delayed the inevitable depletion of IPv4 addresses, but, as of 2018, the pool of available IPv4 addresses that can be assigned to organizations is officially depleted. (A small pool of IPv4 addresses has been reserved by each regional internet registry to facilitate the transition to IPv6.) IPv6 addresses, which use a 128-bit hexadecimal address space providing about 3.4×10^{38} (340 hundred undecillion) unique IP addresses, was created to replace IPv4 when the IPv4 address space was exhausted.

IPv6 addresses consist of 32 hexadecimal numbers grouped into eight hextets of four hexadecimal digits, separated by a colon. A hexadecimal digit is represented by 4 bits (see Table 2-1), so each hextet is 16 bits (four 4-bit hexadecimal digits), and eight 16-bit hextets equals 128 bits.

An IPv6 address is further divided into two 64-bit segments: The first (also referred to as the “top” or “upper”) 64 bits represent the network part of the address, and the last (also referred to as the “bottom” or “lower”) 64 bits represent the node or interface part of the address. The network part is further subdivided into a 48-bit global network address and a 16-bit subnet. The node or interface part of the address is based on the MAC address of the node or interface.

The basic format for an IPv6 address is:

- xxxx:xxxx:xxxx:xxxx:xxxx:xxxx:xxxx:xxxx
where x represents a hexadecimal digit (0–f).

This is an example of an IPv6 address:

- 2001:0db8:0000:0000:0008:0800:200c:417a

The Internet Engineering Task Force (IETF) has defined several rules to simplify an IPv6 address:

- Leading zeros in an individual hextet can be omitted, but each hextet must have at least one hexadecimal digit, except as noted in the next rule. Application of this rule to the previous example yields this result: 2001:db8:0:0:8:800:200c:417a.
- Two colons (::) can be used to represent one or more groups of 16 bits of zeros, and leading or trailing zeroes in an address; the two colons (::) can appear only once in an IPv6 address. Application of this rule to the previous example yields this result: 2001:db8::8:800:200c:417a.
- In mixed IPv4 and IPv6 environments, the form x:x:x:x:x:d.d.d.d can be used, in which x represents the six high-order 16-bit hextets of the address and d represents the four low-order 8-bit octets (in standard IPv4 notation) of the address. For example, 0db8:0:0:0:0:FFFF:129.144.52.38 is a valid IPv6 address. Application of the previous two rules to this example yields this result: db8::ffff:129.144.52.38.

IPv6 security features are specified in Request for Comments (RFC) 7112 and include techniques to prevent fragmentation exploits in IPv6 headers and implementation of Internet Protocol Security (IPsec) at the Network layer of the OSI model.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which option is an example of a logical address?
A. IP

- B. hardware
 - C. MAC
 - D. burned-in
2. How many bytes are in an IPv6 address?
 - A. 4
 - B. 8
 - C. 16
 - D. 32
 3. Which two components are in an IPv4 address? (Choose two.)
 - A. network
 - B. MAC address
 - C. host
 - D. device type
 - E. route number
 4. In which two scenarios does network address translation (NAT) reduce the number of needed IP addresses? (Choose two.)
 - A. devices are clients, dynamic NAT that hides them behind a single IP
 - B. devices are servers, dynamic NAT for load balancing that makes them appear a single device
 - C. devices are clients, static NAT to let them share an IP address
 - D. devices are servers, static NAT to let them share an IP address
 5. How does ARP translate logical addresses?
 - A. IPv6 to IPv4 logical addresses
 - B. IPv4 to IPv6 logical addresses
 - C. IPv4 to MAC addresses
 - D. IPv6 to MAC addresses
 6. What is the purpose of NDP?
 - A. IPv6 to IPv4 logical addresses
 - B. IPv4 to IPv6 logical addresses
 - C. IPv4 to MAC addresses
 - D. IPv6 to MAC addresses

2.7 Describe the purpose of IPv4 subnetting

Subnetting is a technique used to divide a large network into smaller, multiple subnetworks by segmenting an IP address into two parts: the network and the host. Subnetting can be used to limit network traffic or limit the number of devices that are visible to, or can connect to, each other. Routers examine IP addresses and subnet values (called masks) and determine whether to forward packets between networks. With IP addressing, the subnet mask is a required element.

Key Terms

- **Subnetting** is a technique used to divide a large network into smaller subnetworks.

For a Class C IPv4 address, there are 254 possible node (or host) addresses (2^8 or 256 potential addresses, but you lose two addresses for each network: one for the base network address and the other for the broadcast address). A typical Class C network uses a default 24-bit subnet mask

(255.255.255.0). This subnet mask value identifies the network portion of an IPv4 address, with the first three octets being all ones (11111111 in binary notation, 255 in decimal notation). The mask displays the last octet as zero (00000000 in binary notation). For a Class C IPv4 address with the default subnet mask, the last octet is where the node-specific values of the IPv4 address are assigned.

For example, in a network with an IPv4 address of 192.168.1.0 and a mask value of 255.255.255.0, the network portion of the address is 192.168.1, and 254 node addresses (192.168.1.1 through 192.168.1.254) are available. Remember, the first address (192.168.1.0) is the base network, and the last address (192.168.1.255) is the broadcast address.

Class A and Class B IPv4 addresses use smaller mask values and support larger numbers of nodes than Class C IPv4 addresses for their default address assignments. Class A networks use a default 8-bit (255.0.0.0) subnet mask, which provides a total of more than 16 million (256 x 256 x 256) available IPv4 node addresses. Class B networks use a default 16-bit (255.255.0.0) subnet mask, which provides a total of 65,534 (256 x 256, minus the network address and the broadcast address) available IPv4 node addresses.

Unlike subnetting, which divides an IPv4 address along an arbitrary (default) classful 8-bit boundary (8 bits for a Class A network, 16 bits for a Class B network, 24 bits for a Class C network), *classless inter-domain routing* (CIDR) allocates address space on any address bit boundary (known as *variable-length subnet masking*, or VLSM). For example, using CIDR, a Class A network could be assigned a 24-bit mask (255.255.255.0, instead of the default 8-bit 255.0.0.0 mask) to limit the subnet to only 254 addresses, or a 23-bit mask (255.255.254.0) to limit the subnet to 512 addresses.

CIDR is used to reduce the size of routing tables on internet routers by aggregating multiple contiguous network prefixes (known as *supernetting*).

Key Terms

- ***Classless inter-domain routing (CIDR)*** is a method for allocating IP addresses and IP routing that replaces classful IP addressing (for example, Class A, B, and C networks) with classless IP addressing.
- ***Variable-length subnet masking (VLSM)*** is a technique that enables IP address spaces to be divided into different sizes.
- ***Supernetting*** aggregates multiple contiguous smaller networks into a larger network to enable more efficient internet routing.

An IP address can be represented with its subnet mask value, using “netbit” or CIDR notation. A netbit value represents the number of ones in the subnet mask and is displayed after an IP address, separated by a forward slash.

For example, 192.168.1.0/24 represents a subnet mask consisting of 24 ones:

- 11111111.11111111.11111111.00000000 (in binary notation)

or

- 255.255.255.0 (in decimal notation)

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. What is the subnet mask for the network 10.2.0.0/20?
 - A. 255.0.0.0
 - B. 255.255.0.0
 - C. 255.255.240.0
 - D. 255.255.255.0
2. Which two networks are subnets of 10.2.0.0/20? (Select two)
 - A. 10.2.0.0/19
 - B. 10.2.5.0/24
 - C. 10.2.20.0/24
 - D. 10.2.14.0/28
 - E. 10.2.0.0/16
3. What is the theoretical maximum number of devices in a class B?
 - A. $2^{24}-2 = 16777214$
 - B. $2^{20}-2 = 1048574$
 - C. $2^{16}-2 = 65534$
 - D. $2^8-2 = 254$
4. How many /28 subnets can you fit in a class C?
 - A. 2
 - B. 4
 - C. 8
 - D. 16

2.8 Review the OSI and TCP/IP models

The *Open Systems Interconnection* (OSI) and *Transmission Control Protocol/Internet Protocol* (TCP/IP) models define standard protocols for network communication and interoperability.

Using a layered approach, the OSI and TCP/IP models:

- Clarify the general functions of communications processes
- Reduce complex networking processes to simpler sublayers and components
- Promote interoperability through standard interfaces
- Enable vendors to change individual features at a single layer rather than rebuild the entire protocol stack
- Facilitate logical troubleshooting

The OSI model is defined by the International Organization for Standardization (ISO, not an acronym but the adopted organizational name from the Greek *isos*, meaning “equal”) and consists of seven layers:

- **Application (Layer 7 or L7):** This layer identifies and establishes availability of communication partners, determines resource availability, and synchronizes

communication. Protocols that function at the Application layer include:

- **File Transfer Protocol (FTP):** Used to copy files from one system to another on TCP ports 20 (the data port) and 21 (the control port)
- **Hypertext Transfer Protocol (HTTP):** Used for communication between web servers and web browsers on TCP port 80
- **Hypertext Transfer Protocol Secure (HTTPS):** Used for SSL/TLS encrypted communications between web servers and web browsers on TCP port 443 (and other ports, such as 8443)
- **Internet Message Access Protocol (IMAP):** A store-and-forward electronic mail protocol that allows an email client to access, manage, and synchronize email on a remote mail server on TCP and UDP port 143
- **Post Office Protocol Version 3 (POP3):** An email retrieval protocol that allows an email client to access email on a remote mail server on TCP port 110
- **Simple Mail Transfer Protocol (SMTP):** Used to send and receive email across the internet on TCP/UDP port 25
- **Simple Network Management Protocol (SNMP):** Used to collect network information by polling stations and sending traps (or alerts) to a management station on TCP/UDP ports 161 (agent) and 162 (manager)
- **Telnet.** Provides terminal emulation for remote access to system resources on TCP/UDP port 23
- **Presentation (Layer 6 or L6):** This layer provides coding and conversion functions (such as data representation, character conversion, data compression, and data encryption) to ensure that data sent from the Application layer of one system is compatible with the Application layer of the receiving system. Protocols that function at the Presentation layer include:
 - **American Standard Code for Information Interchange (ASCII):** A character-encoding scheme based on the English alphabet, consisting of 128 characters
 - **Extended Binary-Coded Decimal Interchange Code (EBCDIC):** An 8-bit character-encoding scheme mainly used on mainframe and midrange computers
 - **Graphics Interchange Format (GIF):** A bitmap image format that allows up to 256 colors and is suitable for images or logos (but not photographs)
 - **Joint Photographic Experts Group (JPEG):** A photographic compression method used to store and transmit photographs
 - **Motion Picture Experts Group (MPEG):** An audio and video compression method used to store and transmit audio and video files
- **Session (Layer 5 or L5):** This layer manages communication sessions (service requests and service responses) between networked systems, including connection establishment, data transfer, and connection release. Protocols that function at the Session layer include:
 - **Network File System (NFS):** Facilitates transparent user access to remote resources on a Unix-based TCP/IP network
 - **Remote Procedure Call (RPC):** A client-server network redirection protocol
 - **Secure Shell (SSH):** Establishes an encrypted tunnel between a client and a server
 - **Session Initiation Protocol (SIP):** An open signaling protocol standard for establishing, managing, and terminating real-time communications (such as voice, video, and text)

over large IP-based networks

- **Transport (Layer 4 or L4):** This layer provides transparent, reliable data transport and end-to-end transmission control. Specific Transport layer functions include:
 - **Flow control:** Manages data transmission between devices by ensuring that the transmitting device doesn't send more data than the receiving device can process
 - **Multiplexing:** Enables data from multiple applications to be simultaneously transmitted over a single physical link
 - **Virtual circuit management:** Establishes, maintains, and terminates virtual circuits
 - **Error checking and recovery:** Detects transmission errors and resolves any errors that occur, such as requesting that data be retransmitted

TCP and UDP port numbers assigned to applications and services are defined at the Transport layer. Protocols that function at the Transport layer include:

- **Transmission Control Protocol (TCP):** A connection-oriented (a direct connection between network devices is established before data segments are transferred) protocol that provides reliable delivery (received segments are acknowledged, and retransmission of missing or corrupted segments is requested) of data. TCP connections are established via a *three-way handshake*. The additional overhead associated with connection establishment, acknowledgment, and error correction means that TCP generally is slower than connectionless protocols such as User Datagram Protocol (UDP).
- **User Datagram Protocol (UDP):** A connectionless (a direct connection between network devices is not established before *datagrams* are transferred) protocol that provides best-effort delivery (received datagrams are not acknowledged and missing or corrupted datagrams are not requested) of data. UDP has no overhead associated with connection establishment, acknowledgment, sequencing, or error-checking and recovery. UDP is ideal for data that requires fast delivery, if that data isn't sensitive to packet loss and doesn't need to be fragmented. Applications that use UDP include Domain Name System (DNS), Simple Network Management Protocol (SNMP), and streaming audio or video.
- **Stream Control Transmission Protocol (SCTP):** A message-oriented protocol (similar to UDP) that ensures reliable, in-sequence transport with congestion control (similar to TCP).
- **Network (Layer 3 or L3):** This layer provides routing and related functions that enable data to be transported between systems on the same network or on interconnected networks. Routing protocols are defined at this layer. Logical addressing of devices on the network is accomplished at this layer using routed protocols such as Internet Protocol (IP). Routers operate at the Network layer of the OSI model.
- **Data Link (Layer 2):** This layer ensures that messages are delivered to the proper device across a physical network link. This layer also defines the networking protocol (for example, Ethernet) used to send and receive data between individual devices and formats messages from the layers into frames for transmission, handles point-to-point synchronization and error control, and can perform link encryption. Switches typically operate at Layer 2 of the OSI model (although multilayer switches that operate at different layers also exist). The Data Link layer is further divided into two sublayers:
 - **Logical Link Control (LLC):** The LLC sublayer provides an interface for the MAC

sublayer; manages the control, sequencing, and acknowledgment of frames being passed up to the Network layer or down to the Physical layer; and manages timing and *flow control*.

- **Media access control (MAC):** The MAC sublayer is responsible for framing and performs error control using a *cyclic redundancy check* (CRC), identifies MAC addresses, and controls media access.
- **Physical (Layer 1 or L1):** This layer sends and receives bits across the network medium (cabling or wireless links) from one device to another. It specifies the electrical, mechanical, and functional requirements of the network, including network topology, cabling and connectors, and interface types, and the process for converting bits to electrical (or light) signals that can be transmitted across the physical medium.

Key Terms

- In TCP, a *three-way handshake* is used to establish a connection. For example, a PC initiates a connection with a server by sending a TCP SYN (Synchronize) packet. The server replies with a SYN ACK packet (Synchronize Acknowledgment). Finally, the PC sends an ACK or SYN-ACK-ACK packet acknowledging the server's acknowledgment, and data communication begins.
- A UDP *datagram* is a PDU defined at the Transport layer of the OSI model.
- *Flow control* monitors the flow of data between devices to ensure that a receiving device, which may not necessarily be operating at the same speed as the transmitting device, does not drop packets.
- A *cyclic redundancy check (CRC)* is a checksum used to create a message profile. The CRC is recalculated by the receiving device. If the recalculated CRC doesn't match the received CRC, the packet is dropped, and a request to resend the packet is transmitted back to the device that sent the packet.

The TCP/IP model was developed by the U.S. Department of Defense (DoD) and actually preceded the OSI model. Whereas the OSI model is a theoretical model used to logically describe networking processes, the TCP/IP model defines actual networking requirements, for example, for frame construction. The TCP/IP model consists of four layers (see Figure 2-2):

- **Application (Layer 4 or L4):** This layer consists of network applications and processes, and it loosely corresponds to Layers 5 through 7 of the OSI model.
- **Transport (Layer 3 or L3):** This layer provides end-to-end delivery, and it corresponds to Layer 4 of the OSI model.
- **Internet (Layer 2 or L2):** This layer defines the IP datagram and routing, and it corresponds to Layer 3 of the OSI model.
- **Network Access (Layer 1 or L1):** Also referred to as the Link layer, this layer contains routines for accessing physical networks, and it corresponds to Layers 1 and 2 of the OSI model.

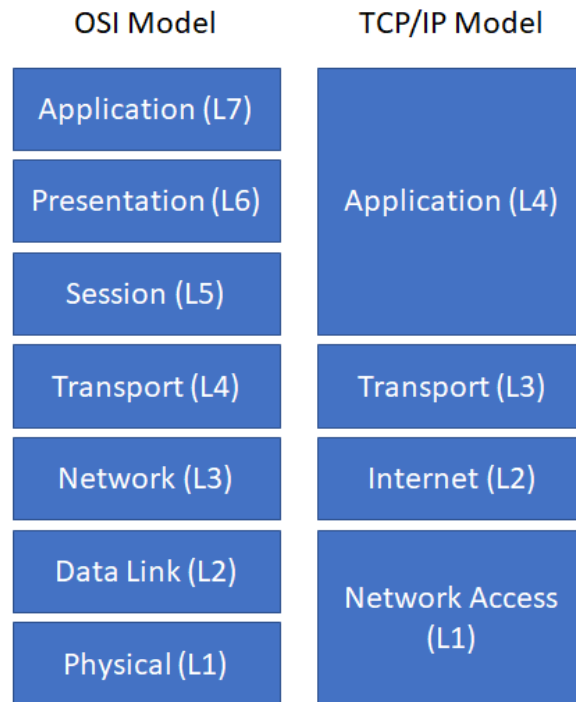


Figure 2-2: *The OSI model and the TCP/IP model*

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

- The OSI model consists of how many layers?
 - four
 - six
 - seven
 - nine
- Which two protocols function at the Transport layer of the OSI model? (Choose two.)
 - Transmission Control Protocol (TCP)
 - Internet Protocol (IP)
 - User Datagram Protocol (UDP)
 - Hypertext Transfer Protocol (HTTP)
- Which four layers comprise the TCP/IP model? (Choose four.)
 - Application
 - Transport
 - Physical
 - Internet
 - Network Access
- Which option shows the ISO layers in the correct order (bottom layer to top)?
 - Physical, Transport, Network, Session, Data link, Presentation, Application
 - Physical, Data link, Network, Application, Presentation, Transport, Session

- C. Physical, Data link, Transport, Session, Presentation, Network, Application
 - D. Physical, Data link, Network, Transport, Session, Presentation, Application
5. Ethernet and WiFi include elements of which two layers? (Choose two.)
- A. Session
 - B. Transport
 - C. Network
 - D. Data link
 - E. Physical
6. The Internet Protocol itself provides the functionality of which layer?
- A. Transport
 - B. Network
 - C. Data link
 - D. Physical
7. When HTTP is used directly to server webpages, it is a protocol of which layer?
- A. Application
 - B. Presentation
 - C. Session
 - D. Transport
8. When HTTP is used to send REST requests, it is a protocol of which layer?
- A. Application
 - B. Presentation
 - C. Session
 - D. Transport

2.9 Explain the data encapsulation process

In a *circuit-switched* network, a dedicated physical circuit path is established, maintained, and terminated between the sender and receiver across a network for each communications session. Before the development of the internet, most communications networks, such as telephone company networks, were circuit-switched. The internet is a *packet-switched* network comprising hundreds of millions of routers and billions of servers and user endpoints. In a packet-switched network, devices share bandwidth on communications links to transport packets between a sender and a receiver across a network. This type of network is more resilient to error and congestion than circuit-switched networks.

An application that needs to send data across the network (for example, from a server to a client computer) first creates a block of data and sends it to the TCP stack on the server. The TCP stack places the block of data into an output buffer on the server and determines the maximum segment size (MSS) of individual TCP blocks (*segments*) permitted by the server operating system. The TCP stack then divides the data blocks into appropriately sized segments (for example, 1,460 bytes), adds a TCP header, and sends the segment to the IP stack on the server. The IP stack adds source (sender) and destination (receiver) IP addresses to the TCP segment (which now is called an IP packet) and notifies the server operating system that it has an outgoing message that is ready to be sent across the network. When the server operating system is ready, the IP packet is sent to the network adapter, which converts the IP packet to bits and sends the message across the network.

Packets on their way to the destination computer typically traverse several network and security devices (such as switches, routers, and firewalls) before reaching the destination computer, where the encapsulation process described is reversed.

Key Terms

- In a **circuit-switched network**, a dedicated physical circuit path is established, maintained, and terminated between the sender and the receiver across a network for each communications session.
- In a **packet-switched network**, devices share bandwidth on communications links to transport packets between the sender and the receiver across a network.
- A **TCP segment** is a **protocol data unit (PDU)** defined at the Transport layer of the OSI model.
- A **protocol data unit (PDU)** is a self-contained unit of data (consisting of user data or control information and network addressing).

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. In a TCP packet sent over Ethernet, what is the order of data?
 - A. Ethernet header, TCP header, and then TCP data
 - B. IP header, TCP header, and then TCP data
 - C. Ethernet header, IP header, TCP header, and then TCP data
 - D. Ethernet header, IP header, IP data, TCP header, and then TCP data
2. Which header does not appear in all packets of an HTTP file transfer over Ethernet?
 - A. Ethernet
 - B. IP
 - C. TCP
 - D. HTTP

2.10 Classify various types of network firewalls

Firewalls have been a cornerstone of network security since the early days of the internet. A firewall is a hardware and/or software platform that controls the flow of traffic between a trusted network (such as a corporate LAN) and an untrusted network (such as the internet).

Packet filtering firewalls

First-generation *packet filtering* (also known as *port-based*) firewalls have the following characteristics:

- They operate up to Layer 4 (Transport layer) of the OSI model and inspect individual packet headers to determine source and destination IP address, protocol (TCP, UDP, ICMP), and port number.
- They match source and destination IP address, protocol, and port number information contained within each packet header to a corresponding rule on the firewall that designates whether the packet should be allowed, blocked, or dropped.

- They inspect and handle each packet individually, with no information about context or session.

Stateful packet inspection firewalls

Second-generation *stateful packet inspection* (also known as *dynamic packet filtering*) firewalls have the following characteristics:

- They operate up to Layer 4 (Transport layer) of the OSI model and maintain state information about the communication sessions that have been established between hosts on the trusted and untrusted networks.
- They inspect individual packet headers to determine source and destination IP address, protocol (TCP, UDP, and ICMP), and port number (during session establishment only) to determine whether the session should be allowed, blocked, or dropped based on configured firewall rules.
- After a permitted connection is established between two hosts, the firewall creates and deletes firewall rules for individual connections, as needed, thus effectively creating a tunnel that allows traffic to flow between the two hosts without further inspection of individual packets during the session.
- This type of firewall is very fast, but it is port-based and it is highly dependent on the trustworthiness of the two hosts because individual packets aren't inspected after the connection is established.

Application firewalls

Third-generation *application* (also known as *Application layer gateways*, *proxy-based*, and *reverse-proxy*) firewalls have the following characteristics:

- They operate up to Layer 7 (Application layer) of the OSI model and control access to specific applications and services on the network.
- They proxy network traffic rather than permit direct communication between hosts. Requests are sent from the originating host to a proxy server, which analyzes the contents of the data packets and, if permitted, sends a copy of the original data packets to the destination host.

They inspect Application layer traffic and thus can identify and block specified content, malware, exploits, websites, and applications or services that use hiding techniques such as encryption and non-standard ports. Proxy servers also can be used to implement strong user authentication and web application filtering and to mask the internal network from untrusted networks. However, proxy servers have a significant negative impact on the overall performance of the network.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. **True or False:** A dynamic packet filtering (also known as stateful packet inspection) firewall only inspects individual packet headers during session establishment to

determine whether the traffic should be allowed, blocked, or dropped by the firewall. After a session is established, individual packets that are part of the session are not inspected.

2. Which type of network firewall provides client address translation by default?
 - A. packet filtering
 - B. stateful packet inspection
 - C. application
 - D. next-generation
3. Which type of firewall requires the least amount of RAM per connection?
 - A. packet filtering
 - B. stateful packet inspection
 - C. application
 - D. next-generation

2.11 Compare intrusion detection and intrusion prevention systems

Intrusion detection systems (IDSs) and intrusion prevention systems (IPSs) provide real-time monitoring of network traffic and perform deep-packet inspection and analysis of network activity and data. Unlike traditional packet filtering and stateful packet inspection firewalls that examine only packet header information, an IDS/IPS examines both the packet header and the payload of network traffic. The IDS/IPS attempts to match known-bad, or malicious, patterns (or signatures) found within inspected packets. An IDS/IPS typically is deployed to detect and block exploits of software vulnerabilities on target networks.

The primary difference between an IDS and an IPS is that an IDS is considered to be a passive system, whereas an IPS is an active system. An IDS monitors and analyzes network activity and provides alerts to potential attacks and vulnerabilities on the network, but it doesn't perform any preventive action to stop an attack. An IPS, however, performs all of the same functions as an IDS but also automatically blocks or drops suspicious, pattern-matching activity on the network in real time. However, an IPS has some disadvantages, including:

- It must be placed inline along a network boundary and thus is directly susceptible to attack itself.
- False alarms must be properly identified and filtered to avoid inadvertently blocking authorized users and applications. A false positive occurs when legitimate traffic is improperly identified as malicious traffic. A false negative occurs when malicious traffic is improperly identified as legitimate traffic.
- It may be used to deploy a denial-of-service (DoS) attack by flooding the IPS, thus causing it to block connections until no connection or bandwidth is available.

IDSs and IPSs also can be classified as knowledge-based (or signature-based) or behavior-based (or statistical anomaly-based) systems:

- A knowledge-based system uses a database of known vulnerabilities and attack profiles to identify intrusion attempts. These types of systems have lower false-alarm rates than behavior-based systems but must be continually updated with new attack signatures to be effective.

A behavior-based system uses a baseline of normal network activity to identify unusual patterns or levels of network activity that may be indicative of an intrusion attempt. These types of systems are more adaptive than knowledge-based systems and therefore may be more effective in detecting previously unknown vulnerabilities and attacks, but they have a much higher false-positive rate than knowledge-based systems.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which type of security measure does intrusion detection system provide?
 - A. preventive
 - B. detective
 - C. corrective
 - D. auditive
2. Which kind of attack can an intrusion prevention system enable?
 - A. trojan horse type malware
 - B. data exfiltration
 - C. command and control
 - D. denial of service
3. Which type of system can be blinded by a low-and-slow approach?
 - A. intrusion detection
 - B. intrusion prevention
 - C. signature based
 - D. behavior based
4. Which type of system cannot identify zero-day vulnerabilities?
 - A. intrusion detection
 - B. intrusion prevention
 - C. signature based
 - D. behavior based

2.12 Define virtual private networks

A virtual private network (VPN) creates a secure, encrypted connection (or tunnel) across the internet back to an organization's network. VPN client software typically is installed on mobile endpoints, such as laptop computers and smartphones, to extend a network beyond the physical boundaries of the organization. The VPN client connects to a VPN server, such as a firewall, router, or VPN appliance (or concentrator). After a VPN tunnel is established, a remote user can access network resources such as file servers, printers, and Voice over IP (VoIP) phones in the same way as if they were physically located in the office.

Point-to-Point Tunneling Protocol

Point-to-Point Tunneling Protocol (PPTP) is a basic VPN protocol that uses Transmission Control Protocol (TCP) port 1723 to establish communication with the VPN peer and then creates a Generic Routing Encapsulation (GRE) tunnel that transports encapsulated Point-to-Point Protocol (PPP) packets between the VPN peers. Although PPTP is easy to set up and is

considered to be very fast, it is perhaps the least secure of the various VPN protocols. It commonly is used with either the Password Authentication Protocol (PAP), Challenge-Handshake Authentication Protocol (CHAP), or Microsoft Challenge-Handshake Authentication Protocol versions 1 and 2 (MS-CHAP v1/v2), all of which have well-known security vulnerabilities, to authenticate tunneled PPP traffic. The Extensible Authentication Protocol Transport Layer Security (EAP-TLS) provides a more secure authentication protocol for PPTP but requires a public key infrastructure (PKI) and therefore is more difficult to set up.

Key Terms

- **Generic Routing Encapsulation (GRE)** is a tunneling protocol developed by Cisco Systems that can encapsulate various Network layer protocols inside virtual point-to-point links.
- **Point-to-Point Protocol (PPP)** is a Layer 2 (Data Link) protocol used to establish a direct connection between two nodes.
- **Password Authentication Protocol (PAP)** is an authentication protocol used by PPP to validate users with an unencrypted password.
- **Microsoft Challenge-Handshake Authentication Protocol (MS-CHAP)** is used to authenticate Microsoft Windows-based workstations, using a challenge-response mechanism to authenticate PPTP connections without sending passwords.
- **Extensible Authentication Protocol Transport Layer Security (EAP-TLS)** is an Internet Engineering Task Force (IETF) open standard that uses the Transport Layer Security (TLS) protocol in Wi-Fi networks and PPP connections.
- **Public key infrastructure (PKI)** is a set of roles, policies, and procedures needed to create, manage, distribute, use, store, and revoke digital certificates and manage public key encryption.

Layer 2 Tunneling Protocol

Layer 2 Tunneling Protocol (L2TP) is supported by most operating systems (including mobile devices). Although it provides no encryption by itself, it is considered secure when used together with IPsec.

Secure Socket Tunneling Protocol

Secure Socket Tunneling Protocol (SSTP) is a VPN tunnel created by Microsoft to transport PPP or L2TP traffic through an SSL 3.0 channel. SSTP primarily is used for secure remote client VPN access, rather than for site-to-site VPN tunnels.

Microsoft Point-to-Point Encryption

Microsoft Point-to-Point Encryption (MPPE) encrypts data in PPP-based dial-up connections or PPTP VPN connections. MPPE uses the RSA RC4 encryption algorithm to provide data confidentiality and supports 40-bit and 128-bit session keys.

OpenVPN

OpenVPN is a highly secure, open-source VPN implementation that uses SSL/TLS encryption for key exchange. OpenVPN uses up to 256-bit encryption and can run over TCP or UDP.

Although it is not natively supported by most major operating systems, it has been ported to most major operating systems, including mobile device operating systems.

Internet Protocol Security

IPsec is a secure communications protocol that authenticates and encrypts IP packets in a communication session. An IPsec VPN requires compatible VPN client software to be installed on the endpoint device. A group password or key is required for configuration. Client-server IPsec VPNs typically require user action to initiate the connection, such as launching the client software and logging in with a username and password.

A security association (SA) in IPsec defines how two or more entities will securely communicate over the network using IPsec. A single Internet Key Exchange (IKE) SA is established between communicating entities to initiate the IPsec VPN tunnel. Separate IPsec SAs then are established for each communication direction in a VPN session.

An IPsec VPN can be configured to force all of the user's internet traffic back through an organization's firewall, thus providing optimal protection with enterprise-grade security but with some performance loss. Or split tunneling can be configured to allow internet traffic from the device to go directly to the internet, while other specific types of traffic route through the IPsec tunnel, for acceptable protection with much less performance degradation.

If split tunneling is used, a personal firewall should be configured and active on the organization's endpoints because a split tunneling configuration can create a "side door" into the organization's network. Attackers essentially can bridge themselves over the internet, through the client endpoint, and into the network over the IPsec tunnel.

Secure Sockets Layer

Secure Sockets Layer (SSL) is an asymmetric encryption protocol used to secure communication sessions. SSL has been superseded by Transport Layer Security (TLS), although SSL still is the more commonly used terminology.

An SSL VPN can be deployed as an agent-based or agentless browser-based connection. An agentless SSL VPN requires users only to launch a web browser, open a VPN portal or webpage using the HTTPS protocol, and log in to the network with their user credentials. An agent-based SSL client is used within the browser session, which persists only while the connection is active and removes itself when the connection is closed. This type of VPN can be particularly useful for remote users that are connecting from an endpoint device they do not own or control, such as a hotel kiosk, where full client VPN software cannot be installed.

SSL VPN technology has become the de facto standard and preferred method of connecting remote endpoint devices back to the enterprise network, and IPsec is most commonly used in site-to-site or device-to-device VPN connections, such as connecting a branch office network to a headquarters location network or data center.

Key Terms

- **Secure Sockets Layer (SSL)** is a cryptographic protocol for managing authentication and encrypted communication between a client and a server to protect the confidentiality and integrity of data exchanged in the session.
- **Transport Layer Security (TLS)** is the successor to SSL (although it still is commonly referred to as SSL).

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which VPN technology is considered the preferred method for securely connecting a remote endpoint device back to an enterprise network?
 - A. Point-to-Point Tunneling Protocol (PPTP)
 - B. Secure Socket Tunneling Protocol (SSTP)
 - C. Secure Sockets Layer (SSL)
 - D. Internet Protocol Security (IPsec)
2. What is the most common business to consumer (B2C) VPN?
 - A. SSL/TLS
 - B. IPsec
 - C. SSH
 - D. PPP
3. What does PKI mean?
 - A. Password/Key Identification
 - B. Passive Key Identification
 - C. Public Key Infrastructure
 - D. Private Key Infrastructure
4. Which VPN would you expect to see in use between two of an organization's data centers?
 - A. SSL/TLS
 - B. IPsec
 - C. SSH
 - D. PPP
5. Which tunneling protocol can you use to connect two Ethernet segments into one?
 - A. PPP
 - B. L2TP
 - C. IPsec (without L2TP)
 - D. SLIP
6. What is the authentication method that uses usernames and passwords?
 - A. PAP
 - B. CHAP
 - C. MS-CHAP
 - D. SAP

2.13 Explain data loss prevention

Network *data loss prevention* (DLP) solutions inspect data that is leaving, or egressing, a network (for example, via email, file transfer, or internet uploads, or by copying to a USB thumb drive) and prevent certain sensitive data as based on defined policies from leaving the network. Sensitive data may include:

- Personally identifiable information (PII) such as names, addresses, birthdates, Social Security numbers, health records (including *electronic medical records*, or EMRs, and *electronic health records*, or EHRs), and financial data (such as bank account numbers and credit card numbers)
- Classified materials (such as military or national security information)
- Intellectual property, trade secrets, and other confidential or proprietary company information

A DLP security solution prevents sensitive data from being transmitted outside the network by a user, either inadvertently or maliciously. A robust DLP solution can detect the presence of certain data patterns even if the data is encrypted.

However, these solutions introduce a potential new vulnerability in the network because they have visibility into, and the ability to decrypt, all data on the network. Other methods rely on decryption happening elsewhere, such as on a web security appliance or other man-in-the-middle decryption engine.

Key Terms

- As defined by HealthIT.gov, an ***electronic medical record (EMR)*** “contains the standard medical and clinical data gathered in one provider’s office.”
- As defined by HealthIT.gov, an ***electronic health record (EHR)*** “go[es] beyond the data collected in the provider’s office and include[s] a more comprehensive patient history. EHR data can be created, managed, and consulted by authorized providers and staff from across more than one healthcare organization.”

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which form does data need to be in for DLP to work?
 - A. ASCII
 - B. cleartext
 - C. uncompressed
 - D. encrypted
2. DLP works in which layer of the ISO model?
 - A. 7, application layer
 - B. 5, session layer
 - C. 4, transport layer
 - D. 3, network layer

2.14 Describe unified threat management

Unified threat management (UTM) devices combine numerous security functions into a single appliance, including:

- Anti-malware
- Anti-spam
- Content filtering
- DLP
- Firewall (stateful inspection)
- IDS/IPS
- VPN

UTM devices don't necessarily perform any of these security functions better than their standalone counterparts, but they nonetheless serve a purpose in small to medium-size enterprise networks as a convenient and inexpensive solution that gives an organization an all-in-one security device. Typical disadvantages of UTM include:

- They sometimes have reduced feature sets to make them more affordable.
- All security functions use the same processor and memory resources. Enablement of all the functions of a UTM can result in up to a 97 percent drop in throughput and performance, as compared to top-end throughput without security features enabled.

Despite numerous security functions running on the same platform, the individual engines operate in silos with little or no integration or cooperation between them.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which three security functions are integrated with a UTM device? (Choose three.)
 - A. cloud access security broker (CASB)
 - B. Remote Browser Isolation (RBI)
 - C. firewall
 - D. Intrusion Detection System (IDS)
 - E. anti-spam
2. Which two resources are shared between the different functions of a UTM device? (Choose two.)
 - A. RAM
 - B. alert information
 - C. CPU
 - D. attack signatures
 - E. firewall state

2.15 Define endpoint security basics

Traditional endpoint security encompasses numerous security tools, such as anti-malware

software, anti-spyware software, personal firewalls, host-based intrusion prevention systems (HIPSs), and mobile device management (MDM) software. Endpoint security also requires implementation of effective endpoint security best practices, including patch management and configuration management.

Most organizations deploy several security products to protect their endpoints, including personal firewalls, HIPSs, MDM, mobile application management (MAM), DLP, and antivirus software. Nevertheless, cyber breaches continue to increase in frequency, variety, and sophistication. The numbers and types of endpoints, including mobile and IoT devices, also has grown exponentially and increased the attack surface. New variants of the Gafgyt, Mirai, and Muhstik botnets, among others, specifically target IoT devices, and new search engines such as Shodan (Shodan.io) can automate the search for vulnerable internet-connected endpoints. Traditional endpoint security solutions and antivirus no longer can prevent security breaches on the endpoint in the rapidly changing threat landscape.

Endpoint security is an essential element of cybersecurity because the network firewall cannot completely protect hosts from zero-day exploits. Zero-day exploits target unknown vulnerabilities in operating system and application software on host machines. Network firewalls may not be able to block an attacker's delivery of a zero-day exploit until a new signature identifying the zero-day attack has been developed and delivered to the firewall.

Network firewalls also may be restricted from decrypting all traffic because of regulations and laws. This restriction provides a window of opportunity for attackers to bypass a firewall's protection and exploit a host machine, thus necessitating endpoint security protection. Endpoint security protection is provided by an application that runs on the host machine. Effective endpoint security must be able to stop malware, exploits, and ransomware before they can compromise the host, provide protection while endpoints are online and offline, and detect threats and automate containment to minimize impact.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which two options are endpoints? (Choose two.)
 - A. laptop computer
 - B. router/modem/access point combo for a home network
 - C. physical database server
 - D. smartphone used to check work email
2. Which method to identify ransomware that uses a zero day exploit is available in endpoint protection, but not on the firewall?
 - A. attack signatures
 - B. behavior analysis
 - C. observation of attack effects
 - D. data decryption
3. Which option is not part of an endpoint protection solution?

- A. firewall
- B. antivirus
- C. man-in-the-middle decryption
- D. intrusion detection

2.16 Compare signature and container-based malware protection

Malware protection (more specifically, antivirus software) has been one of the first and most basic tenets of information security since the early 1980s. Unfortunately, all of this hard-earned experience doesn't necessarily mean that malware protection mechanisms are guaranteed to detect all attacks instantly. For example, Trustwave's 2019 *Global Security Report* found that infection to detection of malware "in the wild" takes an average of 55 days. Interestingly, web-based zero-day attacks, on average, remain "in the wild" up to four times longer than email-based threats because of factors that include user awareness of email-borne threats, availability and use of email security solutions (such as anti-spam and antivirus), and preferred use of the web as a threat vector by malware developers.

This poor "catch rate" is due to several factors. Some malware can mutate or can be updated to avoid detection by traditional anti-malware signatures. Also, advanced malware is increasingly specialized to the point where an attacker can develop customized malware that is targeted against a specific individual or organization.

Traditional anti-malware software uses various approaches to detect and respond to malware threats, including signature-based, container-based, application allow lists, and anomaly-based techniques.

Note: With the proliferation of advanced malware such as remote access trojans (RATs), anti-AV, and root kits/boot kits, security vendors have largely rebranded their antivirus solutions as "anti-malware" and expanded their malware protections to encompass the broader malware classifications.

Signature-based anti-malware software

Signature-based antivirus (or anti-malware) software is the oldest and most commonly used approach for detecting and identifying malware on endpoints. This approach requires security vendors to continuously collect malware samples, create matching signature files for those samples, and distribute those signature files as updates for their endpoint security products to all of their customers.

Deployment of signature-based antivirus software requires installation of an engine that typically has kernel-level access to an endpoint's system resources. Signature-based antivirus software scans an endpoint's hard drive and memory, based on a predefined schedule and in real time when a file is accessed. If a known malware signature is detected, the software performs a predefined action, such as:

- **Quarantine:** Isolates the infected file so that it cannot infect the endpoint or other files

- **Delete:** Removes the infected file
- **Alert:** Notifies the user (and/or system administrator) that malware has been detected

Updated signatures must be regularly and frequently downloaded from the security vendor and installed on the organization's endpoints. Download and processing of signature files in this manner can cause noticeable performance degradations on the networks and endpoints on which they are running.

Although the signature-based approach is very popular, its effectiveness is limited. By design, it is a reactive countermeasure because a signature file for new malware can't be created and delivered until the malware is already "in the wild," during which time networks and endpoints are blind to the threat: the notorious zero-day threat (or attack). The "zero-day" label is misleading, however, because the number of days from release to detection averages 5 to 20 days (see Figure 2-3).

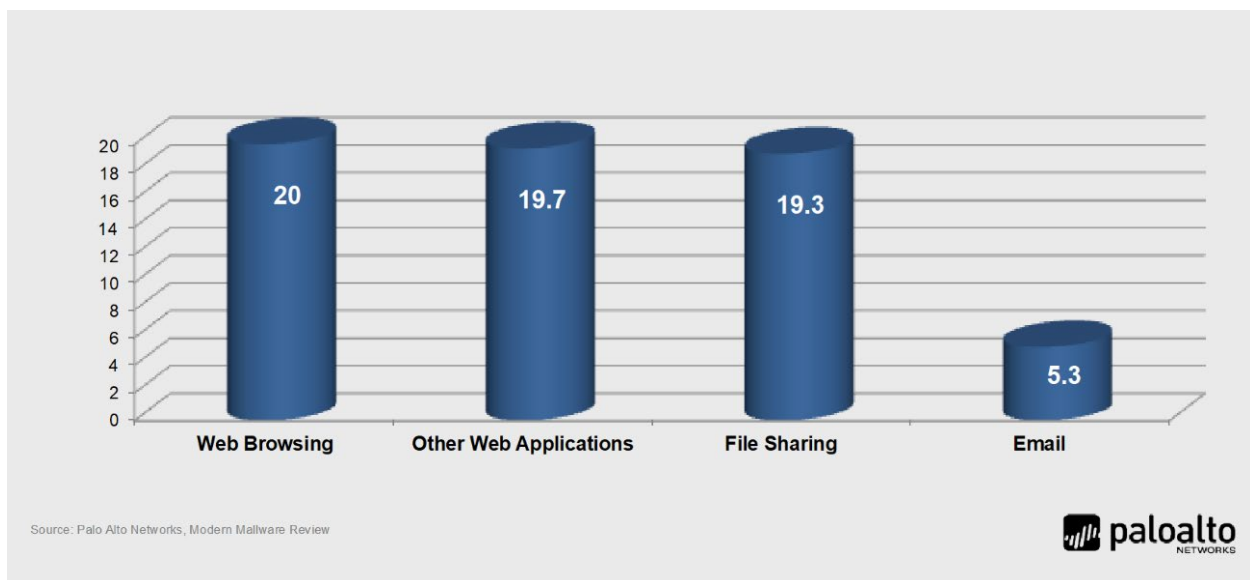


Figure 2-3: Average time to detection by application vector

A sample of new or unknown suspicious traffic first must be captured and identified before a detection signature can be created by security vendors. The new signature then must be downloaded and installed on an organization's endpoints to provide protection.

This process means that some users and networks will be successfully breached by new malware until a new detection signature is created, downloaded, and installed. This reactive model creates a window of opportunity for attackers, leaving endpoints vulnerable, sometimes for weeks or even months, until new malware is suspected, collected, analyzed, and identified. During this time, attackers can infect networks and endpoints.

Another challenge for the signature-based approach is that millions of new malware variations are created each year (on average about 20,000 new forms daily), for which unique signatures

must be written, tested, and deployed after the new malware variation is discovered and sampled. Despite the fact that 70 percent of these millions of malware variations are based on a relatively limited number of malware “families” numbering just seven in 2005 and increasing to only 20 over the past decade, this reactive approach is not effective for protecting endpoints against modern malware threats.

Also, advanced malware uses techniques such as metamorphism and polymorphism to take advantage of the inherent weaknesses of signature-based detection to avoid being discovered in the wild and to circumvent signatures that already have been created.

Container-based endpoint protection

Container-based endpoint protection wraps a protective virtual barrier around vulnerable processes while they’re running. If a process is malicious, the container detects it and shuts it down, thus preventing it from damaging other legitimate processes or files on the endpoint.

However, the container-based approach typically requires a significant amount of computing resource overhead, and attacks have been demonstrated that circumvent or disable container-based protection. This approach also requires knowledge of the applications that need to be protected and how they interact with other software components. So, a containerization tool will be developed to support certain common applications but will not be able to protect most proprietary or industry-specific software. Even web browser plugins and similar devices can have problems operating correctly within a container-based environment.

Application allow lists

Application allow lists are another endpoint protection technique that commonly is used to prevent end users from running unauthorized applications including malware on their endpoints.

Application allow lists require a positive control model in which no applications are permitted to run on the endpoint unless they’re explicitly permitted by the allow list policy. In practice, application allow lists require a large administrative effort to establish and maintain a list of approved applications. This approach is based on the premise that if you create a list of applications that are specifically allowed and then prevent any other file from executing, you can protect the endpoint. Although this basic functionality can be useful to reduce the attack surface, it is not a comprehensive approach to endpoint security.

Modern trends such as cloud and mobile computing, consumerization, and bring your own device (BYOD) and bring your own access (BYOA) make application allow lists extremely difficult to enforce in the enterprise. Also, after an application is added to an allow list, it is permitted to run, even if the application has a vulnerability that can be exploited. An attacker then can simply exploit an allowed application and have complete control of the target endpoint regardless of the allow list. After the application has been successfully exploited, the attacker can run malicious code while keeping all of the activity in memory. Because no new files are created

and no new executables attempt to run, allow-list software is rendered ineffective against this type of attack.

Anomaly detection

Endpoint security approaches that use mathematical algorithms to detect unusual activity on an endpoint are known as heuristics-based, behavior-based, or anomaly-detection solutions. This approach relies on first establishing an accurate baseline of what is considered “normal” activity. This approach has been available for many years and requires a very large dataset to reduce the number of false positives.

Anti-spyware software

Anti-spyware software is very similar to traditional antivirus software because it uses signatures to look for other forms of malware beyond viruses, such as adware, malicious web application components, and other malicious tools, which share user behaviors without their permission.

Key Terms

- In anti-malware, a **false positive** incorrectly identifies a legitimate file or application as malware. A **false negative** incorrectly identifies malware as a legitimate file or application. In intrusion detection, a false positive incorrectly identifies legitimate traffic as a threat, and a false negative incorrectly identifies a threat as legitimate traffic.

References:

“2019 Trustwave Global Security Report.” Trustwave. 2019.

<https://www.trustwave.com/en-us/resources/library/documents/2019-trustwave-global-security-report/>.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. **True or False:** Signature-based anti-malware software is considered a reactive countermeasure because a signature file for new malware can’t be created and delivered until the malware is already “in the wild.”
2. Which type of malware protection can be bypassed by mutating malware?
 - A. signature-based
 - B. container-based
 - C. application allow lists
 - D. anomaly detection
3. Which type of malware protection requires in-depth knowledge of applications and how they communicate?
 - A. signature-based
 - B. container-based
 - C. application allow lists
 - D. anomaly detection
4. Which type of malware protection has a problem with legitimate software upgrades?
 - A. signature-based

- B. container-based
 - C. application allow lists
 - D. anomaly detection
5. Which type of malware protection is vulnerable to a low and slow approach?
- A. signature-based
 - B. container-based
 - C. application allow lists
 - D. anomaly detection

2.17 Recognize types of mobile device management

Mobile device management (MDM) software provides endpoint security for mobile devices such as smartphones and tablets. Centralized management capabilities for mobile devices provided by MDM include:

- **Data loss prevention (DLP):** Restrict which type of data can be stored on or transmitted from the device
- **Policy enforcement:** Require passcodes, enable encryption, lock down security settings, and prevent *jailbreaking* or *rooting*, for example
- **Malware protection:** Detect and prevent mobile malware
- **Software distribution:** Remotely install software, including patches and updates over a cellular or Wi-Fi network
- **Remote erase/wipe:** Securely and remotely delete the complete contents of a lost or stolen device
- **Geofencing and location services:** Restrict specific functionality in the device based on its physical location

Key Terms

- **Jailbreaking** refers to hacking an Apple iOS device to gain root-level access to the device. Jailbreaking is sometimes done by end users to allow them to download and install mobile apps without paying for them, from sources other than the App Store that are not sanctioned and/or controlled by Apple. Jailbreaking bypasses the security features of the device by replacing the firmware's operating system with a similar, albeit counterfeit version, which makes it vulnerable to malware and exploits. Jailbreaking is known as **rooting** on Google Android devices.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. **True or False:** Signature-based anti-malware software is considered a proactive security countermeasure.
2. Which two operating systems can have mobile device management (MDM)?
 - A. iOS
 - B. MacOS
 - C. Android
 - D. Windows

- E. Linux
- 3. You go on a business visit to another country and you can't access a work application on your cell phone. Which MDM feature could be the reason?
 - A. Data loss prevention
 - B. malware protection
 - C. remote erase/wipe
 - D. geofencing and location services
- 4. You downloaded a confidential file to your phone to use in a business meeting. Now you see it is no longer there. Which MDM feature could be the reason?
 - A. data loss prevention
 - B. malware protection
 - C. remote erase/wipe
 - D. geofencing and location services

2.18 Explain the purpose of identify and access management

Server and system administrators perform a variety of important tasks in a network environment. Typical server and system administration tasks include:

- Account provisioning and deprovisioning
- Managing account permissions
- Installing and maintaining server software
- Maintaining and optimizing servers, applications, databases (may be assigned to a database administrator), network devices (may be assigned to a network administrator), and security devices (may be assigned to a security administrator)
- Installing security patches
- Managing system and data backup and recovery
- Monitoring network communication and server logs
- Troubleshooting and resolving server and system issues

Identity and Access Management (IAM) provides authentication, authorization, and access control functions. IAM tools provide control for the provisioning, maintenance, and operation of user identities and the level of access to network, data center, and cloud resources that different identities are permitted.

Directory services

A directory service is a database that contains information about users, resources, and services in a network. The directory service associates users and network permissions to control who has access to which resources and services on the network. Directory services include:

- **Active Directory:** A centralized directory service developed by Microsoft for Windows networks to provide authentication and authorization of users and network resources. Active Directory uses Lightweight Directory Access Protocol (LDAP), Kerberos, and the Domain Name System (DNS).
- **Lightweight Directory Access Protocol (LDAP):** An IP-based client-server protocol that provides access and manages directory information in TCP/IP networks.

Key Terms

- **Kerberos** is an authentication protocol in which tickets are used to identify network users.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which three processes are part of the AAA model? (Choose three.)
 - A. authentication
 - B. authorization
 - C. acknowledgement
 - D. auditing
 - E. approval
2. Which principle is behind role-based access control (RBAC)?
 - A. separation of duties
 - B. auditability
 - C. least privilege
 - D. defense in depth
3. Which type of access control can change a user's permissions based on their location?
 - A. RBAC
 - B. ABAC
 - C. PAP
 - D. CHAP
4. Only one manager can get company checks. Only a different manager can sign checks. This example describes which principle?
 - A. separation of duties
 - B. auditability
 - C. least privilege
 - D. defense in depth
5. A user can get on the payroll app to see a paycheck, but can't modify it. This example describes which principle?
 - A. separation of duties
 - B. auditability
 - C. least privilege
 - D. defense in depth
6. What is the common protocol for accessing a directory?
 - A. DAP
 - B. LDAP
 - C. SLAP
 - D. SLDAP

2.19 Describe configuration management

Configuration management is the formal process used by organizations to define and maintain standard configurations for applications, devices, and systems throughout their lifecycle. For example, a particular desktop PC model may be configured by an organization with specific security settings, such as enabling whole disk encryption and disabling USB ports. Within the

desktop operating system, security settings such as disabling unneeded and risky services (for example, FTP and Telnet) may be configured. Maintenance of standard configurations on applications, devices, and systems used by an organization helps reduce risk exposure and improve security posture.

New software vulnerabilities and exploits are discovered all the time and thus diligent software patch management is required by system and security administrators in every organization.

However, patch management protects an organization's endpoints only after a vulnerability has been discovered and the patch installed. Delays of days, weeks, or longer are inevitable because security patches for newly discovered vulnerabilities must be developed, distributed, tested, and deployed. Although patch management is an important aspect of any information security program, such as signature-based anti-malware detection, it is an endless race against time that offers no protection against zero-day exploits.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which process is part of configuration management?
 - A. identity and access management
 - B. auditing
 - C. patch management
 - D. scanning for vulnerabilities
2. What is the collective term for software versions, OS settings, and configuration file settings?
 - A. configuration items
 - B. configurable values
 - C. computer settings
 - D. configuration

2.20 Identify next-generation firewall features and capabilities

Fundamental shifts in application use, user behavior, and complex network infrastructure have created a threat landscape that exposes weaknesses in traditional port-based network firewalls. End users want access to an ever-increasing number of applications operating across a wide range of device types, often with little regard for the business or security risks. Meanwhile, data center expansion, network segmentation, virtualization, and mobility initiatives are forcing organizations to rethink how to enable access to applications and data, while protecting their networks from a new, more sophisticated class of advanced threats that evade traditional security mechanisms.

Palo Alto Networks Next-Generation Firewalls are the core of the product portfolio. The next-generation firewall inspects all traffic, including applications, threats, and content, and associates it with the user, regardless of location or device type. The application, content, and user become integral components of the enterprise security policy.

Palo Alto Networks Next-Generation Firewalls are built on a single-pass architecture (see Figure 2-4), which is a unique integration of software and hardware that simplifies management, streamlines processing, and maximizes performance. The single-pass architecture integrates multiple threat prevention disciplines (IPS, anti-malware, URL filtering, etc.) into a single stream-based engine with a uniform signature format. This architecture allows traffic to be fully analyzed in a single pass without the performance degradation seen in multifunction gateways. The software is associated directly to a parallel processing hardware platform that uses function-specific processors for threat prevention, to maximize throughput and minimize latency.

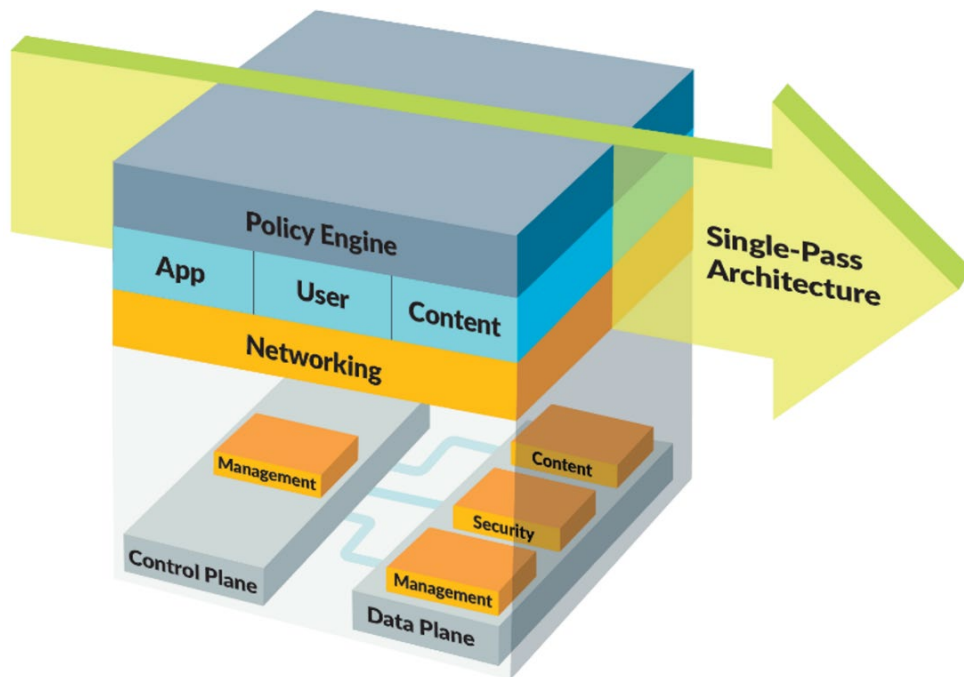


Figure 2-4: Palo Alto Networks Next-Generation Firewalls use a single-pass architecture.

The use of one common engine means that two key benefits are realized. First, unlike file proxies that need to download the entire file before they can scan the traffic, a stream-based engine scans traffic in real time, reassembling packets only as needed and only in very small amounts. Second, unlike with traditional approaches, all traffic can be scanned with a single engine, instead of multiple scanning engines.

Organizations deploy next-generation firewalls at the network perimeter and inside the network at logical trust boundaries. All traffic crossing the next-generation firewall undergoes a full-stack, single-pass inspection, providing the complete context of the application, associated content, and user identity. With this level of context, you can align security with your key business initiatives (see Figure 2-5).

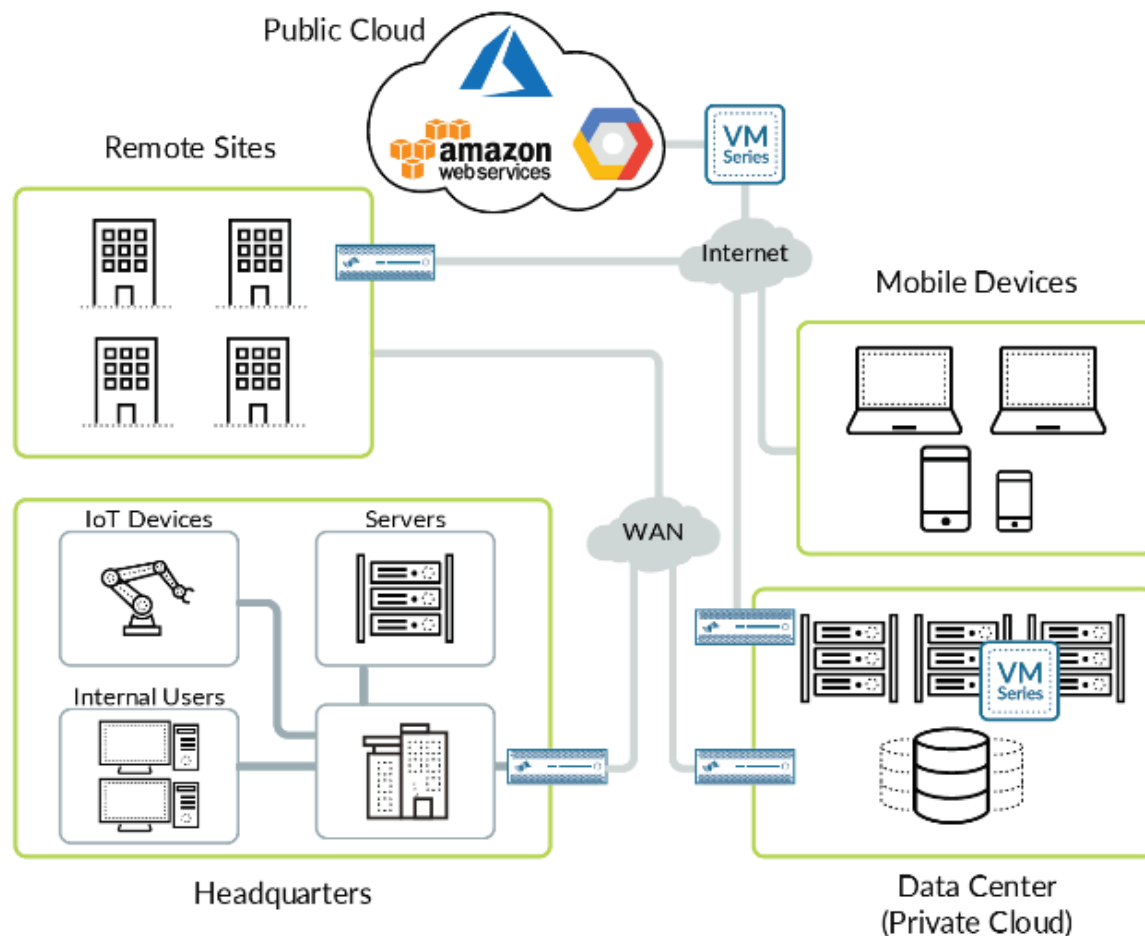


Figure 2-5: Next-generation firewall locations in the enterprise network

The next-generation firewall functions as a segmentation gateway in a Zero Trust architecture. By creating a micro-perimeter, the next-generation firewall ensures that only known, allowed traffic or legitimate applications have access the protect surface.

Next-generation firewalls include several key capabilities that enable complete visibility of the application traffic flows, associated content, and user identity and protect them from known, unknown, and advanced persistent threats. The essential functional capabilities in an effective next-generation firewall include:

- **Application identification:** Accurately identify applications regardless of port, protocol, evasive techniques, or encryption. Provide visibility of applications and granular policy-based control over applications, including individual application functions.
- **User identification:** Accurately identify users and subsequently use identity information as an attribute for policy control
- **Content identification:** Content identification controls traffic based on complete analysis of all allowed traffic, using multiple threat prevention and data loss prevention techniques in a single-pass architecture that fully integrates all security functions

Application identification

Stateful packet inspection technology, which is the basis for most of today's legacy firewalls, was created more than 25 years ago, at a time when applications could be controlled using ports and source/destination IP addresses. The strict adherence to port-based classification and control methodology is the primary policy element; it is hard-coded into the foundation and cannot be turned off. As a result, many of today's applications cannot be identified much less controlled by the firewall, and no amount of "after the fact" traffic classification by firewall "helpers" can correct the firewall port-based classification.

Establishment of port and protocol information is a first step in application identification, but it is insufficient by itself. Robust application identification and inspection in a next-generation firewall enables granular control of the flow of sessions through the firewall. Identification is based on the specific applications (such as Skype, Gmail, and WebEx) that are being used, instead of just relying on the underlying set of often indistinguishable network communication services (see Figure 2-6).

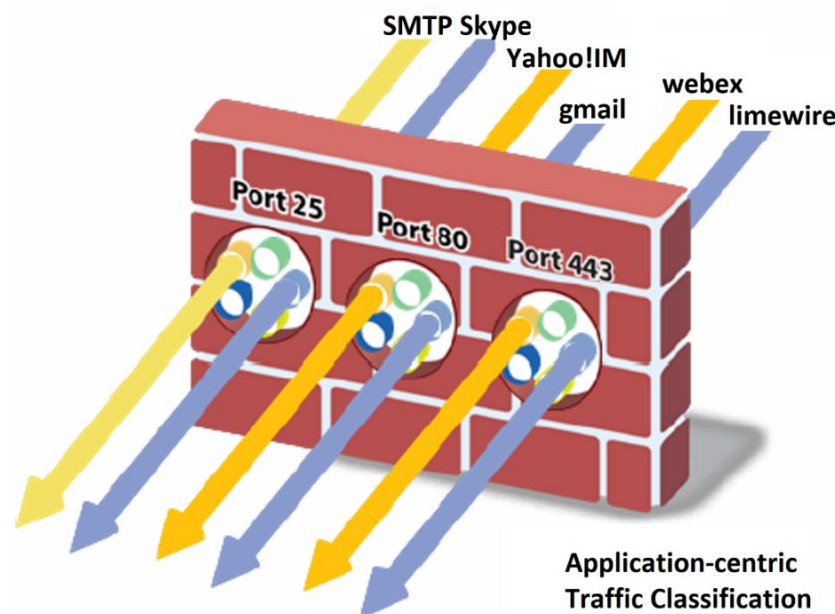


Figure 2-6: Application-centric traffic classification identifies specific applications on the network, irrespective of the port and protocol in use.

Application identification provides visibility and control over work-related and non-work-related applications that can evade detection by legacy port-based firewalls, for example, by masquerading as legitimate traffic, hopping ports, or using encryption to slip past the firewall.

Application identification (App-ID) technology in a Palo Alto Networks Next-Generation Firewall does not rely on a single element, such as port or protocol. Instead, App-ID uses multiple mechanisms to first determine what the application is, and the application identity then becomes the basis for the firewall policy that is applied to the session. App-ID is highly

extensible, and, as applications continue to evolve, application detection mechanisms can be added or updated as a means of keeping pace with the ever-changing application landscape.

Many organizations are not fully aware of the number of applications in use, how heavily they are used, or by whom. This lack of visibility forces organizations to implement negative (block list) enforcement approaches where they selectively block traffic and destinations known to be a risk to the organization. The next-generation firewall also allows you to implement a positive (allow list) enforcement policy where you selectively allow the applications required to run your organization. A key to positive enforcement is App-ID. App-ID identifies the applications traversing the firewall, regardless of port or protocol, even if the traffic is tunneled in Generic Routing Encapsulation (GRE) tunnels, uses evasive tactics, or is encrypted. App-ID can determine the difference between base applications and application functions. This level of visibility brings a complete understanding of the applications on your network and their value and risk to your organization.

App-ID traffic classification technology

The first task that a Palo Alto Networks Next-Generation Firewall executes is using App-ID to identify the applications traversing the network. App-ID uses a multifaceted approach to determine the application, irrespective of port, protocol, encryption (SSL and SSH), or other evasive tactics employed. The number and order of identification mechanisms used to identify the application vary depending on the application. The application identification techniques (see Figure 2-7) used include:

- **Application signatures:** To identify an application, App-ID first uses signatures to look for unique application properties and related transaction characteristics. The signature also determines whether the application is using its default port or a non-standard port. Context-based signatures look for unique properties and transaction characteristics to correctly identify the application regardless of the port and protocol being used. These signatures include the ability to detect specific functions within applications (such as file transfers within SaaS applications). If the security policy allows the identified application, App-ID further analyzes the traffic to identify more granular applications and scan for threats.
- **TLS/SSL and SSH decryption:** If App-ID determines that TLS/SSL encryption is in use, it can decrypt and re-evaluate the traffic. App-ID uses a similar approach with SSH to determine whether port forwarding is being used to tunnel traffic over SSH.
- **Application and protocol decoding:** For known protocols, decoders apply additional context-based signatures to detect applications tunneling inside the protocols. Decoders validate that traffic conforms to the protocol specification, and they support network address translation (NAT) traversal and opening dynamic pinholes for applications such as Voice over IP (VoIP) or File Transfer Protocol (FTP). Decoders for popular applications also identify the individual functions within the application. In addition to identifying applications, decoders identify files and other content to be scanned for threats or sensitive data.
- **Heuristics:** In certain cases, evasive applications cannot be detected by using advanced signature and protocol decoding. In those cases, App-ID uses heuristic or behavioral

analysis to identify applications that use proprietary encryption, such as peer-to-peer (P2P) file sharing. Heuristic analysis, with the other App-ID techniques, provides visibility into applications that might otherwise elude identification. The heuristics are specific to each application and include checks based on information such as the packet length, session rate, and packet source.

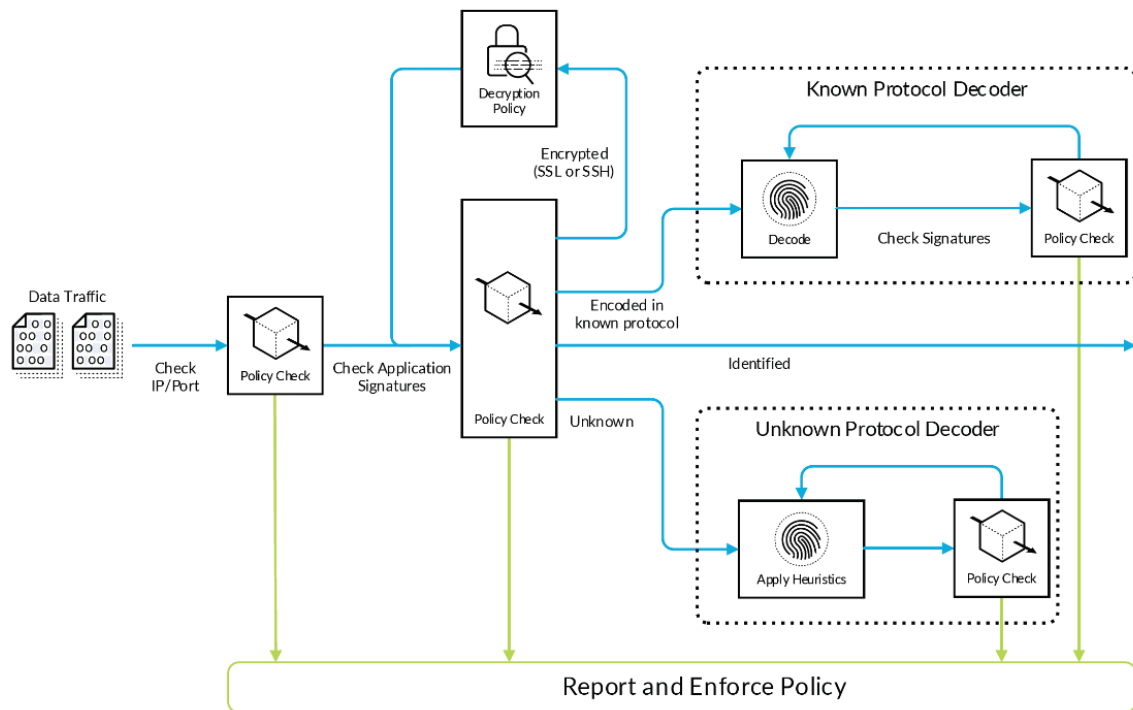


Figure 2-7: How Palo Alto Networks App-ID classifies applications

With App-ID as the foundational element for every Palo Alto Networks Next-Generation Firewall, administrators can regain visibility into, and control over, the applications traversing the network.

App-ID: Addressing custom or unknown applications

You can use the Application Command Center (ACC) to see the applications in use across your organization. After you've determined the value of an application to your organization, App-ID controls the security policy for that application. The security policy can include a number of different actions, such as:

- Allowing or denying
- Allowing but scanning the content for exploits, viruses, and other threats
- Allowing based on schedule, users, or groups
- Controlling file or sensitive data transfer
- Allowing or denying a subset of application functions

While you are compiling the list of the applications you want to support, tolerate, or block, App-ID can restrict applications that behave in undesirable ways. You can use application categories, technologies, and risk ratings to define a security policy to block any applications that match those characteristics.

Safe application enablement often means achieving an appropriate security policy balance between allowing some application functions and denying others. Examples include:

- Allowing Facebook but denying Facebook mail, chat, posting, and apps, effectively allowing users only to browse Facebook
- Allowing the use of SaaS applications such as Dropbox but denying file uploads. This technique grants internal users access to personal file shares but prevents intentional or unintended corporate information leaks.

The list of App-IDs is updated monthly, with new applications added based on input from the Palo Alto Networks community (customers, partners) and market trends. All App-IDs are classified by category, subcategory, technology, and risk rating. The security policy can use these classifications to automatically support new applications as the App-ID list expands. Alternatively, you can specify that you want to review new applications and determine how they are treated before the new list is installed.

Despite regular updates, unknown application traffic inevitably still will be detected on the network, such as:

- **Unknown commercial applications:** Administrators can use the ACC and the log viewer to quickly determine whether an unknown application is a commercial application. Administrators can use the packet capture (pcap) feature on the Palo Alto Networks Next-Generation Firewall to record the traffic and submit it for App-ID development. The new App-ID is developed, tested with the organization, and then added to the global database for all users.
- **Internal or custom applications:** Administrators can use the ACC and the log viewer to quickly determine whether an unknown application is an internal or custom application. You can develop a custom App-ID for the application, using the exposed protocol decoders. The protocol decoders that have been exposed include:
 - FTP (File Transfer Protocol)
 - HTTP (Hypertext Transfer Protocol) and HTTPS (HTTP Secure, or HTTP over SSL)
 - IMAP (Internet Message Access Protocol) and SMTP (Simple Mail Transfer Protocol)
 - RTSP (Real Time Streaming Protocol)
 - Telnet
 - unknown-TCP, unknown-UDP, and file body (for html/pdf/flv/swf/riff/mov)

After the custom App-ID is developed, traffic identified by it is treated in the same manner as the previously classified traffic: It can be enabled via policy, inspected for threats, shaped using quality of service (QoS), etc. Alternatively, an application override can be created and applied, which effectively renames the application. Custom App-ID entries are managed in a separate

database on the next-generation firewall to ensure that they are not impacted by weekly App-ID updates.

An important point to highlight is that Palo Alto Networks Next-Generation Firewalls use a positive enforcement model, which means that all traffic can be denied except those applications that are expressly allowed via policy. This positive enforcement model means that in some cases the unknown traffic can be easily blocked or tightly controlled. Alternative offerings that are based on IPS will allow unknown traffic to pass through without providing any semblance of visibility or control.

App-ID in action: Identifying WebEx

When a user initiates a WebEx session, the initial connection is an SSL-based communication. With App-ID, the device sees the traffic and determines that it is using SSL. If there is a matching decryption policy rule, then the decryption engine and protocol decoders are initiated to decrypt the SSL and detect that it is HTTP traffic. After the decoder has the HTTP stream, App-ID can apply contextual signatures and detect that the application in use is WebEx.

WebEx then is displayed in the ACC and can be controlled via a security policy. If the end user initiates the WebEx Desktop Sharing feature, WebEx undergoes a “mode-shift”: The session has been altered from a conferencing application to a remote access application. In this scenario, the characteristics of WebEx have changed, and App-ID detects the WebEx Desktop Sharing feature, which then is displayed in the ACC. At this stage, an administrator has learned more about the application use and can exert policy control over the use of the WebEx Desktop Sharing feature separately from general WebEx use.

Application identification and policy control

Application identification enables administrators to see the applications on the network, learn how they work, and analyze their behavioral characteristics and relative risk. When application identification is used in conjunction with user identification, administrators can see exactly who is using the application based on their identity, not just an IP address. With this information, administrators can use granular rules based on a positive security model to block unknown applications, while enabling, inspecting, and shaping those applications that are allowed.

After an application has been identified and a complete picture of its use is gained, organizations can apply policies with a range of responses that are far more granular than the “allow” or “deny” actions available in legacy firewalls. Examples include:

- Allow or deny
- Allow but scan for exploits, viruses, and other threats
- Allow based on schedule, users, or groups
- Decrypt and inspect
- Apply traffic shaping through QoS
- Apply policy-based forwarding
- Allow certain application functions

- Any combination of the preceding examples

Application function control

For many organizations, secure application enablement means achieving an appropriate security policy balance by enabling individual application functionality while blocking other functions within the same application. Examples may include:

- Allowing SharePoint documents but blocking the use of SharePoint administration
- Blocking Facebook mail, chat, posting, and applications but allowing Facebook itself, effectively allowing users to browse only Facebook

App-ID uses an application hierarchy that follows a “container and supporting function” model to help administrators easily choose which applications to allow, while blocking or controlling functions within the application. Figure 2-8 shows SharePoint as the container application and the individual functions within it.

Name	Zone	Address	User	Zone	Address	Application	URL Category	Service	Action	Profile
LogAll	any	any	any	any	any	any	CustomerURLCategory	any	✓	
IT Allow Override	trust	any	pancademo/administrators	untrust	any	Custom-app	any	any	✓	
Read Only Facebook	trust	any	pancademo/administrators	untrust	any	facebook-base	any	any	✓	
Allow facebook posting	trust	any	pancademo/marketing	untrust	any	facebook-posting	any	any	✓	
Block Peer to Peer	trust	any	any	untrust	any	Peer to Peer	any	any	✗	none
Webmail file blocking	trust	any	any	untrust	any	Webmail	any	any	✓	
Sharepoint	Untrust-L3	any	any	DMZ	Sharepoint Server	sharepoint-blog-posting	any	application default	✓	
						sharepoint-calendar				
						sharepoint-documents				
						sharepoint-wiki				
Allow SSL and SSH	trust	any	pancademo/domain admins	untrust	any	ssh	any	any	✓	
Allow Web-browsing	trust	Sharepoint Server	any	untrust	any	web-browsing	any	any	✓	
Block encrypted tunnel	trust	any	any	untrust	any	Encrypted Tunnel	any	any	✗	none
Block Proxies and Anonymizers	trust	any	any	untrust	any	Proxies	any	any	✗	none
Mail server	Untrust-L3	any	any	DMZ	Mail Server FQDN	outlook-web	any	application default	✓	
Web server	Untrust-L3	any	any	DMZ	Web-server	smtp	any	application default	✓	
						ssl				
						web-browsing				

Figure 2-8: Application function control maximizes productivity by safely enabling the application itself (Microsoft SharePoint) or individual functions.

Controlling multiple applications: Dynamic filters and groups

In some cases, organizations may want to control applications in bulk, as opposed to controlling them individually. The two mechanisms in the Palo Alto Networks Next-Generation Firewall that address this need are application groups and dynamic filters:

- Application groups:** A group of applications is a static list of applications that can be used to allow their use for certain users while blocking their use for others. For example, remote management applications such as Remote Desktop Protocol (RDP), Telnet, and Secure Shell (SSH) commonly are used by IT support personnel, yet employees outside

these groups also use these tools to access their home networks. A group of applications can be created and assigned to IT support through User-ID, binding the groups to the policy. New employees need to be added only to the directory group; no updates are needed to the policy itself.

- **Dynamic filters:** A dynamic filter is a set of applications that is created based on any combination of the filter criteria: category, subcategory, behavioral characteristic, underlying technology, or risk factor. After the desired filter is created, a policy that blocks or enables and scans the traffic can be applied. As new App-ID files are added that fulfill the filter criteria, the filter is automatically updated as soon as the device is updated, thereby minimizing the administrative effort associated with policy management.

User identification

As you define security policies based on application use, a key component of that policy is who should be able to use those applications. IP addresses are ineffective identifiers of the user or the role of the server within the network. With the User-ID and Dynamic Address Group (DAG) features, you can dynamically associate an IP address with a user or the role of a server in the data center. Afterward, you can define security policies that adapt dynamically to changing environments.

In environments that support multiple types of end users (for example, Marketing or Human Resources) across a variety of locations and access technologies, a guarantee of physical segmentation of each type of user is unrealistic. Visibility into the application activity at a user level, not just at an IP address level, allows you to more effectively enable the applications traversing the network. You can define both inbound and outbound policies to safely enable applications based on users or groups of users. Examples of user-based policies include:

- Enabling the IT department to use SSH, Telnet, and FTP on standard ports
- Allowing the Help Desk Services group to use Slack
- Allowing all users to read Facebook but blocking the use of Facebook apps and restricting posting to only employees in Marketing

User-ID: Integrating user information and security policies

Creation and management of security policies on a next-generation firewall, based on the application and the identity of the user regardless of device or location, is a more effective means of protecting the network than relying solely on port and IP address information in legacy, port-based firewalls. User-ID enables organizations to leverage user information stored in a wide range of repositories for the following purposes:

- **Visibility:** Improved visibility into application use based on user and group information can help organizations maintain a more accurate view of network activity.
- **Policy control:** Binding user information to the security policy helps organizations to safely enable applications or specific application functions, while reducing the administrative effort associated with employee moves, adds, and changes.
- **Logging and reporting:** If a security incident occurs, forensics analysis and reporting can include user information, which provides a more complete view of the incident.

User-ID in action

User-ID seamlessly integrates Palo Alto Networks Next-Generation Firewalls with a wide range of user repositories and terminal services environments. Depending on the network environment, multiple techniques can be configured to accurately map the user identity to an IP address. Events include authentication events, user authentication, terminal services monitoring, client probing, directory services integration, and a powerful XML API (see Figure 2-9).

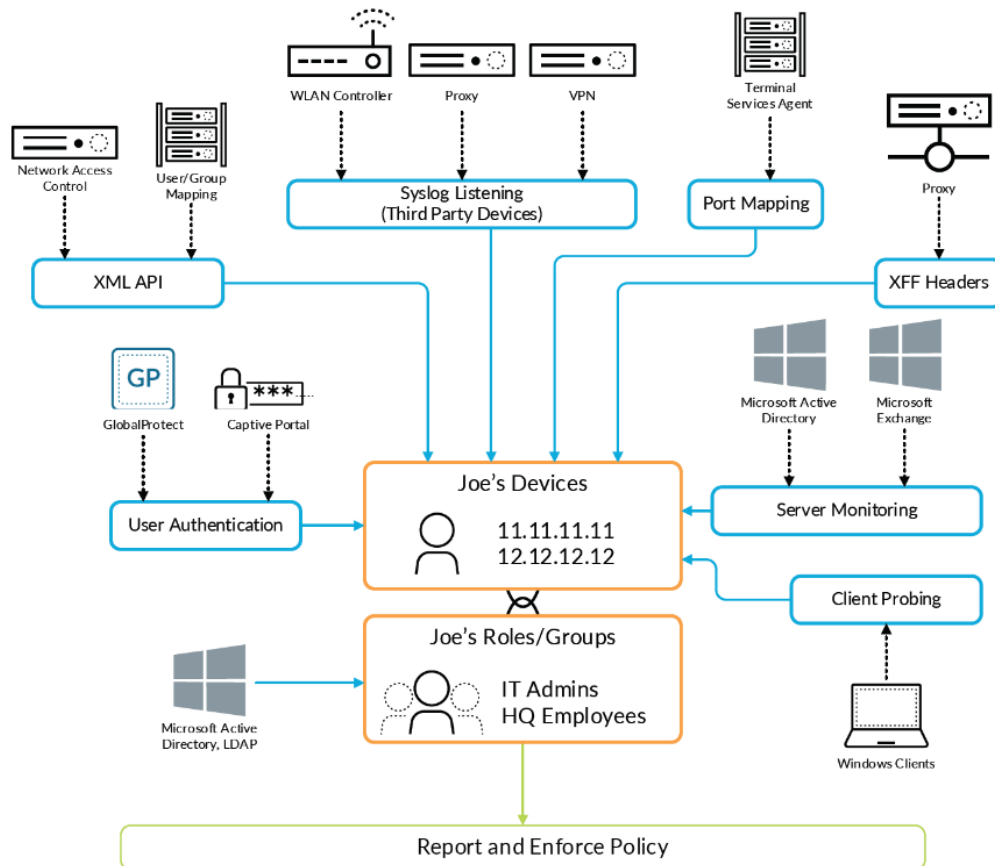


Figure 2-9: User-ID integrates enterprise directories for user-based policies, reporting, and forensics.

After the applications and users are identified, full visibility and control within the Application Command Center (ACC), policy editing, and logging and reporting are available. User-ID tools and techniques include:

- **User authentication:** This technique allows organizations to configure a challenge-response authentication sequence to collect user and IP address information, using the following tools:
 - **Authentication Portal:** In cases where administrators need to establish rules under which users are required to authenticate to the firewall before accessing the internet, Authentication Portal can be deployed. Authentication Portal is used in cases where the

user cannot be identified using other mechanisms. Authentication Portal can be configured to send an NT LAN Manager (NTLM) authentication request to the web browser to make the authentication process transparent to the user.

- **Prisma Access:** Users logging in to the network with Prisma Access provide user and host information to the next-generation firewall, which, in turn, can be used for policy control.
- **Server monitoring:** Monitoring of the authentication events on a network allows User-ID to associate a user with the IP address of the device from which the user logs in to enforce policy on the firewall. User-ID can be configured to monitor authentication events for:
 - **Microsoft Active Directory:** User-ID constantly monitors domain controller event logs to identify users when they log in to the domain. When a user logs in to the Windows domain, a new authentication event is recorded on the corresponding Windows domain controller. By remotely monitoring the authentication events on Windows domain controllers, User-ID can recognize authentication events to identify users on the network for creation and enforcement of policy.
 - **Microsoft Exchange Server:** User-ID can be configured to constantly monitor Microsoft Exchange login events produced by clients accessing their email. When this monitoring technique is used, even macOS, Apple iOS, and Linux/Unix client systems that don't directly authenticate to Active Directory can be discovered and identified.
 - **Novell eDirectory:** User-ID can query and monitor login information to identify users and group memberships via standard Lightweight Directory Access Protocol (LDAP) queries on eDirectory servers.
- **Client probing and terminal services:** This technique enables organizations to configure User-ID to monitor Windows clients or hosts to collect the identity and map it to the IP address. In environments where the user identity is obfuscated by Citrix XenApp or Microsoft Terminal Services, the User-ID Terminal Services agent can be deployed to determine which applications are being accessed by users. The following techniques are available:
 - **Client probing:** If a user cannot be identified via monitoring of authentication events, User-ID actively probes Microsoft Windows clients on the network for information about the currently logged-in user. With client probing, laptop users who often switch from wired to wireless networks can be reliably identified.
 - **Host probing:** User-ID also can be configured to probe Windows servers for active network sessions of a user. As soon as a user accesses a network share on the server, User-ID identifies the origin IP address and maps it to the username provided to establish the session.
 - **Terminal services:** Users sharing IP addresses while working on Microsoft Terminal Services or Citrix can be identified. Every user session is assigned a certain port range on the server, which is completely transparent to the user and allows the next-generation firewall to associate network connections with users and groups sharing one host on the network.
- **XML API:** In some cases, organizations already may have a user repository or an application that is used to store information about users and their current IP address. In

these scenarios, the XML API within User-ID enables rapid integration of user information with security policies. The XML API provides a programmatic way to map users to IP addresses through integrations with partner technologies, such as Aruba ClearPass and Aruba Mobility Controllers. Use of the XML API to collect user and IP address information includes:

- **Wireless environments:** Organizations using 802.1x to secure corporate wireless networks can leverage a syslog-based integration with the User-ID XML API to identify users as they authenticate to the wireless infrastructure.
- **Proxies:** Authentication prompted by a proxy server can be provided to User-ID via its XML API by parsing the authentication log file for user and IP address information.
- **Network access control (NAC):** The XML API enables organizations to harvest user information from NAC environments. As an example, a NAC solution provider could use the User-ID XML API to populate user logins and logouts of its 802.1x solution. This integration enables organizations to identify users as soon as they connect to the network and set user-based enablement policies.
- **Syslog listener:** In environments with existing network services that authenticate users (for example, wireless controllers, 802.1x, or NAC products), User-ID can monitor syslog messages for user mapping. Extensible syslog filters control the parsing of syslog messages. Syslog filters can be user-defined, but several predefined filters are available, including those for Blue Coat proxy, wireless local-area networks (WLANs), and Pulse Policy Secure.

To enable organizations to specify security rules based on user groups and resolve the group members automatically, User-ID integrates with directory servers by using a standards-based protocol and a flexible configuration. After integration with the directory server is configured, the firewall automatically retrieves user and user group information and keeps the information updated to automatically adjust to changes in the user base or organization.

After User-ID gathers the user information, the next-generation firewall uses LDAP to obtain group information for that user. Also, as in the case of user mapping, the XML API can serve as a programmatic interface for a flexible group mapping ability. With group mapping, User-ID can express security policies in terms of groups, enabling existing policies to update dynamically as User-ID adds or removes users from groups.

User-ID gives you only half the view when associating IP addresses to specific users. Servers and many other devices cannot use a user to identify their security access requirements. Dynamic Address Groups (DAGs) enable you to create policy that automatically adapts to server additions, moves, or deletions. They also enable the flexibility to apply security policy to the device based on its role on the network.

A DAG uses tags as a filtering criterion to determine its members. You can define tags statically or register them dynamically. You can dynamically register the IP address and associated tags for a device on the firewall by using the XML API or the VM Monitoring agent on the firewall; each registered IP address can have multiple tags. Within 60 seconds of the API call, the firewall

registers the IP address and associated tags and automatically updates the membership information for the DAGs.

Because the members of a DAG are automatically updated, you can use address groups to adapt to changes in your environment without relying on a system administrator to make policy changes and commit them (see Figure 2-10).

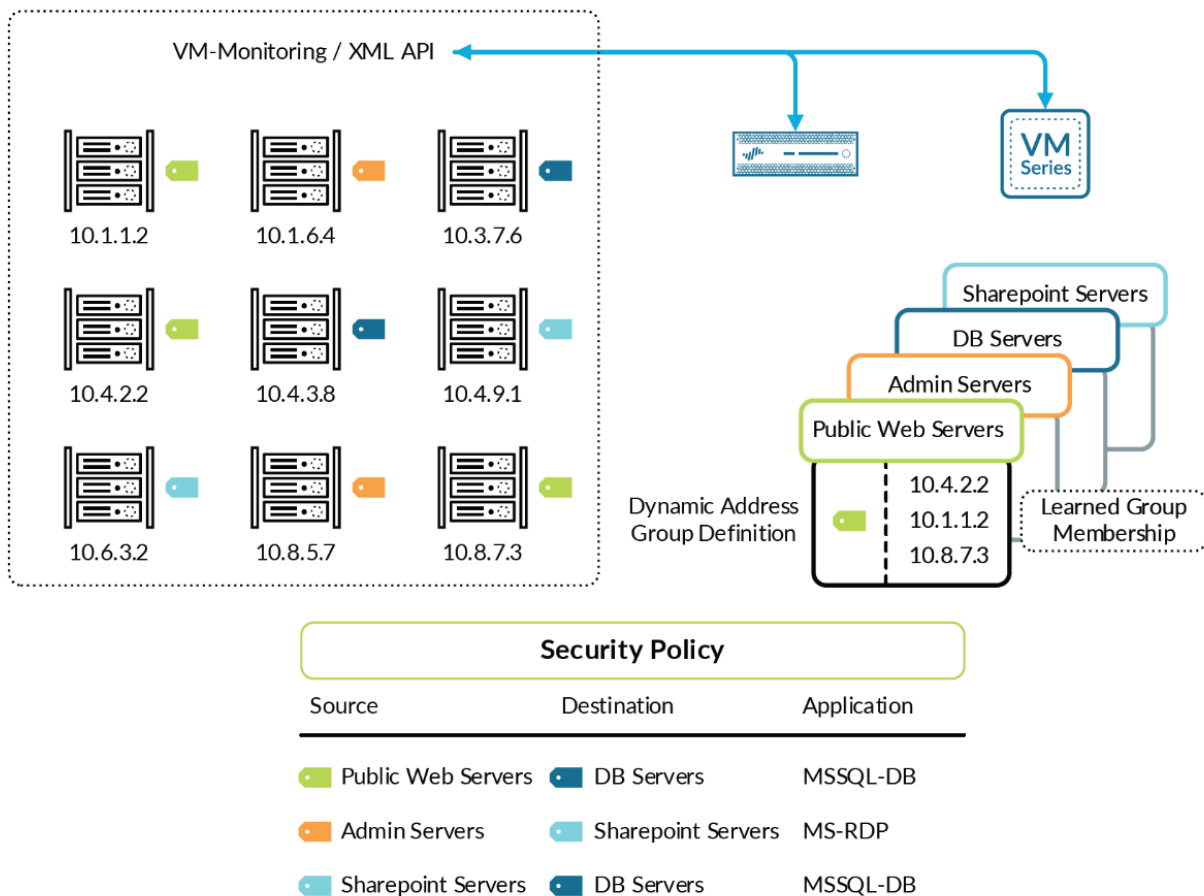


Figure 2-10: Dynamic Address Groups (DAGs)

Visibility into a user's activity

The power of User-ID becomes evident when App-ID finds a strange or unfamiliar application on the network. An administrator can use either the ACC or the log viewer to identify the application, who is using the application, the bandwidth and session consumption, the sources and destinations of the application traffic, and any associated threats.

Visibility into the application activity at a user level, not just at an IP address level, allows organizations to more effectively enable the applications traversing the network. Administrators can align application use with business unit requirements and, if appropriate, can choose to inform the user that they are in violation of policy, or they can take the more direct approach of blocking the user's application use.

User-based policy control

User-based policy controls can be created based on the application, category and subcategory, underlying technology, or application characteristics. Policies can be used to safely enable applications based on users or groups, in either an outbound or an inbound direction.

User-based policies might include:

- Enable only the IT department to use tools such as SSH, Telnet, and FTP on their standard ports
- Allow the Help Desk Services group to use Yahoo Messenger
- Allow Facebook for all users, allow only the Marketing group to use Facebook-posting, and block the use of Facebook applications for all users

Policy Optimizer

Policy Optimizer can help organizations migrate from legacy firewall rule configurations to application-based rules through App-ID. This capability strengthens the security posture by using App-ID to close any security gaps and minimizes configuration errors, which are a leading cause of breaches. Policy Optimizer analyzes application use and recommends policy rules that reduce exposure and risk.

Policy Optimizer identifies port-based rules so that they can be converted to application-based rules. Conversion from port-based rules to application-based rules improves the overall security posture because you can allow the applications you want to permit and then deny all other applications. Policy Optimizer simplifies your ability to prioritize which port-based rules to migrate first, identify application-based rules that allow applications you don't use, and analyze each rule's use characteristics, such as hit count.

Content identification

Content identification infuses next-generation firewalls with capabilities not possible in legacy, port-based firewalls. Application identification eliminates threat vectors through the tight control of all types of applications. This capability immediately reduces the attack surface of the network, after which all allowed traffic is analyzed for exploits, malware, dangerous URLs, and dangerous or restricted files or content. Content identification then goes beyond stopping known threats to proactively identify and control unknown malware, which often is used as the leading edge of sophisticated network attacks.

Threat prevention

Enterprise networks are facing a rapidly evolving threat landscape full of modern applications, exploits, malware, and attack strategies that can avoid traditional methods of detection. Threats are delivered via applications that dynamically hop ports, use non-standard ports, tunnel within other applications, or hide within proxies, SSL, or other types of encryption. These techniques can prevent traditional security solutions such as IPS and firewalls from ever inspecting the traffic, thus enabling threats to easily and repeatedly flow across the network. Also, enterprises

are exposed to targeted and customized malware, which may pass undetected through traditional anti-malware solutions.

Palo Alto Networks Content-ID addresses these challenges with unique threat prevention capabilities not found in traditional security solutions. First, the next-generation firewall removes the methods that threats use to hide from security through the complete analysis of all traffic, on all ports regardless of any evasion, tunneling, or circumvention techniques that are used. No threat prevention solution will be effective if it does not have visibility into the traffic. Palo Alto Networks technology ensures visibility through the identification and control of all traffic, using the following tools and techniques:

- **Application decoders:** Content-ID leverages the more than 100 application and protocol decoders in App-ID to look for threats hidden within application data streams. This tool enables the firewall to detect and prevent threats tunneled within approved applications that would bypass traditional IPS or proxy solutions.
- **Uniform threat signature format:** Rather than use a separate set of scanning engines and signatures for each type of threat, Content-ID leverages a uniform threat engine and signature format to detect and block a wide range of malware C2 activity and vulnerability exploits in a single pass.
- **Vulnerability attack protection (IPS):** Robust routines for traffic normalization and defragmentation are joined by protocol-anomaly, behavior-anomaly, and heuristic detection mechanisms to provide protection from the widest range of both known and unknown threats.
- **Cloud-based intelligence:** For unknown content, WildFire provides rapid analysis and a verdict that the firewall can leverage.
- **SSL decryption:** More and more web traffic connections are encrypted with SSL by default, which can provide some protection to end users, but SSL also can provide attackers with an encrypted channel to deliver exploits and malware. Palo Alto Networks ensures visibility by giving security organizations the flexibility to, by policy, granularly look inside SSL traffic based on application or URL category.
- **Control of circumventing technologies:** Attackers and malware have increasingly turned to proxies, anonymizers, and a variety of encrypted proxies to hide from traditional network security products. Palo Alto Networks products provide the ability to tightly control these technologies and limit them to approved users, while blocking unapproved communications that could be used by attackers.

Stream-based malware scanning

Prevention of known malware is performed through the use of stream-based scanning, a technique that begins scanning as soon as the first packets of the file are received, as opposed to waiting until the entire file is loaded into memory to begin scanning. Stream-based scanning minimizes performance and latency issues by receiving, scanning, and sending traffic to its intended destination immediately without having to first buffer and then scan the file (see Figure 2-11).

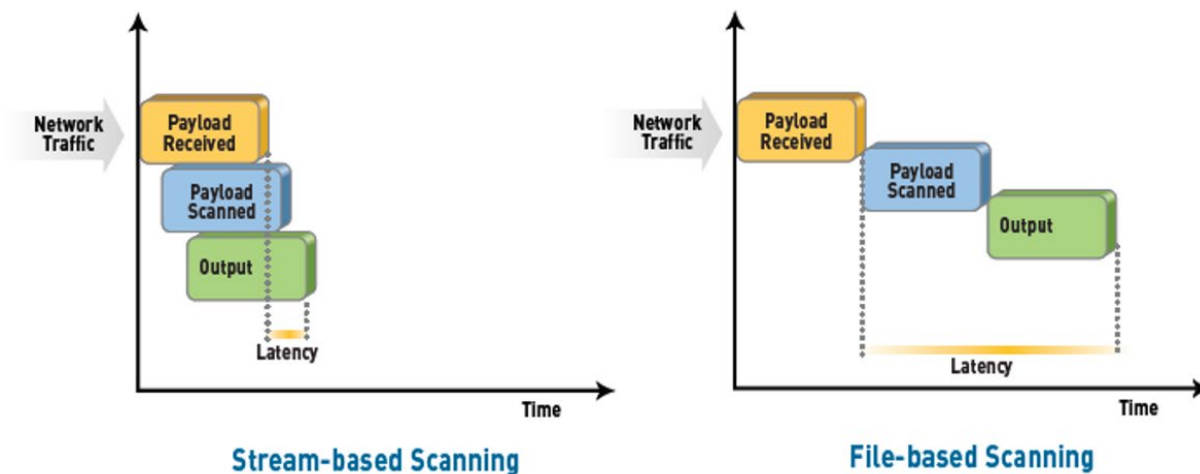


Figure 2-11: Stream-based scanning helps minimize latency and maximize throughput performance.

Intrusion prevention

Content-ID protects networks from all types of vulnerability exploits, buffer overflows, DoS attacks, and port scans that lead to the compromise of confidential and sensitive enterprise information. IPS mechanisms in Content-ID include:

- Protocol decoders and anomaly detection
- Stateful pattern matching
- Statistical anomaly detection
- Heuristic-based analysis
- Invalid or malformed packet detection
- IP defragmentation and TCP reassembly
- Custom vulnerability and spyware phone-home signatures

Traffic is normalized to eliminate invalid and malformed packets, while TCP reassembly and IP defragmentation are performed to ensure the utmost accuracy and protection despite any packet-level evasion techniques.

File and data filtering

File and data filtering takes advantage of in-depth application inspection and enables enforcement of policies that reduce the risk of unauthorized information transfer or malware propagation. File and data filtering capabilities in Content-ID include:

- **File blocking by type:** Control the flow of a wide range of file types by looking deep within the payload to identify the file type (as opposed to looking only at the file extension)
- **Data filtering:** Control the transfer of sensitive data patterns such as credit card numbers and Social Security numbers in application content or attachments

- **File transfer function control:** Control the file transfer functionality within an individual application, which allows application use while preventing undesired inbound or outbound file transfer

Log correlation and reporting

Powerful log filtering enables administrators to quickly investigate security incidents by correlating threats with applications and user identity. The ACC provides a comprehensive view of current and historical data including network activity, application use, users, and threats in a highly visual, fully customizable, and easy-to-use interactive format. This visibility enables administrators to make informed policy decisions and respond quickly to potential security threats.

The ACC provides a tabbed view of network activity, threat activity, and blocked activity, and each tab includes pertinent widgets for better visualization of traffic patterns on the network (see Figure 2-12).

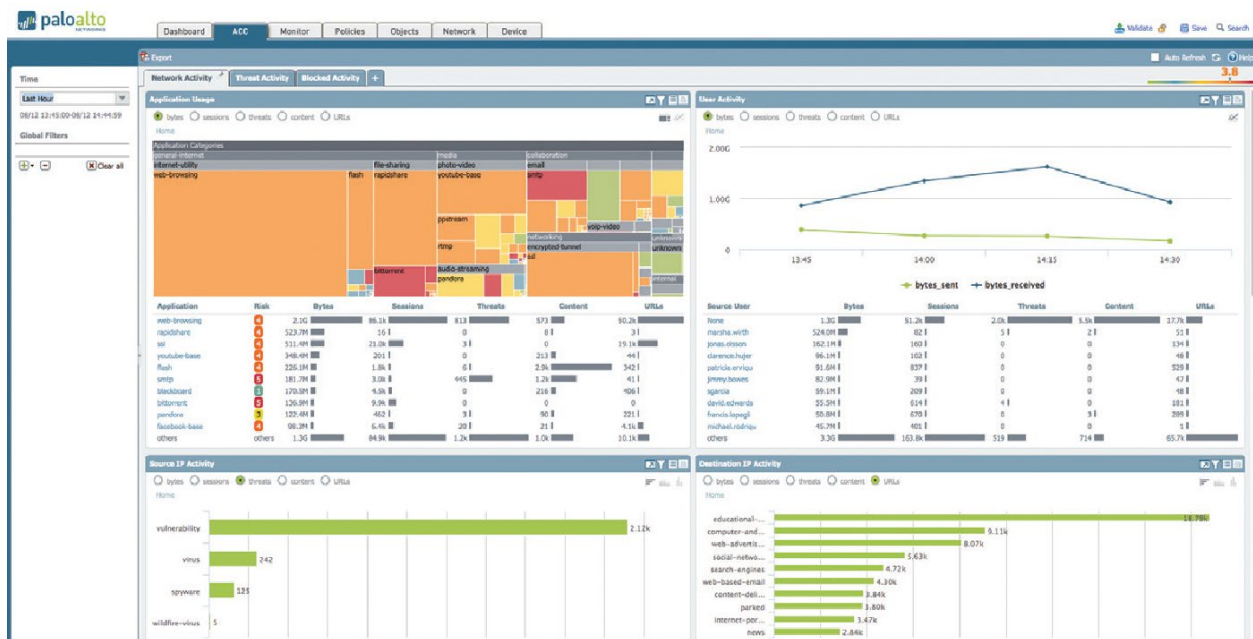


Figure 2-12: The ACC provides a highly visual, interactive, and customizable security management dashboard.

Figure 2-13 shows a core widget of the ACC, the Application Usage widget. In this case, the widget shows application traffic in bytes. Applications (colored boxes) are grouped in application categories (gray bars). The size of each box indicates how much traffic a given application consumed during the selected time frame. The color of the box indicates the risk level of an application, with red being critical, orange medium, and blue the lowest risk. The tabular listing below the graph shows additional information, such as the number of sessions, threats detected, content or files included, and URLs accessed by these applications.

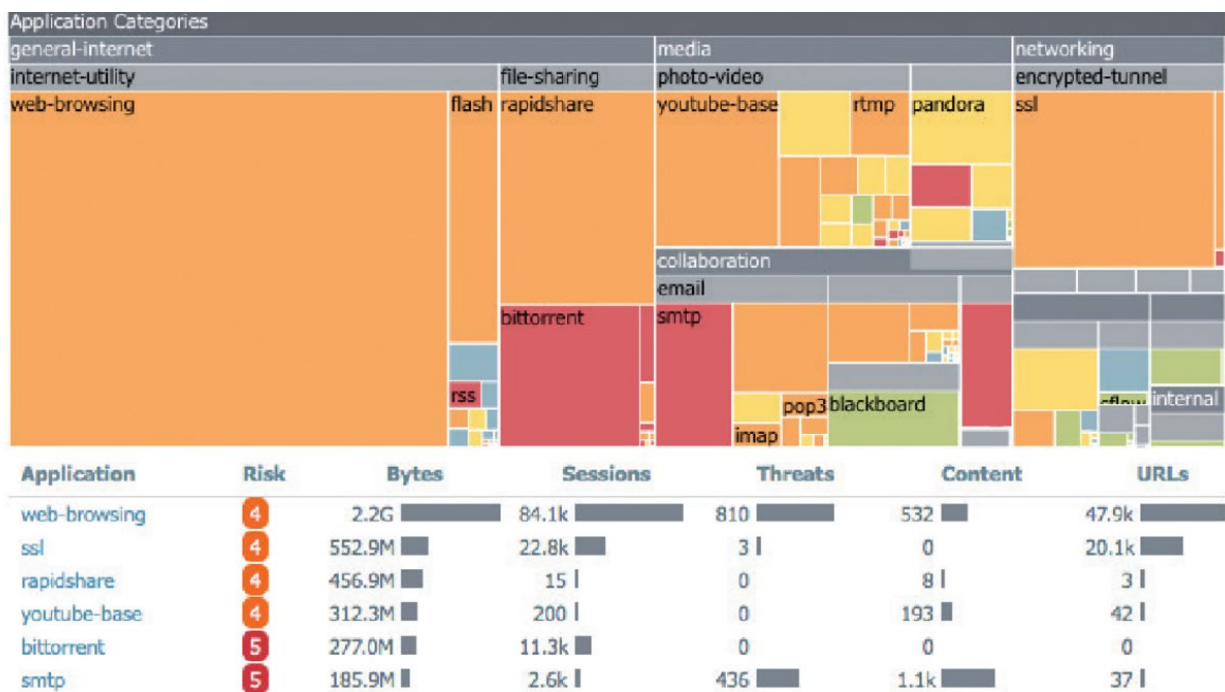


Figure 2-13: The ACC Application Usage widget displays application traffic by type, amount, risk, and category.

In Figure 2-14, an ACC widget shows source and destination by region, with a visual display of where traffic is originating and going. The world maps are interactive and provide the ability to get more detail and information about traffic to or from individual countries.

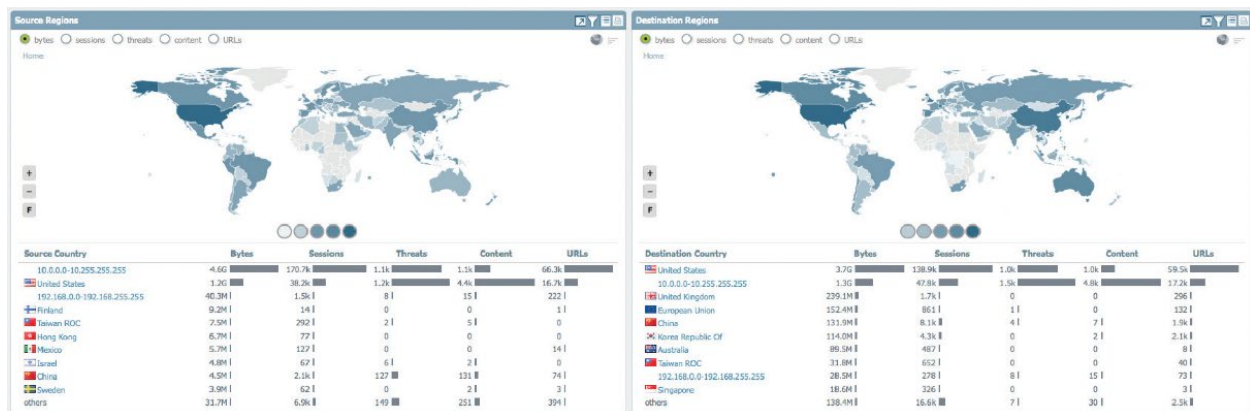


Figure 2-14: Geolocation awareness in the ACC provides valuable information about source and destination of all application traffic.

Figure 2-15 shows an ACC widget that shows the power of application control in a next-generation firewall versus a traditional port-based firewall. This widget shows applications with port hopping capabilities using non-standard ports.

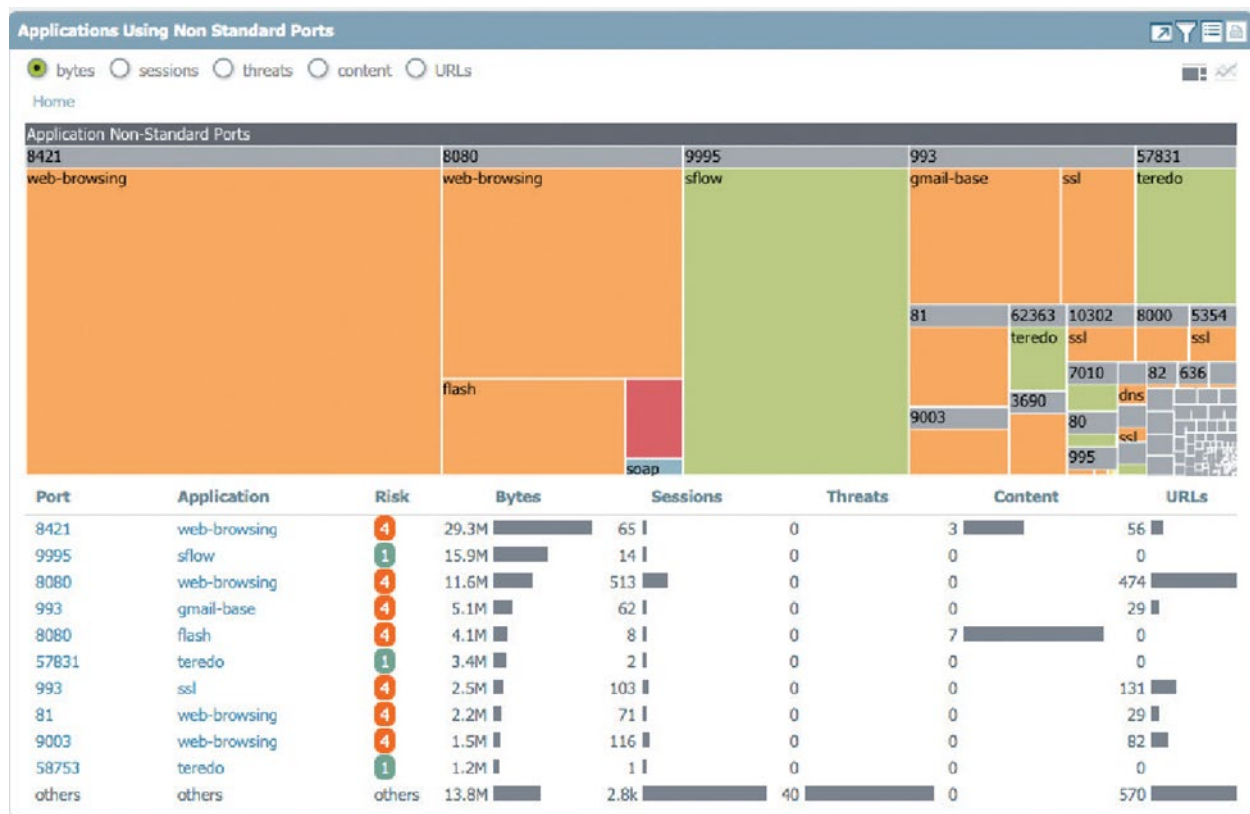


Figure 2-15: The ACC Applications Using Non Standard Ports widget highlights port hopping and showcases the importance of application versus port control.

Custom tabs also can be created that include widgets that enable administrators to view more specific information. With the ACC, every administrator can customize their own views by selecting predesigned widgets from a drop-down list and building their own user interface (see Figure 2-16).

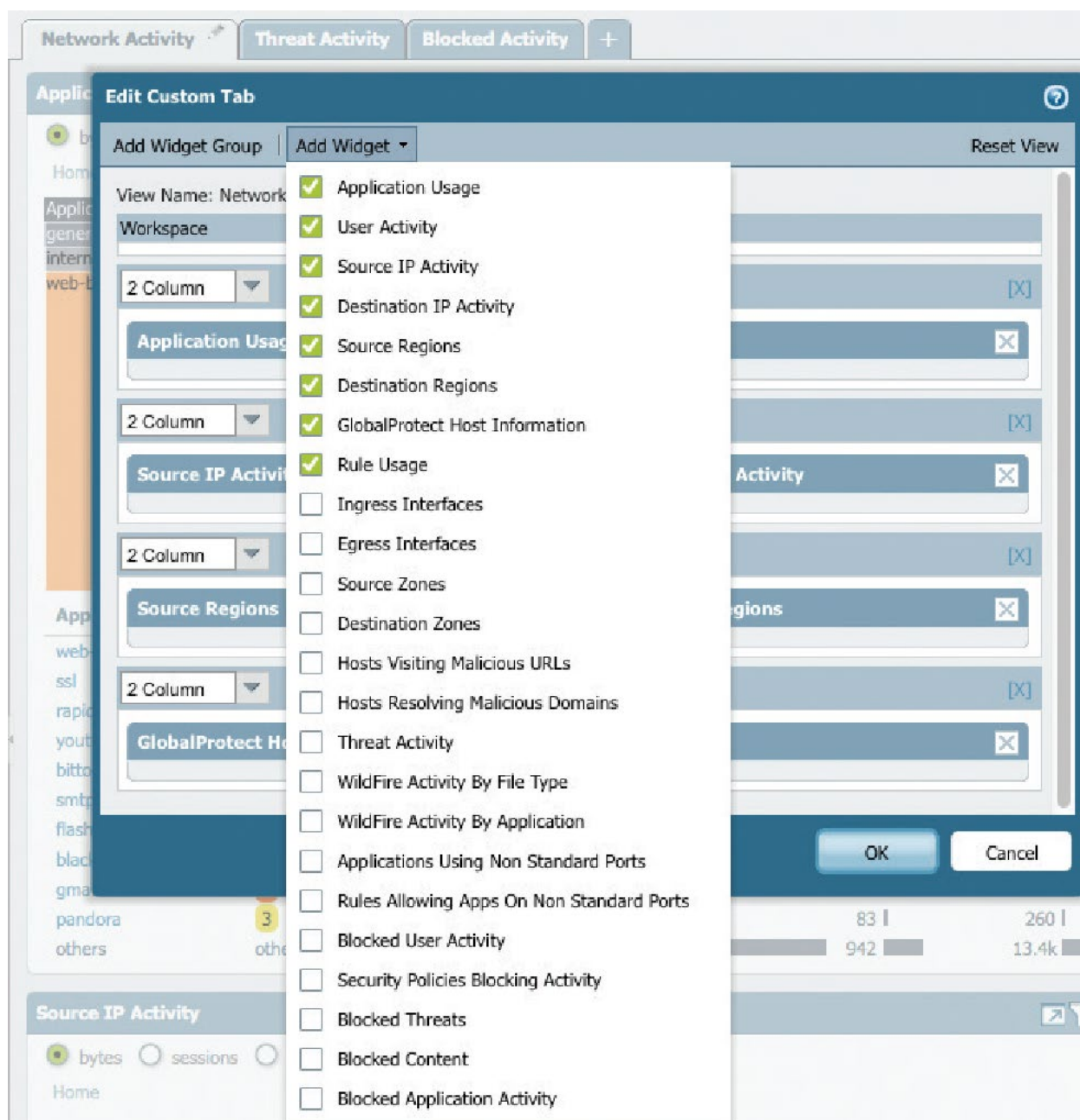


Figure 2-16: A wide variety of widgets can be selected to customize tabs in the ACC.

In addition to customizing existing tabs (Network Activity, Threat Activity, and Blocked Activity), administrators can create custom tabs to monitor certain employees, situations, or applications.

With the interactive capabilities of the ACC, you can learn more about applications, URL categories, risk levels, or threats to get a complete view of network and threat activity (see Figure 2-17).

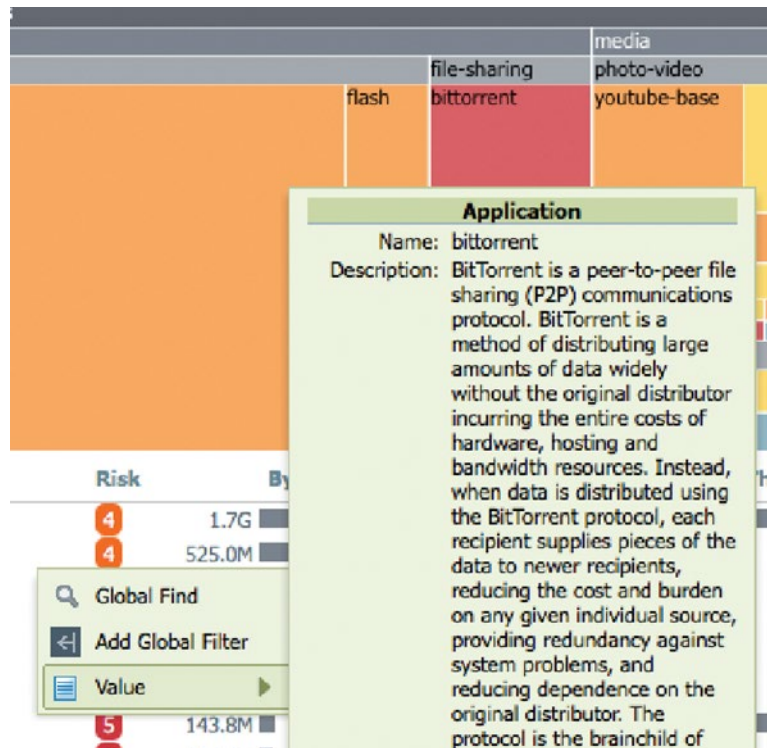


Figure 2-17: One-click, interactive capabilities provide additional information and the ability to apply any item as a global filter.

The Automated Correlation Engine in the ACC is an analytics tool that surfaces critical threats that may be hidden in the network. It reduces manual data mining and enables faster response times. It scrutinizes isolated events automatically across multiple logs, queries the data for specific patterns, and correlates network events to identify compromised hosts. And it includes correlation objects that are defined by the Palo Alto Networks Malware Research team. These objects identify suspicious traffic patterns, compromised hosts, and other events that indicate a malicious outcome. Some correlation objects can identify dynamic patterns that have been observed from malware samples in WildFire.

Correlation objects trigger correlation events when they match on traffic patterns and network artifacts that indicate a compromised host on your network. In the ACC, correlation triggers are clearly identified and highlighted to enable a fast response (see Figure 2-18).

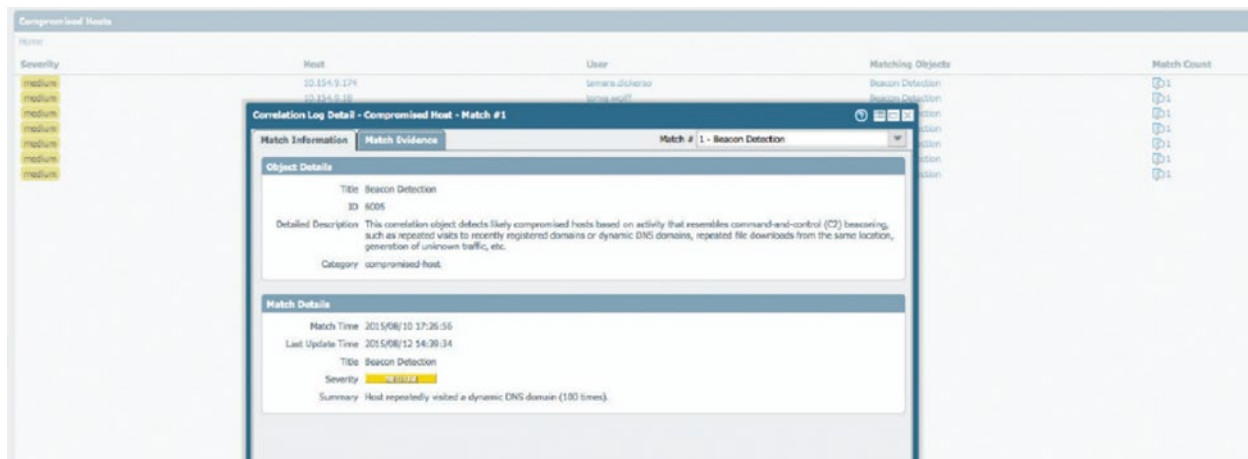


Figure 2-18: The Automated Correlation Engine automatically highlights compromised hosts in the ACC by correlating indicators of compromise (IoCs).

A log is an automatically generated, timestamped file that provides an audit trail for system events on the firewall or network traffic events that the firewall monitors. Log entries contain *artifacts*, which are properties, activities, or behaviors associated with the logged event, such as the application type or the IP address of an attacker. Each log type records information for a separate event type. For example, the firewall generates a Threat log to record traffic that matches a spyware, vulnerability, or virus signature or a DoS attack that matches the thresholds configured for a port scan or host sweep activity on the firewall.

The following logs can be viewed from the Monitor tab on Palo Alto Networks Next-Generation Firewalls:

- **Alarms logs:** An alarm is a firewall-generated message that indicates that the number of events of a particular type (for example, encryption and decryption failures) has exceeded the threshold configured for that event type.
- **Config logs:** These logs display entries for changes to the firewall configuration. Each entry includes the date and time, the administrator username, the IP address from where the administrator made the change, the type of client (web, CLI, or Panorama), the type of command executed, the command status (succeeded or failed), the configuration path, and the values before and after the change.
- **Correlation logs:** The firewall logs a correlated event when the patterns and thresholds defined in a correlation object match the traffic patterns on your network.
- **Data Filtering logs:** These logs display entries for the security rules that help prevent sensitive information such as credit card numbers from leaving the area that the firewall protects.
- **HIP Match logs:** The Prisma Access Host Information Profile (HIP) feature enables you to collect information about the security status of the end devices accessing your network (such as whether they have disk encryption enabled). The firewall can allow or deny access to a specific host based on adherence to the HIP-based security rules you define. HIP Match logs display traffic flows that match a HIP Object or HIP Profile that you configured for the rules.

- **System logs:** These logs display entries for each system event on the firewall. Each entry includes the date and time, event severity, and event description.
- **Threat logs:** These logs display entries when traffic matches one of the Security Profiles attached to a security rule on the firewall. Each entry includes the following information: date and time; type of threat (such as virus or spyware); threat description or URL (Name column); source and destination zones, addresses, and ports; application name; alarm action (such as “allow” or “block”); and severity level.
- **Traffic logs:** These logs display an entry for the start and end of each session. Each entry includes the following information: date and time; source and destination zones, addresses, and ports; application name; security rule applied to the traffic flow; rule action (“allow,” “deny,” or “drop”); ingress and egress interface; number of bytes; and session end reason.
- **Unified logs:** Unified logs are entries from the Traffic, Threat, URL Filtering, WildFire Submissions, and Data Filtering logs displayed in a single view. The Unified log view enables you to investigate and filter the latest entries from different log types in one place, instead of searching through each log type separately.
- **URL Filtering logs:** These logs display entries for traffic that matches URL Filtering Profiles attached to security rules. For example, the firewall generates a log if a rule blocks access to specific websites and website categories or if you configured a rule to generate an alert when a user accesses a website.
- **WildFire Submissions logs:** The firewall forwards samples (files and emails links) to the WildFire cloud for analysis based on WildFire Analysis Profiles settings. The firewall generates WildFire Submissions log entries for each sample it forwards after WildFire completes static and dynamic analysis of the sample. WildFire Submissions log entries include the WildFire verdict for the submitted sample.

The reporting capabilities on the Palo Alto Networks Next-Generation Firewall enable you to monitor your network health, validate your policies, and focus your efforts on maintaining network security. The following report types are available:

- **Botnet reports** allow you to use behavior-based mechanisms to identify potential botnet-infected hosts in the network.
- **Custom reports** can be created and scheduled to show exactly the information you want to see by filtering on conditions and columns to include. You also can include query builders for more specific details in report data.
- **PDF summary reports** aggregate up to 18 predefined or custom reports and graphs from Threat, Application, Trend, Traffic, and URL Filtering categories into one PDF document.
- **Predefined reports** allow you to view a summary of the traffic on your network. Predefined reports are available in four categories: Applications, Traffic, Threat, and URL Filtering.
- **Report groups** combine custom and predefined reports into report groups and compile a single PDF document that is emailed to one or more recipients. **User or group activity reports** allow you to schedule or create an on-demand report on the application use and URL activity for a specific user or for a user group. The report includes the URL

categories and an estimated browse-time calculation for individual users.

Reports can be generated on demand or on a recurring schedule, and they can be scheduled for email delivery.

Next-generation firewall deployment options

The Palo Alto Networks family of next-generation firewalls includes physical appliances, virtualized firewalls, and 5G-ready firewalls.

Physical appliances (PA-Series)

The full range of Palo Alto Networks physical next-generation firewalls is easy to deploy into your organization's network. They are purposefully designed for simplicity, automation, and integration. PA-Series firewalls support a variety of data center and remote branch deployment use cases. Available PA Series firewalls include the following (see Figure 2-19):

- **PA-7000 Series:** The PA-7000 Series next-generation firewalls enable enterprise-scale organizations and service providers to deploy security in high-performance environments, such as large data centers and high-bandwidth network perimeters. These systems are designed to handle growing throughput needs for application-, user-, and device-generated data, and they offer performance, prevention capabilities to stop the most advanced cyberattacks, and high-throughput decryption to stop threats hiding under the veil of encryption. The PA-7000 Series is built to maximize security-processing resource use and automatically scale as new computing power becomes available, and it offers simplicity defined by a single-system approach to management and licensing.
- **PA-5200 Series:** The PA-5200 Series next-generation firewalls comprising the PA-5280, PA-5260, PA-5250, and PA-5220 firewalls are ideal for high-speed data center, internet gateway, and service provider deployments. The PA-5200 Series delivers up to 64Gbps of throughput, using dedicated processing and memory, for the key functional areas of networking, security, threat prevention, and management.
- **PA-3200 Series:** The PA-3200 Series next-generation firewalls comprising the PA-3260, PA-3250, and PA-3220 are targeted at high-speed internet gateway deployments. PA-3200 Series appliances secure all traffic (including encrypted traffic) using dedicated processing and memory for networking, security, threat prevention, and management.
- **PA-800 Series:** The PA-800 Series next-generation firewalls comprising the PA-850 and PA-820 firewalls are designed to provide secure connectivity for organizations' branch offices and for midsize businesses.
- **PA-220:** The PA-220 firewall brings next-generation firewall capabilities to distributed enterprise branch offices, retail locations, and midsize businesses in a small form factor.
- **PA-220R:** The PA-220R firewall is a ruggedized next-generation firewall that secures industrial and defense networks in a range of harsh environments, such as utility substations, power plants, manufacturing plants, oil and gas facilities, building management systems, and healthcare networks.

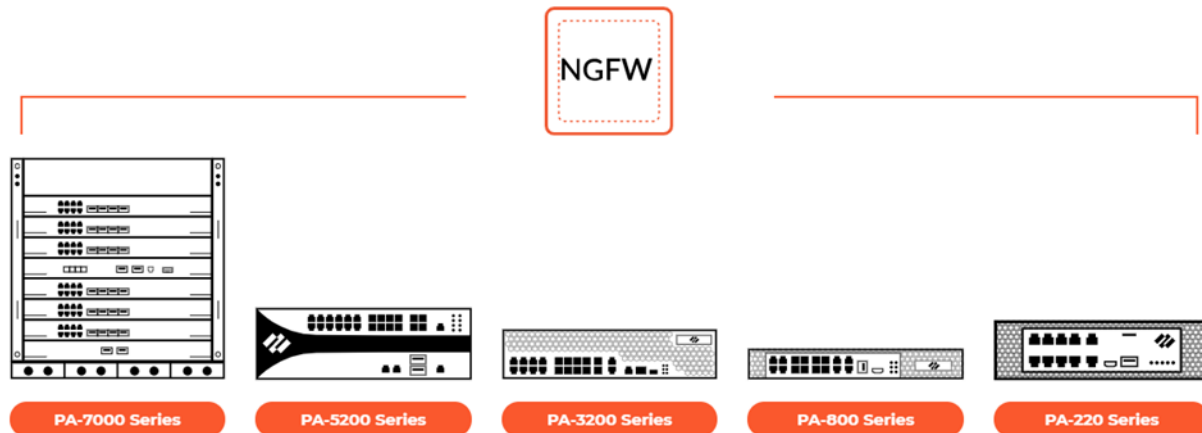


Figure 2-19: Strata next-generation firewalls

Virtualized firewalls (VM-Series)

VM-Series virtual firewalls provide all the capabilities of Palo Alto Networks next-generation physical hardware firewalls (PA-Series) in a virtual machine form factor. VM-Series form factors support a variety of deployment use cases, including:

- **Micro-segmentation:** VM-Series virtual firewalls reduce your environment's attack surface by enabling granular segmentation and micro-segmentation. Threat prevention capabilities ensure that threats that enter the environment are quickly identified and stopped before they can exfiltrate data, deliver malware or ransomware payloads, or cause other damage.
- **Multicloud and hybrid cloud:** VM-Series virtual firewalls eliminate the need for multiple security tool sets by providing comprehensive visibility and control across multicloud and hybrid cloud environments – including Amazon Web Services (AWS), Google Cloud Platform (GCP), Microsoft Azure, and Oracle Cloud – and just as effortlessly in software-defined networks and virtualized environments, all managed from a single console.
- **DevOps and CI/CD pipelines:** VM-Series virtual firewalls provide on-demand, elastic scalability to ensure security when and where it is needed most. With automated network security, security provisioning can be integrated directly into DevOps workflows and CI/CD pipelines without slowing the pace of business.

K2-Series

5G creates disruptive business opportunities for mobile network operators because it can move beyond delivering connectivity and use security as a business enabler and competitive advantage. The evolution to 5G prevents opportunities for new services, but it also increases the number of potential intrusion points, thus amplifying the security impact. If you want to tap into the 5G business opportunities with minimal risk of being exploited by hackers, you need complete visibility and automated security across all network locations.

Palo Alto Networks has developed, as part of the next-generation firewall platform, a 5G-ready

platform, called the K2-Series, to prevent successful cyberattacks from targeting mobile network services. The K2-Series firewalls are designed to handle growing throughput needs due to the increase of application-, user-, and device-generated data. The K2-Series offers strong performance and threat prevention capabilities to stop advanced cyberattacks and secure mobile network infrastructure, subscribers, and services.

You can deploy K2-Series firewalls on all 5G network interfaces to achieve scalable, complete protection with consistent management and full application visibility (see Figure 2-20). The fundamental shift in 5G network architectures further intensifies the impact on the security landscape, with growth in the number of intrusion points, including attacks inside mobile tunnels and threats within apps traversing cellular traffic. Mobile operators need consistent security enforcement across all network locations and all signaling traffic. This larger attack surface increases the need for application-aware Layer 7 security to detect known and unknown threats.

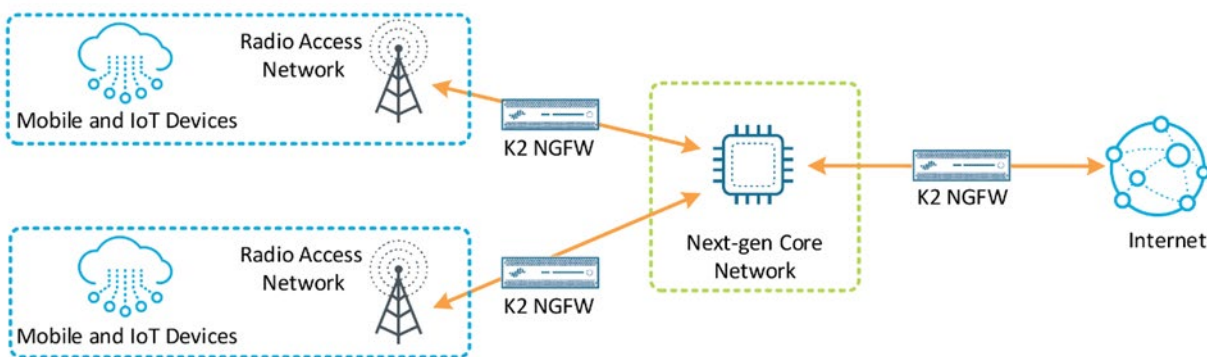


Figure 2-20: *Securing 4G and 5G New Radio (NR) networks*

K2-Series offers two modes: secure mode and express mode. Secure mode comes with all of the next-generation firewall features enabled, including threat prevention with the following enabled: App-ID, IPS, antivirus, antispysware, advanced malware analysis, and logging. Express mode is optimized for the highest throughput configuration; it is upgradable to secure mode.

IronSkillet

IronSkillet is a set of day-one, next-generation firewall configuration templates for PAN-OS software that are based on security best practice recommendations.

Instead of extensive how-to documentation, the templates provide an easy-to-implement configuration model that is use case-agnostic. The emphasis is on key security elements such as dynamic updates, security profiles, rules, and logging that should be consistent across deployments.

Palo Alto Networks has expertise in security prevention and in its own product portfolio. Best practice documentation is designed to provide knowledge sharing of this expertise with customers and partners. This sharing helps improve security posture across various scenarios.

The templates play a complementary role by taking common best practices recommendations and compiling them into prebuilt day-one configurations that can be readily loaded into Panorama or a next-generation firewall. The benefits include:

- Faster time to implement
- Fewer configuration errors
- Improved security posture

The templates are available on GitHub and are specific to each PAN-OS software version.

Palo Alto Networks Expedition (migration tool)

The migration to a Palo Alto Networks Next-Generation Firewall is a critical step toward the prevention and detection of cyberattacks. Today's advanced threats require a move away from port-based firewall policies, which no longer are adequate to protect against a modern threat landscape, into an architecture that reduces your attack surface by safely enabling only those applications that are critical to your organization and eliminating applications that introduce risk.

Expedition enables organizations to analyze their existing environment, convert existing security policies to Palo Alto Networks Next-Generation Firewalls, and assist with the transition from proof-of-concept to production.

The primary functions of Expedition include:

- **Third-party migration** transfers the various firewall rules, addresses, and service objects to a PAN-OS XML configuration file that can be imported into a Palo Alto Networks Next-Generation Firewall. Third-party migration from the following firewall vendors is available:
 - Check Point
 - Cisco ASA/PIX/FWSM
 - Fortinet
 - Juniper SRX/NETSCREEN
 - McAfee Sidewinder
- **Adoption of App-ID** enables organizations to get the most value from their next-generation firewall, while reducing the attack surface and regaining visibility and control over the organization through App-ID.
- **Optimization** keeps next-generation firewalls operating at peak performance with services that include:
 - Architecture review
 - System health check
 - Configuration audit
 - Optional product tuning and configuration change implementation
- **Consolidation** of legacy firewalls to Palo Alto Networks virtual systems enables organizations to customize administration, networking, and security policies for the network traffic that is associated with specific departments or customers. In a standard

virtual system interface configuration, each virtual system uses a dedicated interface to the internet, requiring the use of multiple IP addresses. A shared gateway allows organizations to create a common virtual interface for the virtual systems that correspond to a single physical interface. This shared gateway is helpful in environments where the ISP provides only a single IP address. All the virtual systems communicate with the outside world through the physical interface, using a single IP address.

- **Centralized management with Panorama** enables organizations to centrally manage the process of configuring devices, deploying security policies, performing forensic analysis, and generating reports across the organization's entire network of Palo Alto Networks Next-Generation Firewalls. Panorama and the individual device management interfaces are available as either a virtual appliance or a dedicated management platform and share the same web-based look-and-feel, which ensures workflow consistency while minimizing any learning curve or delay in executing tasks.
- **Auto-zoning** automatically adapts security policies from vendors that do not use zones and zones-based rules. The mapping of zones depends on the routes and the zone interface IP address. The mappings adjust when you set or change the interfaces and zones settings.
- **Customized response pages** can be loaded by administrators to notify end users of policy violations.

Palo Alto Networks combination of tools, expertise, and best practices helps analyze an organization's existing environment and migrate policies and firewall settings to the next-generation firewall, while assisting in all phases of the transition.

Implementing Zero Trust with next-generation firewalls

Companies often are reluctant to begin the Zero Trust journey because they believe it is difficult, costly, and disruptive. Twentieth-century design paradigms can create problems when a 21st-century Zero Trust network is designed. However, building Zero Trust networks is actually much simpler than building legacy 20th-century hierarchical networks. Because most company networks were designed from the outside in, based on classifying users as "trusted" and "untrusted," which is an approach that has since proved unsecure, designers struggle to adapt their design thinking to the Zero Trust methodology.

Replacement of an existing network to deploy a Zero Trust network is not necessary. Zero Trust augments an existing network, with each Zero Trust network designed for a specific protect surface. The Zero Trust network is interconnected with an existing network to take advantage of existing technology. Then additional datasets, applications, assets, or services are iteratively moved from the legacy network to the Zero Trust network. This phased approach helps make deployment of Zero Trust networks manageable, cost-effective, and non-disruptive.

The following five-step methodology describes a Zero Trust deployment with next-generation firewalls and other tightly integrated Palo Alto Networks security solutions (see Figure 2-21).

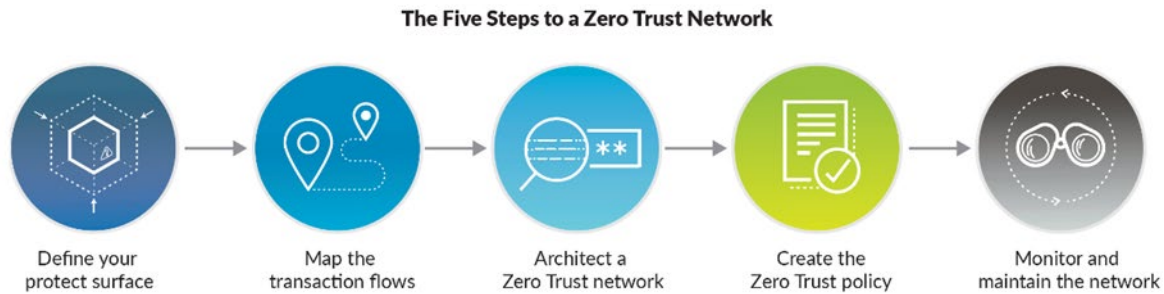


Figure 2-21: The Palo Alto Networks Zero Trust methodology

Step 1: Define your protect surface

When you define the protect surface, you need to consider all critical data, application, assets, or services (DAAS). Your protect surface could include:

- **Data:** Payment card information (PCI), protected health information (PHI), personally identifiable information (PII), and intellectual property
- **Applications:** Off-the-shelf or custom software
- **Assets:** Supervisory control and data acquisition (SCADA) controls, point-of-sale terminals, medical equipment, manufacturing assets, and IoT devices
- **Services:** Domain Name System (DNS), Dynamic Host Configuration Protocol (DHCP), and Active Directory

Palo Alto Networks Next-Generation Firewalls, in physical or virtualized form, provide comprehensive Layer 7 visibility to help you determine your data, applications, assets, and service profile. Palo Alto Networks also has extensive partnerships with leading third-party companies to help with additional data and asset discovery. Cortex XDR detection and response uses network, cloud, and endpoints as sensors, feeding data into Cortex Data Lake to provide visibility into the activity of users, devices, applications, and services for greater insight into the individual protect surfaces across an enterprise environment.

Step 2: Map the transaction flows

To properly design a network, you must understand how systems should work. The way traffic moves across the network (specific to the data in the protect surface) determines how it should be protected. This understanding comes from scanning and mapping the transaction flows inside a network to determine how various data, application, asset, and service components interact with other resources on the network.

Flows commonly are approximated through documentation knowledge about how specific resources interact. Even without a complete view, this information still provides valuable data so that controls aren't arbitrarily implemented with zero insight.

Zero Trust is a flow-based architecture. After design of how the systems work is understood, the flow maps tell where to insert controls.

Zero Trust is an iterative process. Start with what you know. As you move through the steps in this methodology, you'll gather more information that will enable more granularity in your design. You shouldn't delay your Zero Trust initiative just because you don't have perfect information.

Palo Alto Networks Next-Generation Firewalls deliver deep, application-layer visibility with granular insight into traffic flows. Policy Optimizer gives deep visibility into applications to help you prioritize rule migration, identify rules that allow unused or overprovisioned applications, and analyze rule usage characteristics.

Cortex Data Lake also collects telemetry from the network via next-generation firewall appliances, the cloud via VM-Series virtualized next-generation firewalls, and endpoints via Cortex XDR. With this data centralized, Cortex XDR taps into Cortex Data Lake to validate established interaction and provide details about that interaction to help refine the use of communication and understanding of the flow.

Step 3: Architect a Zero Trust network

The first step of any network design usually is to architect it. Individuals get “reference architectures” for the network and must work to make them usable for their business. In the Zero Trust process, architecting the network is the third step. Further, Zero Trust networks are personalized, not some universal design. After the protect surface is defined and the flows mapped, the Zero Trust architecture will become apparent.

The architectural elements begin with deployment of a next-generation firewall as a segmentation gateway to enforce granular Layer 7 access as a micro-perimeter around the protect surface. With this architecture, each packet that accesses a resource inside the protect surface will pass through a next-generation firewall so that Layer 7 policy can be enforced, simultaneously controlling and inspecting access. There is a significant misunderstanding that Zero Trust is only about access control: Least-privileged access control is only one facet of Zero Trust. Another facet is the inspection and logging of every single packet, all the way through Layer 7, to determine whether packets are clean. This determination is made after inspecting all network traffic for malicious content with multiple integrated security services, including IPS, sandboxing, DNS security, URL filtering, and data loss prevention (DLP) capabilities.

Palo Alto Networks Next-Generation Firewalls take advantage of App-ID, User-ID, and Content-ID to define authoritative Layer 7 policy controls and prevent compromise of protect surfaces. Because these segmentation gateways are offered in both physical and virtual form factors, this architectural model can work everywhere you may have a protect surface, whether in on-premises or off-premises physical data centers, or in private, public, or hybrid cloud environments.

Endpoint security such as Cortex XDR can prevent compromise of the protect surface by known and unknown threats, whether from malware, fileless attacks, or exploits. Secure access offerings

such as Prisma Access extend the policy of each micro-perimeter down to the endpoints attempting to access protect surface resources.

The product portfolio delivers telemetry from all core Palo Alto Networks technologies to Cortex Data Lake, thus enabling machine learning-based policy optimization and automation via Cortex XDR for improvement in later stages of the deployment.

The architecture still would be incomplete without important third-party offerings. Palo Alto Networks integrates with multiple multi-factor authentication (MFA) providers to add fidelity to User-ID. To simplify Zero Trust architectures, a powerful API provides deep integrations with more than 250 third-party partners, and includes anti-spam/anti-phishing technologies, DLP systems, software-defined wide-area networks (SD-WAN), and wireless offerings.

Step 4: Create the Zero Trust policy

After you've architected your Zero Trust network, you need to create the supporting Zero Trust policies, following the Kipling Method, to answer the who, what, when, where, why, and how of your network and policies. Before one resource can talk to another, a specific rule must allow that traffic. The Kipling Method of creating policy enables Layer 7 policy for granular enforcement so that only known allowed traffic or legitimate application communication is allowed in your network. This process significantly reduces the attack surface while reducing the number of port-based firewall rules enforced by traditional network firewalls. With the Kipling Method, you can easily write policies by answering:

- **Who** should be accessing a resource, which defines the "asserted identity."
- **What** application is the asserted identity of the packet using to access a resource inside the protect surface?
- **When** is the asserted identity trying to access the resource?
- **Where** is the packet destination? A packet's destination often is automatically pulled from other systems that manage assets in an environment, such as from a load-balanced server via a virtual IP address.
- **Why** is this packet trying to access this resource within the protect surface? This question relates to data classification, where metadata automatically ingested from data classification tools helps make your policy more granular.
- **How** is the asserted identity of a packet accessing the protect surface via a specific application?

To simplify the process, you should create policies primarily on your segmentation gateways' centralized management tool. Panorama provides this functionality, and Panorama is where the Kipling Method is applied.

Palo Alto Networks Next-Generation Firewall technology and unique features enable you to write policies that are easy to understand and maintain while providing maximum security transparent to your end users. User-ID helps define the who, App-ID helps define the what, and Content-ID helps define the how, all of which is enforced throughout your deployment, including by the WildFire malware prevention service and by the Threat Prevention, URL

Filtering, and DNS Security services. PAN-OS software delivers enhanced policy creation capability, notably through Policy Optimizer, which continuously helps you understand how to increase the fidelity of your Zero Trust policy. You also can create policies for Prisma SaaS based on how SaaS applications are accessed.

Step 5: Monitor and maintain the network

The last step in this iterative process is to monitor and maintain your network, which means continuously looking at all internal and external logs through Layer 7 and focusing on the operational aspects of Zero Trust. Inspection and logging of all traffic on your network are pivotal facets of Zero Trust.

You must send the system as much telemetry as possible about your environment. This data will give you new insights into how to improve your Zero Trust network. The more your network is attacked, the stronger it will become, with greater insight into making policies more secure. Additional data provides insight into the protect surface, such as what you should include in it and the interdependencies of data within it, that can further enhance your security.

All telemetry generated by Palo Alto Networks endpoint, network, and cloud security technologies is sent to Cortex Data Lake, where the data is stitched together to enable machine learning-based policy optimization and analytics.

Next-generation firewall and VM-Series data is consolidated into a singular view under Panorama, which raises an alert when a malicious or suspicious occurrence should be investigated.

AutoFocus contextual threat intelligence service, enables this investigation with a combination of machine intelligence from WildFire and human intelligence provided by the Palo Alto Networks Unit 42 Threat Research team, thus resulting in policy improvement and a more refined protect surface. The MineMeld engine within AutoFocus can aggregate, enforce, and share threat intelligence from third-party sources, thus providing further context for improved Zero Trust policy. MineMeld can seamlessly integrate with your next-generation firewall inside or outside your Palo Alto Networks deployment.

Prisma Cloud provides public cloud security and compliance monitoring, scanning all audit and flow logs across multicloud environments for root user and overly permissive administrator activities. Prisma Cloud builds deep contextual understanding of your cloud environment, thus allowing detection of user anomalies based on activity and location that could signal compromised credentials, brute-force attacks, and other suspicious activities. Prisma Cloud also correlates threat intelligence data to provide visibility into suspicious IP addresses and host vulnerabilities across your resources, which quickly can be isolated to avoid additional exposure. This data provides insight that enables you to fine-tune Zero Trust privileges.

Cortex XDR takes advantage of Cortex Data Lake to create profiles of users and devices, acting as a baseline of normal use. This baseline allows the behavioral analytics engine to detect threats

based on anomalies targeting your protect surface. Because it evaluates current or additional protect surface policies, Cortex XDR allows you to search the telemetry within Cortex Data Lake for communication and interactions between entities. You also can analyze the telemetry to prove the condition or get valuable insight into how your policy should be modified. In rare instances, the search can identify an unknown threat vector not factored into the protect surface. Cortex XDR then will facilitate a deep investigation of the newfound threat so that you can uncover what occurred and react accordingly.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Content-ID operates on which layer of the ISO model?
 - A. 7, application layer
 - B. 6, presentation layer
 - C. 5, session layer
 - D. 4, transport layer
2. Which feature of the NGFW is required to implement RBAC?
 - A. App-ID
 - B. Content-ID
 - C. User-ID
 - D. Global Protect
3. Which feature of the NGFW can distinguish between reading Facebook and commenting?
 - A. App-ID
 - B. Content-ID
 - C. User-ID
 - D. Global Protect
4. Which feature of the NGFW distinguishes between downloading a legitimate program and downloading malware?
 - A. App-ID
 - B. Content-ID
 - C. User-ID
 - D. Global Protect

2.21 Compare NGFW four core subscription services

For your next-generation firewall to gain complete visibility and apply full threat prevention on your network, you must activate the licenses for each of the subscription services:

- DNS Security
- URL Filtering
- Threat Prevention
- WildFire

DNS Security service

The Palo Alto Networks DNS Security service applies predictive analytics to disrupt attacks that use DNS for C2 or data theft. Tight integration with Palo Alto Networks Next-Generation

Firewalls gives you automated protection and eliminates the need for independent tools. Threats hidden in DNS traffic are rapidly identified with shared threat intelligence and machine learning. Cloud-based protections scale infinitely and are always up to date, thus giving your organization a critical new control point to stop attacks that use DNS (see Figure 2-22).

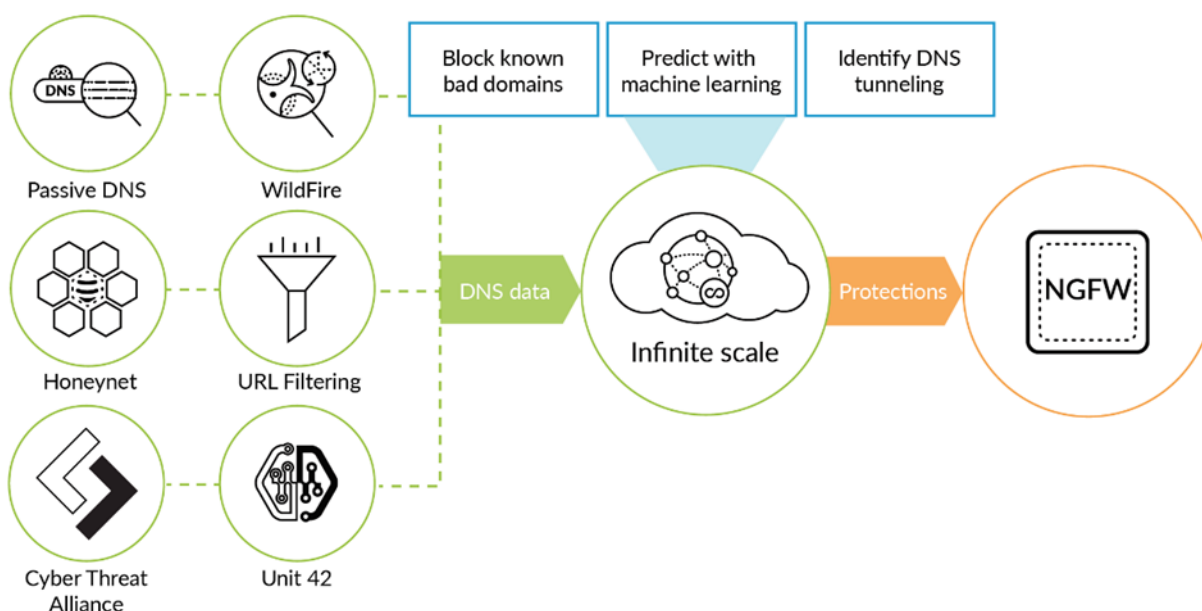


Figure 2-22: Rich DNS data powers machine learning for protection.

Predict and block new malicious domains

DNS is a massive and often overlooked attack surface present in every organization. Adversaries take advantage of the ubiquitous nature of DNS to abuse it at multiple points of an attack, including reliable C2. Security teams struggle to understand new malicious domains and enforce consistent protections for millions of emerging domains at the same time.

The DNS Security service takes a different approach to predicting and blocking malicious domains, thus giving the advantage back to overwhelmed network defenders.

Next-generation firewalls protect you against tens of millions of malicious domains identified with real-time analysis and continuously growing global threat intelligence. Your protection continues to grow with data from a large, expanding threat intelligence-sharing community. The Palo Alto Networks malicious domain database has been gathered over years, with sources including:

- WildFire malware prevention service to find new C2 domains, file download source domains, and domains in malicious email links
- URL Filtering to continuously crawl newly found or uncategorized sites for threat indicators
- Passive DNS and device telemetry to understand domain resolution history seen from thousands of deployed next-generation firewalls, generating petabytes of data per day

- Unit 42 threat research to provide human-driven adversary tracking and malware reverse engineering, including insight from globally deployed honeypots
- More than 30 third-party sources of threat intelligence to enrich understanding

With the DNS Security service, your next-generation firewalls can predict and stop malicious domains from domain generation algorithm-based malware with instant enforcement. Malware's use of domain generation algorithms (DGAs) continues to grow, limiting the effectiveness of blocking known malicious domains alone. DGA malware uses a list of randomly generated domains for C2, which can overwhelm the signature capability of traditional security approaches. DNS Security handle DGA malware by using:

- **Machine learning** to detect new and never-before-seen DGA domains by analyzing DNS queries as they are performed
- **Easy-to-set policy** for dynamic action to block DGA domains or sinkhole DNS queries
- **Threat attribution and context** to identify the malware family with machine learning for faster investigation efforts

A cloud-based database scales infinitely to provide limitless protection against malicious domains. Your protections are always up to date, whether 10,000 or 100 million new malicious domains are created in a single day. As part of the cloud-based service, all DNS queries are checked against the Palo Alto Networks infinitely scalable, cloud-based database in real time to determine appropriate enforcement action. The DNS Security service removes one of the most effective and widely used methods by which attackers establish C2, and its protection scales infinitely, ensuring your next-generation firewalls can process new malicious domains before any harm is done.

Neutralize DNS tunneling

Advanced attackers use DNS tunneling to hide data theft or C2 in standard DNS traffic. The sheer volume of DNS traffic often means defenders simply lack the visibility or resources to universally inspect it for threats. The DNS Security service enables you to:

- Use machine learning to quickly detect C2 or data theft hidden in DNS tunneling. Using historical and real-time shared threat intelligence, Palo Alto Networks algorithms observe the features of DNS queries, including query rate and patterns, entropy, and n -gram frequency analysis of the domains to accurately detect tunneling behavior.
- Extend PAN-OS signature-based protection to identify advanced tunneling attempts. DNS Security expands the native ability of next-generation firewalls to detect and prevent DNS tunneling. Protections are scalable and evasion-resistant, thus covering known and unknown variants of DNS tunneling.
- Rapidly neutralize DNS tunneling with automated policy action. DNS tunneling is automatically stopped with the combination of easy-to-set policy actions on the next-generation firewall and blockage of the parent domain for all customers.

Simplify security with automation and replace standalone tools

Security teams need integrated innovations that extend the value of their existing security investments without complicating operations. DNS Security takes advantage of the next-generation firewall to stop attacks using DNS, with full automation to reduce manual effort.

Tight integration with the next-generation firewall provides a critical new control point to stop attacks that use DNS. The service ensures that you have one device to deploy, with a single set of policies to manage. Alerts are coordinated across your entire security stack, including firewall policy violations, IDS/IPS, web security, and malware analysis.

When attacks using DNS are identified, security administrators can automate the process of sinkholing malicious domains on the firewall to eliminate C2 and rapidly identify infected users on the network. Combination of malicious domain sinkholing, DAGs, and logging actions automates detection and response workflows, thus saving analysts time by removing slow and manual processes.

The DNS Security service is built on a modular, cloud-based architecture to seamlessly add new detection, prevention, and analytics capabilities with zero impact to production next-generation firewalls.

URL Filtering service

To complement the threat prevention and application control capabilities, a fully integrated, on-box URL filtering database enables security teams to not only control end-user web surfing activities but also to combine URL context with application and user rules. The URL Filtering service complements App-ID by enabling you to configure the next-generation firewall to identify and control access to websites and to protect your organization from websites that host malware and phishing pages. You can use the URL category as a match criterion in policies, which permits exception-based behavior and granular policy enforcement. For example, you can deny access to malware and hacking sites for all users but allow access to users that belong to the IT security group.

When you enable URL Filtering, all web traffic is compared against the URL Filtering database, PAN-DB, which contains millions of URLs that have been grouped into about 65 categories. The malware and phishing URL categories in PAN-DB are updated in real time, which can prevent subsequent attempts to access the site based on the URL category, instead of treating it as unknown. User-credential detection, a part of URL Filtering, allows you to alert on or block users from submitting credentials to untrusted sites. If corporate credentials are compromised, user-credential detection allows you to identify who submitted credentials so that you can remediate (see Figure 2-23).

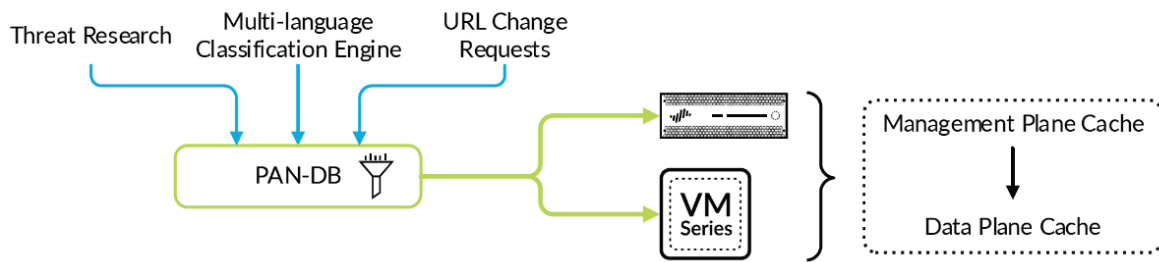


Figure 2-23: URL Filtering service

The on-box URL database can be augmented to fit the traffic patterns of the local user community with a custom URL database. For fast and easy access to frequently visited URLs, PAN-DB provides high-performance local caching, and URLs that are not categorized by the local URL database can be pulled into cache from a hosted URL database. In addition to database customization, administrators can create unique URL categories to further customize the URL controls to fit their specific needs.

URL categorization can be combined with application and user classification to further target and define policies. For example, SSL decryption can be invoked for select high-risk URL categories to ensure that threats are exposed, and QoS controls can be applied to streaming media sites. URL filtering visibility and policy controls can be bound to specific users through transparent integration with enterprise directory services (such as Active Directory, LDAP, and eDirectory), with additional insight provided through customizable reporting and logging.

Administrators can configure a custom block page to notify end users of any policy violations. The page can include references to the username, the IP address, the URL they are attempting to access, and the URL category. To place some of the web activity ownership back to the user, administrators can allow users to continue to the website or webpage after being presented with a warning page, or they can use passwords to override the URL Filtering policy.

Threat Prevention service (antivirus, anti-spyware, and vulnerability protection)

Threat Prevention blocks known malware, exploits, and C2 activity on the network. Addition of the Threat Prevention subscription brings additional capabilities to your next-generation firewall that identify and prevent known threats hidden within allowed applications. The Threat Prevention subscription includes malware/antivirus, C2, and vulnerability protection (see Figure 2-24).

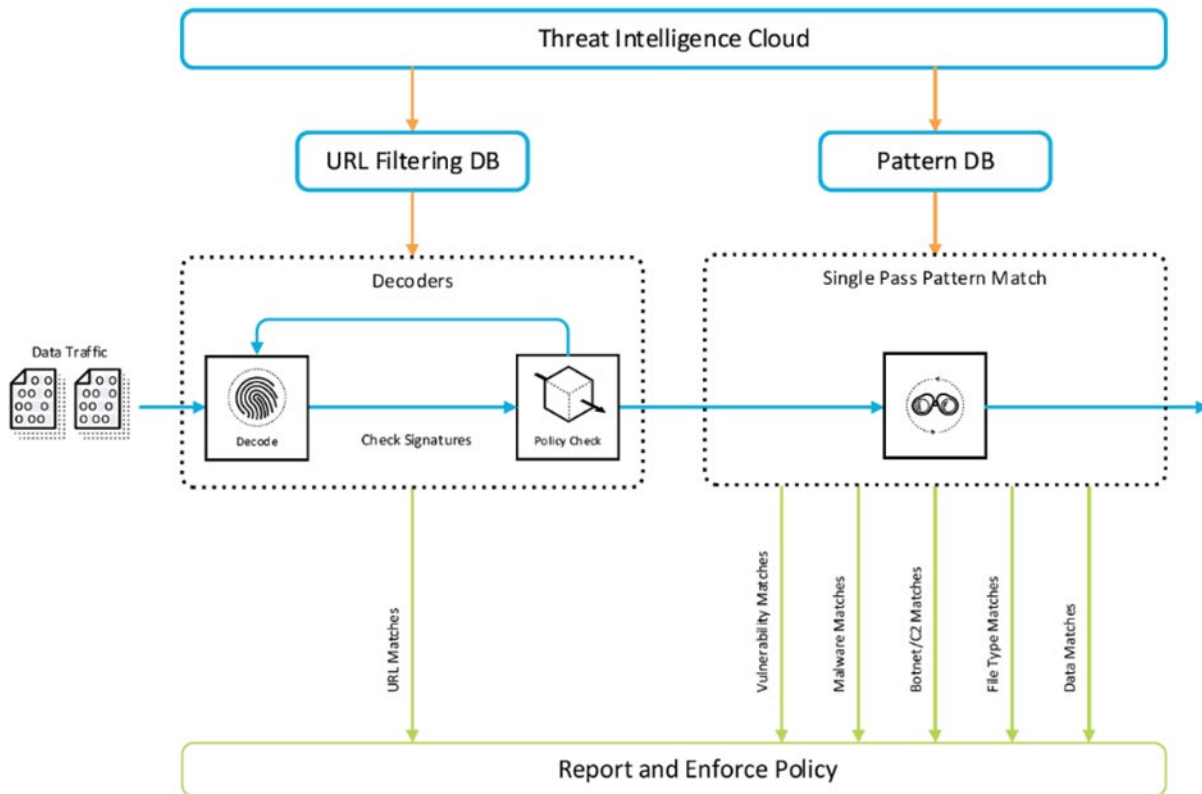


Figure 2-24: Threat Prevention service

Malware/antivirus protection

Inline malware protection uses content-based signatures to block malware before it ever reaches the target host. Signatures based on content detect patterns in the body of the file that identify future variations of the files, even when the content is modified slightly. This ability allows the next-generation firewall to identify and block polymorphic malware that otherwise would be treated as a new unknown file.

The stream-based scanning engine protects the network without introducing significant latency. Latency is a serious drawback of network antivirus offerings that rely on proxy-based scanning engines. The stream-based malware scanning inspects traffic when the first packets of the file are received, thus eliminating threats and performance issues typical of traditional standalone solutions. Important anti-malware capabilities include:

- Inline, stream-based detection and prevention of malware hidden in compressed files and web content
- Protection against payloads hidden in common file types, such as Microsoft Office documents and PDF files

Command-and-control (spyware) protection

There are no guarantees to preventing all threats from entering the network. After the initial infection, attackers communicate with the compromised device through a C2 channel, using it to

pull down additional malware, issue further instructions, and steal data. C2 protections focus on those unauthorized communication channels and prevent them by blocking outbound requests to malicious domains and from known C2 toolkits installed on infected devices.

The C2 protection provides sinkhole capabilities for outbound requests to malicious domains, thus accurately identifying the compromised device and preventing data exfiltration. You can configure the sinkhole so that any outbound request to a malicious domain or IP address is redirected to one of your network's internal IP addresses. This policy effectively blocks C2 communication, thus preventing those requests from ever leaving the network. A report of the hosts on your network making such requests is compiled even though those hosts are behind the DNS server. You have a daily list of potentially compromised devices on which to act, without the added stress of remediation, because communications with the attacker already have been severed.

Vulnerability protection

The next-generation firewall's vulnerability protection and intrusion prevention capabilities detect and block exploit attempts and evasive techniques at both the network and the application layers. These exploits can include port scans, buffer overflows, remote code execution, protocol fragmentation, and obfuscation. Vulnerability protections are based on signature matching and anomaly detection, which decode and analyze protocols and use the information learned to block malicious traffic patterns and provide visibility through alerts. Stateful pattern matching detects attacks across multiple packets, considering arrival order and sequence, thus ensuring that all allowed traffic is well-intentioned and devoid of evasion techniques.

Protocol decoder-based analysis decodes the protocol and then intelligently applies signatures to detect network and application exploits. Because there are many ways to exploit a single vulnerability, the intrusion prevention signatures are based on the vulnerability itself, thus providing more thorough protection against a wide variety of exploits. A single signature can stop multiple exploits of a known system or application vulnerability. Protocol anomaly-based protection detects non-Request for Comments (RFC)-compliant protocol use, such as an overlong uniform resource identifier (URI) or FTP login. Easy-to-configure, custom vulnerability signatures allow you to customize intrusion prevention capabilities to your network's unique needs.

Zero-day malware prevention (WildFire)

The WildFire cloud-based malware analysis environment is a cyberthreat prevention service that identifies unknown malware, zero-day exploits, and advanced persistent threats (APTs) through static and dynamic analysis in a scalable, virtual environment. WildFire automatically disseminates updated protections in near real time to immediately prevent threats from spreading, without manual intervention. Although basic WildFire support is included as part of the Threat Prevention license, the WildFire subscription service provides enhanced services for organizations that require immediate coverage for threats, frequent WildFire signature updates, advanced file type forwarding (APK, PDF, Microsoft Office, and Java Applet), and the ability to upload files by using the WildFire API.

As part of the next-generation firewall's inline threat prevention capability, the firewall performs a hash calculation for each unknown file, and the hash is submitted to WildFire. If any WildFire subscriber has seen the file before, then the existing verdict for that file is immediately returned. Links from inspected emails also are submitted to WildFire for analysis. Possible verdicts include:

- **Benign:** Safe and does not exhibit malicious behavior
- **Grayware:** No security risk but might display obtrusive behavior (for example, adware, spyware, and browser helper objects)
- **Malware:** Malicious in nature and intent and can pose a security threat (for example, viruses, worms, trojans, root kits, botnets, and remote-access toolkits)
- **Phishing:** Malicious attempt to trick the recipient into revealing sensitive data

If WildFire has never seen the file, the next-generation firewall is instructed to submit the file for analysis. If the file size is under the configured size limit, the next-generation firewall securely transmits the file to WildFire. Next-generation firewalls with an active WildFire license perform scheduled auto-updates to their WildFire signatures, with update checks configured as often as every minute.

WildFire leverages inline machine learning based malware and phishing prevention (real-time WildFire verdict and anti-malware dynamic classification) to determine whether the corresponding webpages for email links submitted to the service host any exploits, malware, or phishing capabilities. The behaviors and properties of the website are taken into consideration when a verdict on the link is made.

To support dynamic malware analysis across the network at scale, WildFire is built on a cloud-based architecture (see Figure 2-25). Where regulatory or privacy requirements prevent the use of public cloud infrastructure, a private cloud solution can be built in an on-premises data center.

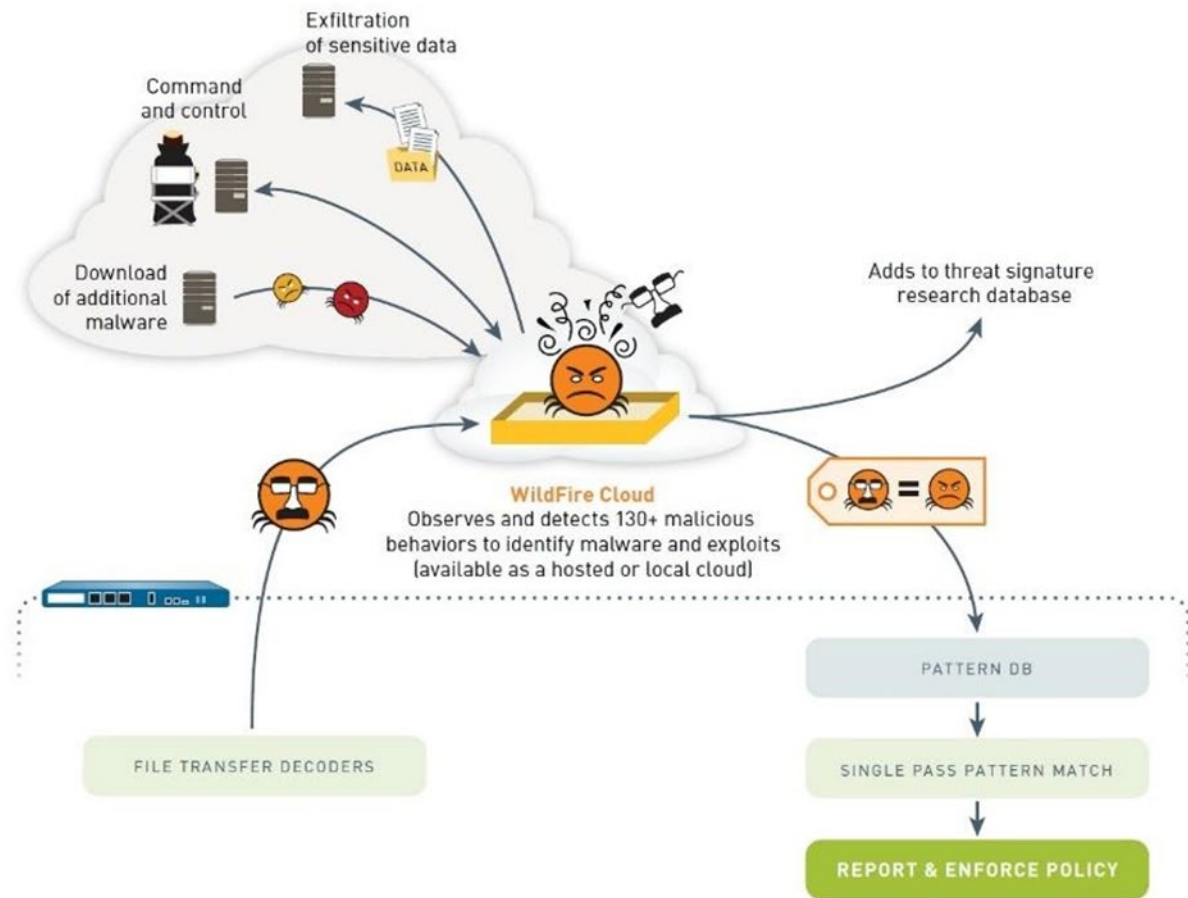


Figure 2-25: WildFire provides cloud-based malware analysis and threat prevention.

Organizations can leverage either public cloud or private cloud deployments, and also can use both within the same environment. The hybrid cloud capabilities of WildFire allow security teams more file analysis flexibility because they can define which file types are sent to the WildFire public cloud versus the on-premises appliance, or private cloud. The WildFire hybrid cloud capability enables organizations to alleviate privacy or regulatory concerns by using the WildFire appliance for file types containing sensitive data. Organizations also benefit from the comprehensive analysis and global threat intelligence services of the WildFire public cloud for all others. AutoFocus is the centerpiece of WildFire threat intelligence.

The product portfolio proactively blocks known threats, which provides baseline defenses against known exploits, malware, malicious URLs, and C2 activity. When new threats emerge, the product portfolio automatically routes suspicious files and URLs to WildFire for deep analysis.

WildFire inspects millions of samples per week from its global network of customers and threat intelligence partners, looking for new forms of previously unknown malware, exploits, malicious domains, and outbound C2 activity. The cloud-based service automatically creates new protections that can block targeted and unknown malware, exploits, and outbound C2 activity by

using observations of their actual behavior, rather than relying on pre-existing signatures. The protections are delivered globally in minutes. The result is a closed-loop, automated approach to preventing cyberthreats that includes:

- Positive security controls to reduce the attack surface
- Inspection of all traffic, ports, and protocols to block all known threats
- Rapid detection of unknown threats by observing the actions of malware in a cloud-based execution environment
- Automatic deployment of new protections to ensure that threats are known to all and blocked across the attack lifecycle

Behavior-based cyberthreat discovery

To find unknown malware and exploits, WildFire executes suspicious content in the Windows, Android, and macOS operating systems, with full visibility into common file types, including:

- Executables (EXEs), dynamic-link libraries (DLLs), compressed files (ZIP), and Portable Document Format (PDF)
- Microsoft Office documents, spreadsheets, and presentations
- Java files
- Android application packages (APKs)
- Adobe Flash applets and webpages (including high-risk embedded content, such as Java and Adobe Flash files/images)

WildFire identifies hundreds of potentially malicious behaviors to uncover the true nature of malicious files based on their actions, including:

- **Changes made to host:** WildFire monitors all processes for modifications to the host, including file and registry activity, code injection, memory heap spraying (exploits), *mutexes*, Windows service activity, the addition of auto-run programs, and other potentially suspicious activities.
- **Suspicious network traffic:** WildFire performs analysis of all network activity produced by the suspicious file, including back-door creation, downloading of next-stage malware, visiting low-reputation domains, and network reconnaissance.
- **Anti-analysis detection:** WildFire monitors techniques used by advanced malware that is designed to avoid virtual machine-based analysis, such as debugger detection, hypervisor detection, code injection into trusted processes, and disabling of host-based security features.

WildFire is natively integrated with the product portfolio, which includes Cortex XDR endpoint protection and Prisma SaaS, and it can classify all traffic across hundreds of applications.

WildFire uniquely applies this behavioral analysis to web traffic, email protocols (SMTP, IMAP, and POP3), and FTP, regardless of ports or encryption.

Key Terms

- A *mutex* is a program object that allows multiple program threads to share the same resource, such as file access, but not simultaneously.

WildFire applies the following analysis methods to submitted files (see Figure 2-26):

- **Machine learning/static analysis:** Identification of variants of known threats by comparing malware feature sets against a dynamically updated classification system. Known threats are detected through analysis of the characteristics of samples before execution.
- **Dynamic analysis:** A custom-built, evasion-resistant virtual environment in which previously unknown submissions are executed within a virtualized test environment to determine real-world effects and behavior
- **Bare-metal dynamic analysis:** A fully hardware-based analysis environment specifically designed for advanced VM-aware threats. Samples that display the characteristics of an advanced VM-aware threat are directed toward the bare-metal appliance by the heuristic engine.

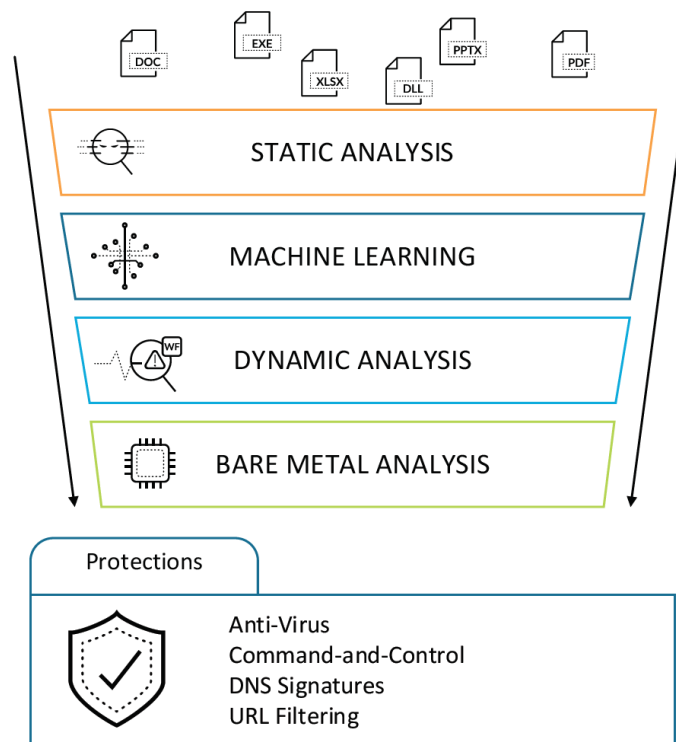


Figure 2-26: WildFire analysis

The dynamic updates from the Threat Intelligence Cloud coordinate threat prevention across the platform and are important to the prevention capabilities it provides. The unknown-threat handling methodology essentially turns unknown threats into known threats.

WildFire protects your network from malicious and exploitive files and links, and also looks deeply into malicious outbound communication, thus disrupting command-and-control (C2) activity with anti-C2 signatures and DNS-based callback signatures. WildFire also feeds this

information into URL filtering with PAN-DB, which automatically blocks newly discovered malicious URLs. This correlation of threat data and automated protections is important to identifying and blocking ongoing intrusion attempts and future attacks on your organization, without requiring policy updates and configuration commits.

Palo Alto Networks also promotes information sharing and industry advocacy by contributing structured intelligence derived from its Threat Intelligence Cloud to the Cyber Threat Alliance (CTA). The CTA was co-founded by Palo Alto Networks and other industry leaders, and is an organization working to improve the cybersecurity of the global digital ecosystem by enabling near real-time, high-quality cyberthreat information sharing within the cybersecurity community. CTA and its members share timely, actionable, contextualized, and campaign-based intelligence that they can use to improve their products and services to better protect their customers, more systematically thwart adversaries, and improve the security of the digital ecosystem.

Threat prevention with global intelligence sharing

When an unknown threat is discovered, WildFire automatically generates protections to block it across the cyberattack lifecycle, and it shares these updates with all global subscribers within as few as 5 minutes. These quick updates can stop rapidly spreading malware. And these updates are payload-based, so they can block proliferation of future variants without any additional action or analysis.

Integrated logging, reporting, and forensics

WildFire provides access to integrated logs, analysis, and visibility into events through the management interface, the WildFire portal, AutoFocus, and Panorama. This access enables security teams to quickly investigate and correlate events observed in their networks to rapidly locate the data needed for timely investigations and incident response.

Host-based and network-based *indicators of compromise* (IoCs) become actionable through log analysis and custom signatures. To aid security and incident response teams in discovering infected hosts, WildFire also provides:

- Detailed analysis of every malicious file sent to WildFire across multiple operating system environments, including host-based and network-based activity
- Session data associated with the delivery of the malicious file, including source, destination, application, User-ID, and URL
- Access to the original malware sample for reverse engineering and full packet captures (pcaps) of dynamic analysis sessions
- An open application programming interface (API) for integration with best-in-class security information and event management (SIEM) tools (such as the Palo Alto Networks application for Splunk), and leading endpoint agents. This analysis provides numerous IoCs that can be applied across the attack lifecycle.
- Native integration with Cortex XDR endpoint protection and Prisma SaaS
- Access to the actionable intelligence and global context provided by AutoFocus threat intelligence

- Native integration with the correlation engine in Palo Alto Networks Next-Generation Firewalls

Key Terms

- An *indicator of compromise (IoC)* is a network or operating system (OS) artifact that provides a high level of confidence that a computer security incident has occurred.
- A *packet capture (pcap)* is a traffic intercept of data packets that can be used for analysis.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which NGFW core subscription would tell your firewall that an attempt to resolve `adfewqrtgfhghyj.uykfhzvsdfgpoiye.evil.com` is probably an attack?
 - A. DNS Security
 - B. URL Filtering
 - C. Threat Prevention
 - D. WildFire
2. Which NGFW core subscription allows your firewall to block known malware?
 - A. DNS Security
 - B. URL Filtering
 - C. Threat Prevention
 - D. WildFire
3. Which NGFW core subscription allows your firewall to identify zero day malware?
 - A. DNS Security
 - B. URL Filtering
 - C. Threat Prevention
 - D. WildFire
4. Which NGFW core subscription allows your firewall to block users when they attempt to submit their credentials to a phishing site?
 - A. DNS Security
 - B. URL Filtering
 - C. Threat Prevention
 - D. WildFire

2.22 Define the purpose of network security management (Panorama)

Panorama enables you to manage all key features of the Palo Alto Networks Next-Generation Firewalls by using a model that provides central oversight and local control. You can deploy Panorama as either an on-premises hardware appliance or a virtual appliance, and you also can deploy it as a virtual appliance in the public cloud.

Three deployment mode options are available for Panorama, which (if necessary) allows for the separation of management and log collection (see Figure 2-27):

- **Panorama mode:** Panorama controls both policy and log management functions for all the managed devices.

- **Management only mode:** Panorama manages configurations for the managed devices but does not collect or manage logs.
- **Log collector mode:** One or more Log Collectors collect and manage logs from the managed devices. This mode assumes that another deployment of Panorama is operating in management only mode.

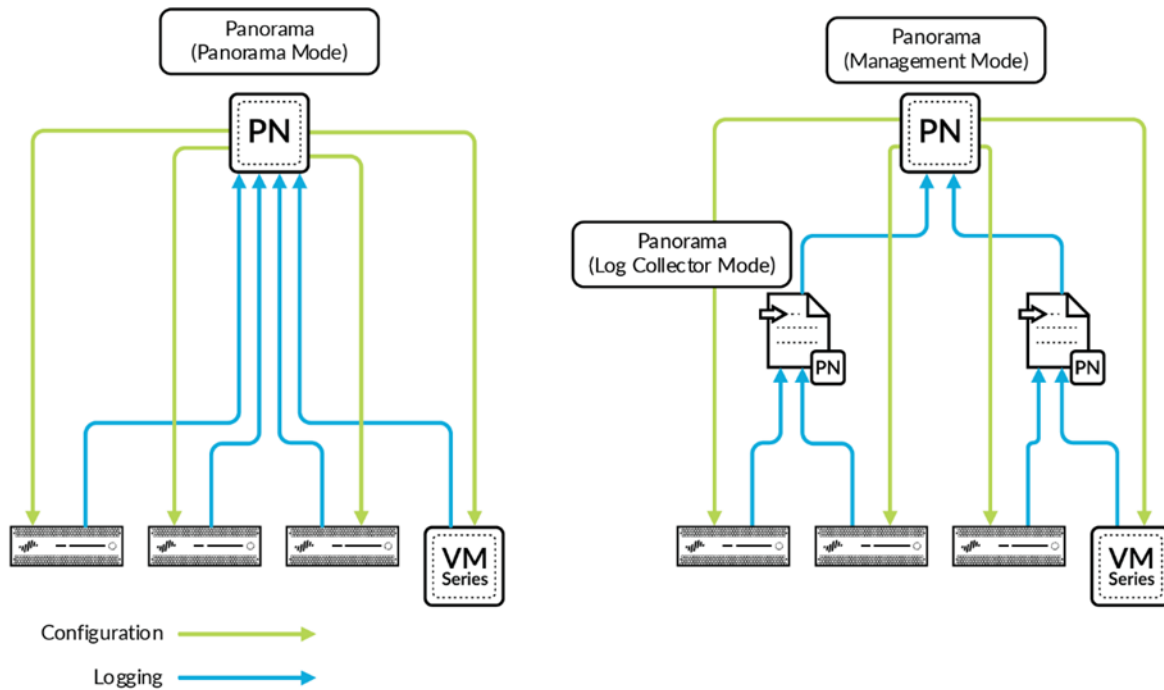


Figure 2-27: Panorama deployment modes

The separation of management and log collection enables the Panorama deployment to meet scalability, organizational, and geographical requirements. The choice of form factor and deployment mode gives you the maximum flexibility for managing Palo Alto Networks Next-Generation Firewalls in a distributed network.

Panorama reduces security management complexity with consolidated policy creation and centralized management features. The Application Command Center (ACC) in Panorama provides a customizable dashboard for setup and control of Palo Alto Networks Next-Generation Firewalls, with an efficient rulebase and actionable insight into network-wide traffic and threats.

Panorama simplifies network security management with a single security rulebase for firewall, threat prevention, URL filtering, application awareness, user identification, sandboxing, file blocking, and data filtering to safely enable applications in the enterprise. Security rules easily can be imported, duplicated, or modified across the network. Centralized management of policies and objects provides consistent global security for the organization, and local administrative control provides flexibility at the local level.

The time required to deploy changes across dozens or hundreds of firewalls can be costly because of the number of employees required and the delay that projects experience while they wait for the process to be completed. The number of errors also can increase when network and security engineers program changes firewall by firewall. Panorama provides the following tools for centralized administration that can reduce time and errors for your firewall management operation:

- Templates and template stacks.** Panorama manages common device and network configuration through templates. You can use templates to manage configuration centrally and then push the changes to all managed firewalls. This approach avoids the need to make the same individual firewall change repeatedly across many devices. Templates are grouped within a template stack, and the stack is applied to selected firewalls.

You can define common building blocks for device and network configuration within a template. These building blocks are logically combined by adding them to a template stack. If there are no overlapping parameters, then the stack reflects the combination of all the individual templates. If there is overlap, then the settings from the highest priority template take precedence. You can override the template settings at the stack level. A local administrator also can perform overrides directly on an individual device if necessary (see Figure 2-28).

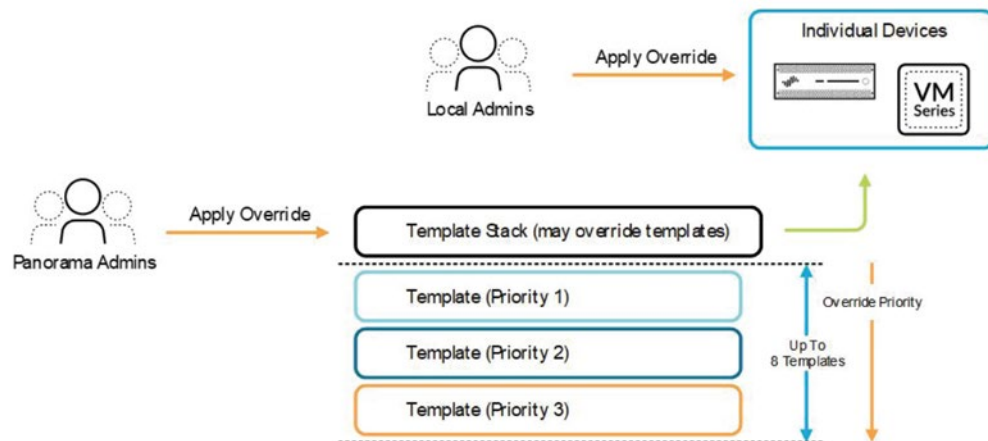


Figure 2-28: Panorama template stack and templates

Firewall-specific settings such as IP addresses must be unique per device. Instead of using overrides, you can manage these settings by using variables within templates. Panorama manages the variable assignments at deployment time, either per device through manual assignment or in bulk by importing a spreadsheet with the settings for multiple devices.

- Hierarchical device groups.** Panorama manages common policies and objects through hierarchical device groups. It uses multilevel device groups to centrally manage the policies across all deployment locations with common requirements. For example, device

groups may be determined geographically, such as Europe and North America. Also, each device group can have a functional subdevice group (for example, perimeter or data center).

You can define shared policies for central control while granting your local firewall administrator the autonomy to make specific local adjustments. At the device group level, you can create common policies that are defined as the first set of rules (pre rules) and the last set of rules (post rules) to be evaluated against match criteria. You can view pre rules and post rules on a managed firewall, but you can edit them in Panorama only in the context of the defined administrative roles. Local device rules (those between pre rules and post rules) can be edited by either your local firewall administrator or a Panorama administrator that has switched to a local firewall context. You also can reference shared objects defined by a Panorama administrator in locally managed device rules (see Figure 2-29).

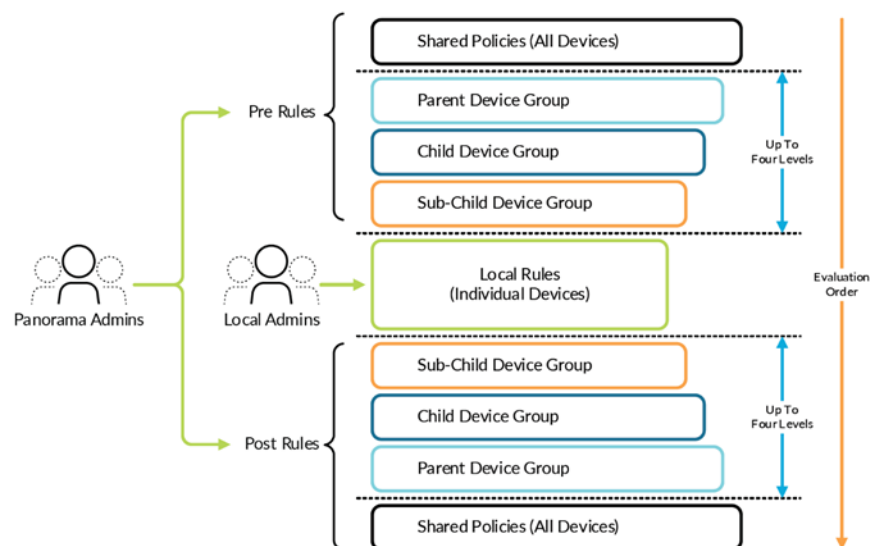


Figure 2-29: Panorama device groups and policy evaluation

Role-based administration delegates feature-level access, including availability of data (enabled, read-only, or disabled and hidden from view), to different members of your staff. You can give specific individuals access to tasks that are pertinent to their job while making other tasks either hidden or read-only.

As your deployment grows in size, you can make sure updates are sent to downstream boxes in an organized manner. For instance, you may prefer to centrally qualify a software update before it is delivered via Panorama to all production firewalls simultaneously. You can use Panorama to centrally manage the update process for software updates, content application updates, antivirus signatures, threat signatures, URL filtering database, and licenses.

Panorama also can integrate with your IT workflow applications. When a log is generated on the next-generation firewall, Panorama can trigger actions and initiate workflows through HTTP-based APIs. Selective log forwarding allows you to define the criteria to automate a workflow or an action. Although you can integrate with any HTTP-based service that exposes an API, predefined formatting for ServiceNow and VMware NSX Manager allow you to create incident reports and tag virtual machines.

Panorama uses the same set of powerful monitoring and reporting tools available at the local device management level. As you perform log queries and generate reports, Panorama dynamically pulls the most current data directly from next-generation firewalls under management or from logs forwarded to Panorama. Logging and reporting capabilities in Panorama include:

- **Log viewer:** You can quickly view log activities for either an individual device or all devices using dynamic log filtering by clicking a cell value and/or using the expression builder to define the sort criteria. Results can be saved for future queries or exported for further analysis.
- **Custom reporting:** Predefined reports can be used as is, customized, or grouped as one report to meet specific requirements.
- **User activity reports:** A user activity report shows the applications used, URL categories visited, websites visited, and all URLs visited over a specified period of time for individual users. Panorama builds the reports using an aggregate view of users' activity, regardless of which firewall they are protected by or which IP address or device they may be using.
- **Log forwarding:** Panorama aggregates logs collected from all of your Palo Alto Networks firewalls, both physical and virtual form factor, and forwards them to a remote destination for purposes such as long-term storage, forensics, or compliance reporting. Panorama can forward all or selected logs, Simple Network Management Protocol (SNMP) traps, and email notifications to a remote logging destination, such as a syslog server (over UDP, TCP, or SSL).

Panorama can be deployed in a centralized architecture with all Panorama management and logging functions consolidated into a single device, or in a distributed architecture with separate management units and Log Collectors in a hierarchical deployment architecture:

- **Panorama manager.** The Panorama manager handles the tasks associated with policy and device configuration across all managed devices. The manager does not store log data locally but rather uses separate Log Collectors for handling log data. The manager analyzes the data stored in the Log Collectors for centralized reporting.
- **Panorama Log Collector.** Organizations with high logging volume and retention requirements can deploy dedicated Panorama Log Collector devices that will aggregate log information from multiple managed firewalls.

Palo Alto Networks and Splunk have partnered to extend the powerful visibility into network traffic from Panorama to other network components. The combined solution delivers highly effective, coordinated detection, incident investigation, and response for cyberthreats. The

Splunk App for Palo Alto Networks (see Figure 2-30) gives enterprise security teams a powerful platform for security visualization, monitoring, and analysis that enables them to fully leverage the extensive application, user, content, and threat data generated by Palo Alto Networks devices.

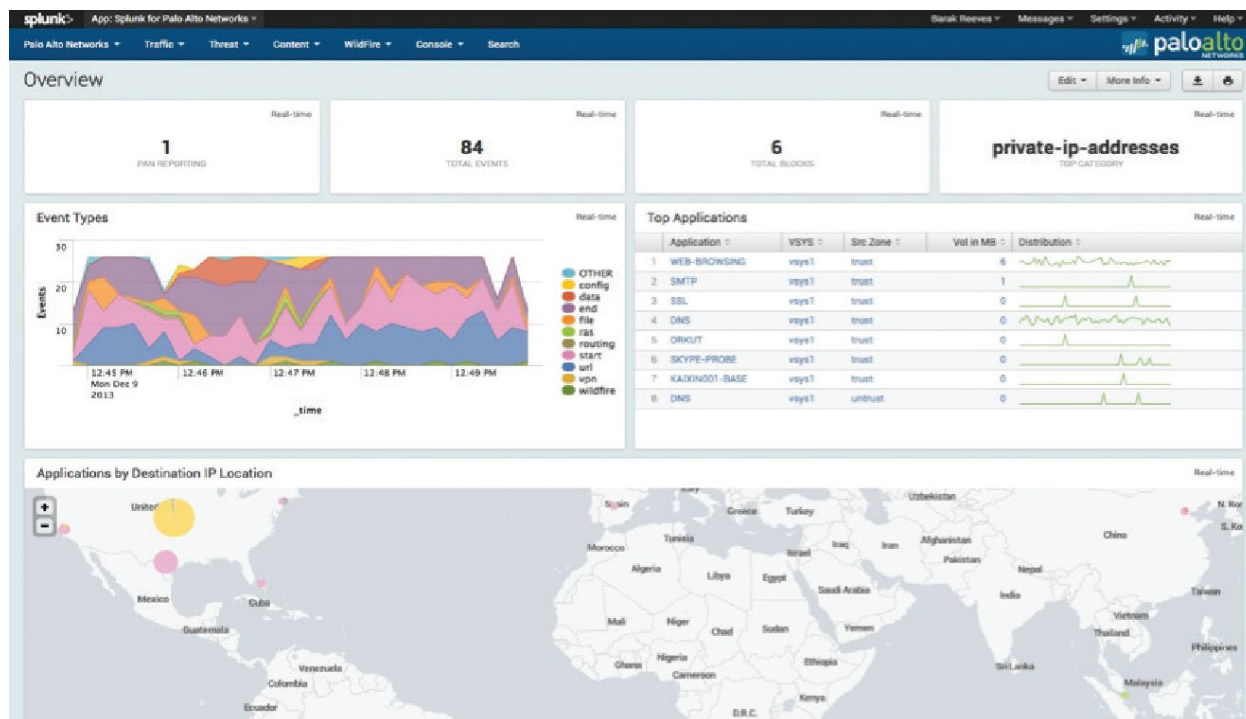


Figure 2-30: Integration with Splunk extends visibility and prevention capabilities to your entire network infrastructure.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. An international organization has over a hundred firewalls, spread over fifty locations. Which Panorama deployment mode would the organization install in multiple locations (beyond the need for disaster recovery)?
 - A. Panorama
 - B. management only
 - C. log collector
 - D. threat management
2. Which Panorama object is used to manage network settings?
 - A. template
 - B. device group
 - C. virtual system
 - D. Decryption Profile
3. Which Panorama object is used to manage the security policy?
 - A. template
 - B. device group

- C. virtual system
- D. Decryption Profile

Exam Domain 3 — Cloud technologies

Cloud computing is not a location but rather a pool of resources that can be rapidly provisioned in an automated, on-demand manner.

3.1 Define the NIST cloud service and deployment models

The U.S. National Institute of Standards and Technology (NIST) defines cloud computing in Special Publication (SP) 800-145 as “a model for enabling ubiquitous, convenient, on-demand network access to a shared pool of configurable computing resources (such as networks, servers, storage, applications, and services) that can be rapidly provisioned and released with minimal management effort or service provider interaction.”

The value of cloud computing is the ability to pool resources to achieve economies of scale and agility. This ability is true for private or public clouds. Instead of your having many independent and often under-used servers deployed for your enterprise applications, pools of resources are aggregated, consolidated, and designed to be elastic enough to scale with the needs of your organization.

The move toward cloud computing not only brings cost and operational benefits but also technology benefits. Data and applications are easily accessed by users regardless of where they reside, projects can scale easily, and consumption can be tracked effectively. Virtualization is a critical part of a cloud computing architecture that, when combined with software orchestration and management tools, allows you to integrate disparate processes so that they can be automated, easily replicated, and offered on an as-needed basis.

Cloud Service Models

NIST defines three distinct cloud computing service models:

- **Software as a service (SaaS):** Customers are provided access to an application running on a cloud infrastructure. The application is accessible from various client devices and interfaces, but the customer has no knowledge of, and does not manage or control, the underlying cloud infrastructure. The customer may have access to limited user-specific application settings, and security of the customer data still is the responsibility of the customer.
- **Platform as a service (PaaS):** Customers can deploy supported applications onto the provider’s cloud infrastructure, but the customer has no knowledge of, and does not manage or control, the underlying cloud infrastructure. The customer has control over the deployed applications and limited configuration settings for the application-hosting environment. The company owns the deployed applications and data, and therefore it is responsible for the security of those applications and data.
- **Infrastructure as a service (IaaS):** Customers can provision processing, storage, networks, and other computing resources, and deploy and run operating systems and applications. However, the customer has no knowledge of, and does not manage or control, the underlying cloud infrastructure. The customer has control over operating systems, storage, and deployed applications, along with some networking components

(for example, host firewalls). The company owns the deployed applications and data, and therefore it is responsible for the security of those applications and data.

Cloud Deployment Models

NIST also defines these four cloud computing deployment models:

- **Public:** A cloud infrastructure that is open to use by the general public. It's owned, managed, and operated by a third party (or parties), and it exists on the cloud provider's premises.
- **Community:** A cloud infrastructure that is used exclusively by a specific group of organizations
- **Private.** A cloud infrastructure that is used exclusively by a single organization. It may be owned, managed, and operated by the organization or a third party (or a combination of both), and it may exist on-premises or off-premises.
- **Hybrid:** A cloud infrastructure that comprises two or more of the aforementioned deployment models, bound by standardized or proprietary technology that enables data and application portability (for example, fail over to a secondary data center for disaster recovery or content delivery networks across multiple clouds).

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. In which cloud computing service model does a provider's applications run on a cloud infrastructure and the consumer does not manage or control the underlying infrastructure?
 - A. platform as a service (PaaS)
 - B. infrastructure as a service (IaaS)
 - C. software as a service (SaaS)
 - D. public cloud
2. Which NIST cloud service model does not require the customer organization to do any programming?
 - A. IaaS
 - B. PaaS
 - C. FaaS
 - D. SaaS
3. Which NIST cloud service model requires the customer to keep the operating system up to date?
 - A. IaaS
 - B. PaaS
 - C. FaaS
 - D. SaaS
4. Which NIST cloud service model limits your choice of runtime environments in which an application can be written?
 - A. IaaS
 - B. PaaS
 - C. FaaS

- D. SaaS
- 5. Which NIST cloud deployment model would you recommend for a startup that does not have much money to pay for hosting or a data center and needs a 24x7 server?
 - A. public
 - B. private
 - C. community
 - D. hybrid
- 6. A news company can serve all requests from their data center 95% of the time. However, some days there is a huge demand for news updates. Which NIST deployment model would you recommend to them?
 - A. public
 - B. private
 - C. community
 - D. hybrid

3.2 Recognize and list cloud security challenges

The security risks that threaten your network today do not change when you move to the cloud. The *shared responsibility model* defines who (customer and/or provider) is responsible for what (related to security) in the public cloud.

In general terms, the cloud provider is responsible for security *of* the cloud, including the physical security of the cloud data centers, and foundational networking, storage, compute, and virtualization services. The cloud customer is responsible for security *in* the cloud, which is further delineated by the cloud service model (see Figure 3-1).

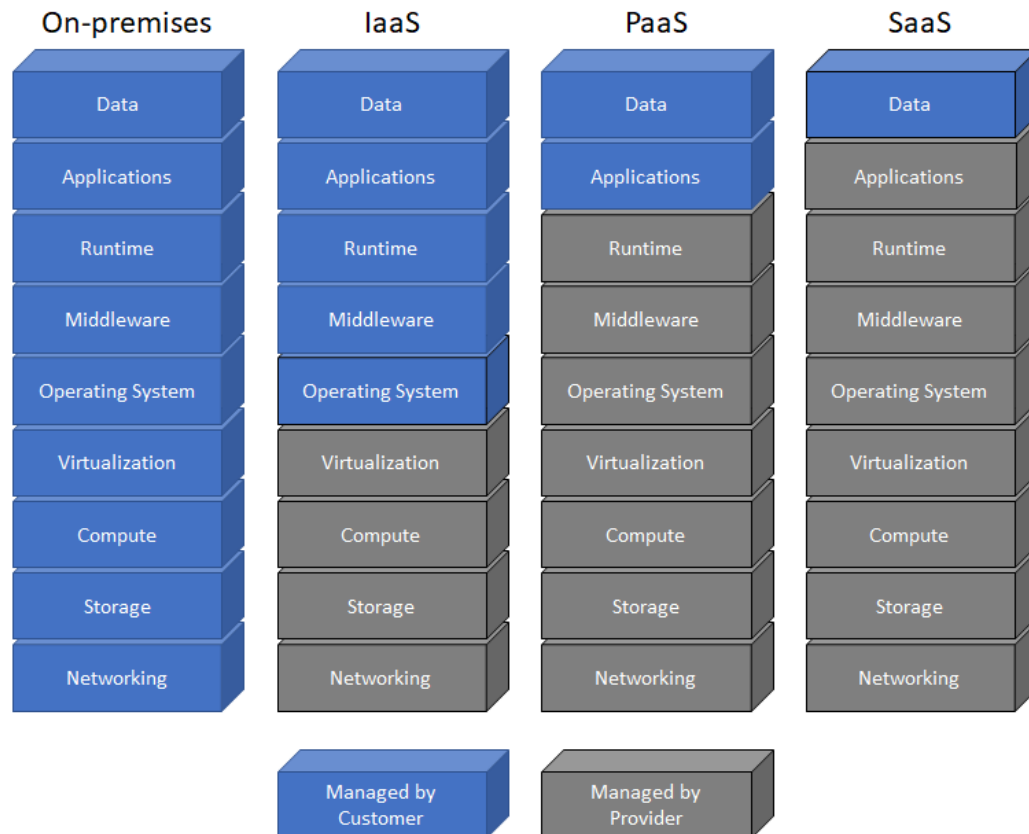


Figure 3-1: The shared responsibility model

For example, in an infrastructure-as-a-service (IaaS) model, the cloud customer is responsible for the security of the operating systems, middleware, runtime, applications, and data. In a platform-as-a-service (PaaS) model, the cloud customer is responsible for the security of the applications and data, and the cloud provider is responsible for the security of the operating systems, middleware, and runtime. In a SaaS model, the cloud customer is responsible only for the security of the data, and the cloud provider is responsible for the full stack from the physical security of the cloud data centers to the application. Multitenancy in cloud environments, particularly in SaaS models, means that customer controls and resources are necessarily limited by the cloud provider.

With the use of cloud computing technologies, your data center environment can evolve from a fixed environment where applications run on dedicated servers toward an environment that is dynamic and automated, where pools of computing resources are available to support application workloads that can be accessed anywhere, anytime, from any device.

Security remains a significant challenge when you adopt this new dynamic, cloud-computing fabric environment. Many of the principles that make cloud computing attractive are counter to network security best practices:

- **Cloud computing doesn't mitigate existing network security risks.** The security risks

that threaten your network today do not change when you move to the cloud. The shared responsibility model defines who (customer and/or provider) is responsible for what (related to security) in the public cloud. In general terms, the cloud provider is responsible for security *of* the cloud, including the physical security of the cloud data centers and foundational networking, storage, compute, and virtualization services. The cloud customer is responsible for security *in* the cloud, which is further delineated by the cloud service model. For example, in an infrastructure-as-a-service (IaaS) model, the cloud customer is responsible for the security of the operating systems, middleware, runtime, applications, and data. In a platform-as-a-service (PaaS) model, the cloud customer is responsible for the security of the applications and data, and the cloud provider is responsible for the security of the operating systems, middleware, and runtime. In a SaaS model, the cloud customer is responsible only for the security of the data, and the cloud provider is responsible for the full stack, from the physical security of the cloud data centers to the application.

- **Security requires isolation and segmentation; the cloud relies on shared resources.** Security best practices dictate that mission-critical applications and data be isolated in secure segments on the network using the Zero Trust principle of “never trust, always verify.” On a physical network, Zero Trust is relatively straightforward to accomplish using firewalls and policies based on application and user identity. In a cloud computing environment, direct communication between VMs within a server and in the data center (east-west traffic occurs constantly, in some cases across varied levels of trust, thus making segmentation a difficult task. Mixed levels of trust, when combined with a lack of intra-host traffic visibility by virtualized port-based security offerings, may weaken an organization’s security posture.
- **Security deployments are process-oriented; cloud computing environments are dynamic.** The creation or modification of your cloud workloads often can be done in minutes, yet the security configuration for this workload may take hours, days, or weeks. Security delays are not intentional; they’re the result of a process that is designed to maintain a strong security posture. Policy changes need to be approved, the appropriate firewalls need to be identified, and the relevant policy updates need to be determined. In contrast, the cloud is a highly dynamic environment, with workloads (and IP addresses) constantly being added, removed, and changed. The result is a disconnect between security policy and cloud workload deployments that results in a weakened security posture. Security technologies and processes must leverage capabilities such as cloning and scripted deployments to automatically scale and take advantage of the elasticity of the cloud while maintaining a strong security posture.
- **Multitenancy is a key characteristic of the public cloud, and an important risk.** Although public cloud providers strive to ensure isolation between their various customers, the infrastructure and resources in the public cloud are shared. Inherent risks in a shared environment include misconfigurations, inadequate or ineffective processes and controls, and the “noisy neighbor” problem (excessive network traffic, disk I/O, or processor use can negatively impact other customers sharing the same resource). In hybrid and multicloud environments that connect numerous public and/or private clouds, the delineation becomes blurred, complexity increases, and security risks become more challenging to address.

- **Traditional network and host security models don't work in the cloud for serverless applications.** Defense in depth mostly has been performed through Network layer controls. Advanced threat prevention tools can recognize the applications that traverse the network and determine whether they should be allowed. This type of security still is very much required in cloud native environments, but it's no longer sufficient on its own. Public cloud providers offer a rich portfolio of services, and the only way to govern and secure many of them is through *Identity and Access Management* (IAM). IAM controls the permissions and access for users and cloud resources. IAM policies are sets of permission policies that can be attached to either users or cloud resources to authorize what they access and what they can do with what they access.

Key Terms

- ***Identity and Access Management (IAM)*** is a framework of business processes, policies, and technologies that facilitates the management of electronic or digital identities.

As organizations transition from a traditional data center architecture to a public, private, or hybrid cloud environment, enterprise security strategies must be adapted to support changing requirements in the cloud. Important requirements for securing the cloud include:

- **Consistent security in physical and virtualized form factors:** The same levels of application control and threat prevention should be used to protect both your cloud computing environment and your physical network. First, you need to be able to confirm the identity of your applications, validating their identity and forcing them to use only their standard ports. You also need to be able to block the use of rogue applications while simultaneously looking for and blocking misconfigured applications. Finally, application-specific threat prevention policies should be applied to block both known and unknown malware from moving into and across your network and cloud environment.
- **Your business applications segmented using Zero Trust principles:** To fully maximize the use of computing resources, a relatively common current practice is to mix application workload trust levels on the same compute resource. Although mixed levels of trust are efficient in practice, they introduce security risks in the event of a compromise. Your cloud security solution needs to be able to implement security policies based on the concept of Zero Trust as a means of controlling traffic between workloads while preventing lateral movement of threats.
- **Centrally managed business applications; streamlined policy updates:** Physical network security still is deployed in almost every organization, so the ability to manage both hardware and virtual form factor deployments from a centralized location using the same management infrastructure and interface is critical. To ensure that security keeps pace with the speed of change that your workflows may exhibit, your security solution should include features that will allow you to reduce, and in some cases eliminate, the manual processes that security policy updates often require.

Regardless of which type of cloud service you use, the burden of securing certain types of workloads always will fall on you, never your vendor. To maximize your cloud environment security, consider the following best practices:

- **Review default settings:** Although certain settings are automatically set by the provider, some must be manually activated. You should have your own set of security policies rather than assume that the vendor is handling a particular aspect of your cloud native security.
- **Adapt data storage and authentication configurations to your organization:** All locations where data will be uploaded should be password protected. Password expiration policies also should be carefully selected to meet the needs of your organization.
- **Don't assume your cloud data is safe:** Never assume that vendor-encrypted data is totally safe. Some vendors provide encryption services before upload, and some do not. Whichever the case, make sure to encrypt your data in transit and at rest by using your own keys.
- **Integrate with your cloud's data retention policy:** You must understand your vendor's data retention and deletion policy. You must have multiple copies of your data and a fixed data retention period. But what happens when you delete data from the cloud? Is it still accessible to the vendor? Are there other places where it might have been cached or copied? You should verify these issues before you set up a new cloud environment.
- **Set appropriate privileges:** Appropriate settings for privilege levels are helpful for making your cloud environment more secure. When you use role-based access controls (RBACs) for authorization, you can ensure that every person that views or works with your data has access only to the things that are absolutely necessary.
- **Keep cloud software up to date:** Your vendor may provide infrastructure and, in some cases, a prebuilt software environment or cloud native firewall. But anything that you add is your responsibility to secure. Thus, you as a user are responsible for ensuring that your security patches, operating systems, and so on are up to date. The simplest way to prevent *technical debt* and backlogs is to automate the updates.
- **Build security policies and best practices into your cloud images:** If you leave your cloud native security to different developers on your DevOps security team, the result could be policy discrepancies. A good way to combat this effect is to create cloud images with security tools configured and policies applied so that developers can simply create instances of them.
- **Isolate your cloud resources:** To reduce the risk of hackers gaining complete control over your system, you should separate admin accounts for development, deployment, testing, and so on. If a hacker that accesses one account cannot laterally move to other aspects of the environment.

Key Terms

- **Technical debt** is a software development concept, which has also been applied more generally to IT, in which additional future costs are anticipated for rework due to an earlier decision or course of action that was necessary for agility but was not necessarily the most optimal or appropriate decision or course of action.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. You are responsible for the security of the application, the runtime, and the VM operating system. Which cloud deployment model are you using?
 - A. SaaS
 - B. FaaS
 - C. PaaS
 - D. IaaS
2. Which component may be shared with other cloud tenants even when using IaaS?
 - A. application
 - B. runtime
 - C. virtual machine (guest)
 - D. physical machine (host)
3. Two companies use Gmail for their email (SaaS). Which two components may be transparently shared between them? (Choose two.)
 - A. address book
 - B. application code
 - C. messages
 - D. message database
 - E. user identities
4. Which cloud service model lets you install a firewall to protect your information?
 - A. SaaS
 - B. PaaS
 - C. FaaS
 - D. IaaS

3.3 Define the purpose of virtualization in cloud computing

Virtualization technology emulates real or physical computing resources, such as servers (compute), storage, networking, and applications. Virtualization allows multiple applications or server workloads to run independently on one or more physical resources.

A *hypervisor* allows multiple, virtual (“guest”) operating systems to run concurrently on a single physical host computer. The hypervisor functions between the computer operating system and the hardware kernel. The two types of hypervisors are:

- **Type 1** (*native* or *bare metal*). Runs directly on the host computer’s hardware
- **Type 2** (*hosted*). Runs within an operating system environment

Key Terms

- A **hypervisor** allows multiple, virtual (or guest) operating systems to run concurrently on a single physical host computer.
- A **native** (also known as a **Type 1** or **bare metal**) hypervisor runs directly on the host computer’s hardware.
- A **hosted** (also known as a **Type 2**) hypervisor runs within an operating system environment.

Virtualization is an important technology used in data centers and cloud computing to optimize resources. Important security considerations associated with virtualization include:

- **Dormant virtual machines (VMs):** In many data center and cloud environments,

inactive VMs are routinely (often automatically) shut down when they are not in use. VMs that are shut down for extended periods(weeks or months) may be inadvertently missed when anti-malware updates and security patches are applied.

- **Hypervisor vulnerabilities:** In addition to vulnerabilities within the hosted applications, VMs, and other resources in a virtual environment, the hypervisor itself may be vulnerable, which can expose hosted resources to attack.
- **Intra-VM communications:** Network traffic between virtual hosts, particularly on a single physical server, may not traverse a physical switch. This lack of visibility increases troubleshooting complexity and can increase security risks because of inadequate monitoring and logging capabilities.
- **VM sprawl:** Virtual environments can grow quickly, thus resulting in a breakdown in change management processes and exacerbating security issues such as dormant VMs, hypervisor vulnerabilities, and intra-VM communications.

Virtual machines

Although a discussion of VMs in the context of cloud native may be surprising, the reality is that the vast majority of the world’s workloads today run “directly” (non-containerized) in VMs. Most organizations do not see VMs as a legacy platform to eliminate, nor simply as a dumb host on which to run containers. Rather, they acknowledge that many of their apps have not yet been containerized and that the traditional VM still is a critical deployment model for them. Although a VM not hosting containers doesn’t meet all three attributes of a cloud native system, it nevertheless can be operated dynamically and run microservices.

VMs provide the greatest levels of isolation, compatibility, and control in the continuum (see Figure 3-2) and are suitable for running nearly any type of workload. Examples of VM technologies include VMware vSphere, Microsoft Hyper-V, and the instances provided by virtually every IaaS cloud provider, such as Amazon EC2. VMs are differentiated from “thin VMs” to their right on the continuum because they often are operated in a stateful manner with little separation between OS, app, and data.

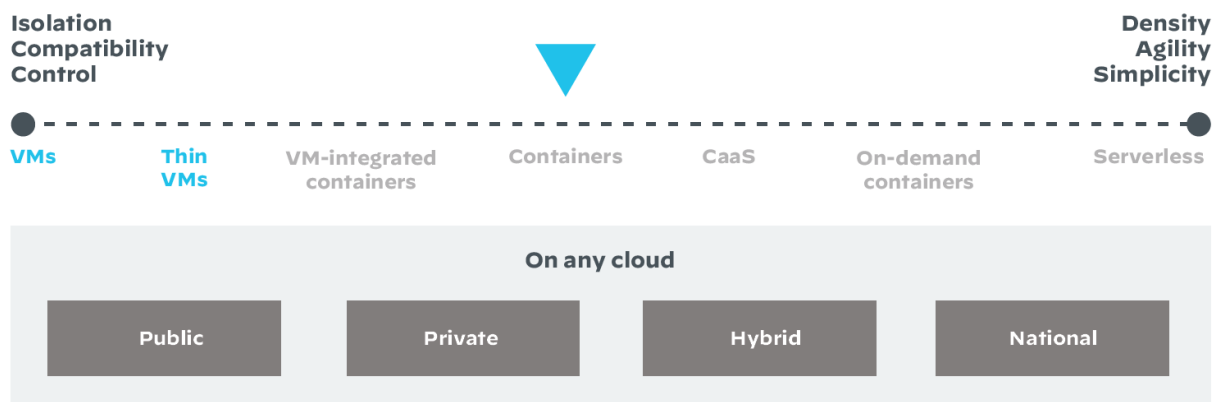


Figure 3-2: VMs and thin VMs on the continuum of cloud native technologies

Thin virtual machines

“Thin” VMS are less a distinct technology than a different operating methodology and typically are the same underlying technology as VMs but deployed and run in a much more stateless manner. Thin VMs typically are deployed through automation with no human involvement, are operated as fleets rather than individual entities, and prioritize separation of OS, app, and data. Whereas a VM may store app data on the OS volume, a thin VM would store all data on a separate volume that easily could be re-attached to another instance. Although thin VMs also lack the container attribute of a cloud native system, typically they have a stronger emphasis on dynamic management than traditional VMs. Whereas a VM may be set up and configured by a human operator, a thin VM typically would be deployed from a standard image, using automation tools such as Puppet, Chef, or Ansible, with no human involvement.

Thin VMs are differentiated from VMs to their left on the continuum (see Figure 3-2) by the intentional focus on data separation, automation, and disposability of any given instance. They’re differentiated from VM-integrated containers to their right on the continuum by a lack of a container runtime. Thin VMs have apps installed directly on their OS file system and executed directly by the host OS kernel without any intermediary runtime.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which option is a type 2 hypervisor?
 - A. hosted
 - B. native
 - C. bare-metal
 - D. imported
2. Which cloud provider calls its IaaS service Elastic Computing Service (ECS)?
 - A. Alibaba
 - B. AWS
 - C. Azure
 - D. GCP
3. Which security issue can cause a long patched vulnerability to resurface?
 - A. VM sprawl
 - B. intra-VM communications
 - C. hypervisor vulnerabilities
 - D. dormant virtual machines

3.4 Explain the purpose of containers in application deployment

Developers have widely embraced containers because they make building and deployment of cloud native applications simpler than ever. Not only do containers eliminate much of the friction typically associated with moving application code from testing through to production, application code packaged up as containers also can run anywhere. All the dependencies associated with any application are included within the containerized application, which makes a containerized application highly portable across virtual machines or bare metal servers running in a local data center or in a public cloud.

That level of flexibility enables developers to make huge gains in productivity that are too great to ignore. However, as is the case with the rise of any new IT architecture, cloud native applications still need to be secured. Container environments include a range of cybersecurity issues involving images, containers, hosts, runtimes, registries, and orchestration platforms, all of which need to be secured.

Kubernetes is an open-source orchestration platform that provides an API that enables developers to define container infrastructure in a declarative fashion, that is, infrastructure as code (IaC). Organizations can leverage Kubernetes orchestration and a microservices architecture to publish, maintain, and update containerized cloud native applications rapidly and at scale.

VM-integrated containers

For some organizations, especially large enterprises, containers provide an attractive app deployment and operational approach but lack sufficient isolation to mix workloads of varying sensitivity levels. VMs provide a much stronger degree of isolation but at the cost of increased complexity and management burden. VM-integrated containers, like Kata containers and VMware vSphere Integrated Containers, seek to accomplish VM isolation by providing a blend of a developer-friendly API and abstraction of app from the OS while hiding the underlying complexities of compatibility and security isolation within the hypervisor.

These technologies basically seek to provide VMs without users having to know they're VMs or manage them. Instead, users execute typical container commands such as "docker run," and the underlying platform automatically and invisibly creates a new VM, starts a container runtime within it, and executes the command. The result is that the user has started a container in a separate operating system instance, isolated from all others by a hypervisor. These VM-integrated containers typically run a single container (or set of closely related containers similar to a pod in Kubernetes) within a single VM. VM-integrated containers possess all three cloud native system attributes and typically don't even provide manual configuration as an optional deployment approach.

VM-integrated containers are differentiated from thin VMs to their left on the continuum (see Figure 3-3) because they're explicitly designed to solely run containers and tightly integrate VM provisioning with container runtime actions. They're differentiated from pure containers to their right on the continuum by the mapping of a single container per OS instance and the integrated workflow used to instantiate a new VM, along with the container it hosts, via a singular, container-centric flow.

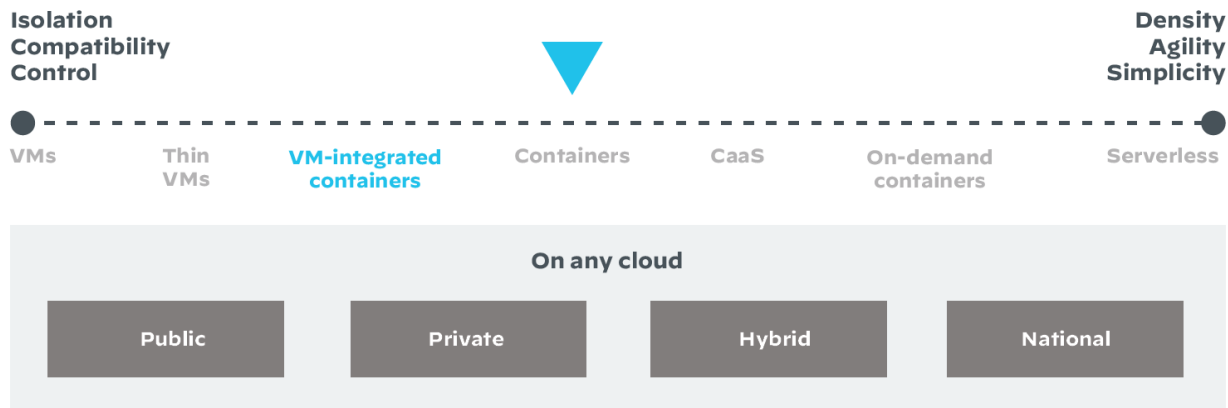


Figure 3-3: VM-integrated containers on the continuum of cloud native technologies

Containers

Containers deliver all three cloud native system characteristics and provide a balanced set of capabilities and trade-offs across the continuum. Containers were popularized and are best known by the Docker project, and have existed in various forms for many years and have their roots in technologies such as Solaris Zones and BSD Jails. Although Docker is a well-known brand, other vendors are adopting its underlying technologies of runc and containerd to create similar but separate solutions.

Containers balance separation (though not as well as VMs), excellent compatibility with existing apps, and a high degree of operational control with good density potential and easy integration into software development flows. Containers can be complex to operate, primarily due to their broad configurability and the wide variety of choices they present to operational teams. Depending on these choices, containers can be either completely stateless, dynamic, and isolated; highly intermingled with the host operating system and stateful; or anywhere in between. This degree of choice is both the greatest strength and the great weakness of containers. In response, the market has created systems to their right on the continuum, such as serverless, to both make them easier to manage at scale and abstract some of their complexity by reducing some configurability.

Containers are differentiated from VM-integrated containers to their left on the continuum (see Figure 3-4) by neither using a strict one-to-one mapping of container to VM nor wrapping the provisioning of the underlying host operating system into the container deployment flow. They're differentiated from container-as-a-service platforms to their right on the continuum by requiring users to be responsible for deployment and operation of all the underlying infrastructure, including not just hardware and VMs but also the maintenance of the host operating systems within each VM.

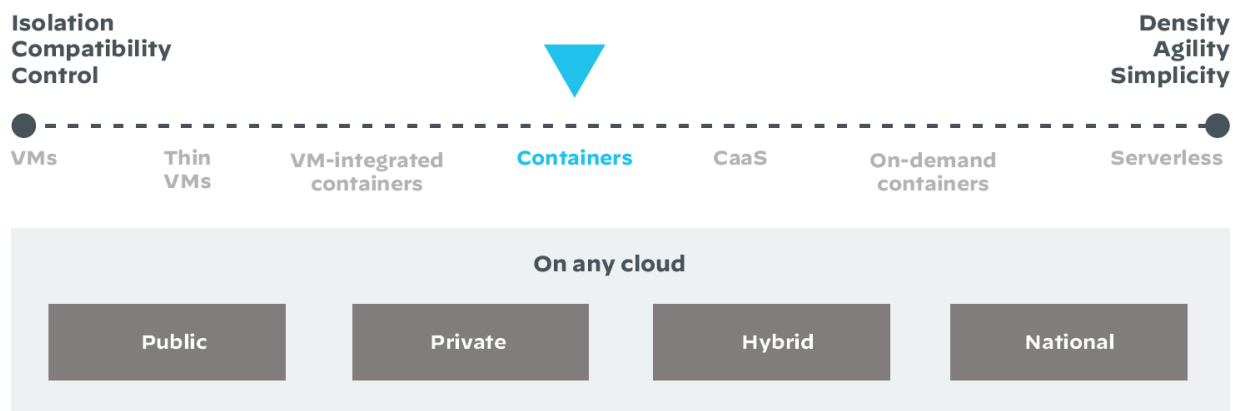


Figure 3-4: Containers on the continuum of cloud native technologies

Containers as a Service

As containers grew in popularity and use diversified, orchestrators such as Kubernetes (and its derivatives such as OpenShift), Mesos, and Docker Swarm became increasingly important to deploy and operate containers at scale. Although these orchestrators abstract much of the complexity required to deploy and operate large numbers of microservices composed of many containers and running across many hosts, they can be complex to set up and maintain. These orchestrators also are focused on the container runtime and do little to assist with the deployment and management of underlying hosts. Although sophisticated organizations often use technologies such as thin VMs wrapped in automation tooling to address the deployment and management of underlying hosts, even these approaches do not fully unburden the organization from managing the underlying compute, storage, and network hardware. Containers-as-a-service (CaaS) platforms provide all three cloud native characteristics by default and, although assembled from many more generic components, are highly optimized for container workloads.

Because major public cloud IaaS providers already have extensive investments in lower-level automation and deployment, many have chosen to leverage this advantage to build complete platforms for running containers that strive to eliminate management of the underlying hardware and VMs from users. These CaaS platforms include Google Kubernetes Engine, Azure Kubernetes Service, and Amazon EC2 Container Service. These solutions combine the container deployment and management capabilities of an orchestrator with their own platform-specific APIs to create and manage VMs. This integration allows users to more easily provision capacity without the need to manage the underlying hardware or virtualization layer. Some of these platforms, such as Google Kubernetes Engine, even use thin VMs running container-focused operating systems, such as Container-Optimized OS or CoreOS, to further reduce the need to manage the host operating system.

CaaS platforms are differentiated from containers on their left on the continuum (see Figure 3-5) by providing a more comprehensive set of capabilities that abstract the complexities involved with hardware and VM provisioning. They're differentiated from on-demand containers to their right on the continuum by typically still enabling users to directly manage the underlying VMs

and host OS. For example, in most CaaS deployments, users can use SSH directly to a node and run arbitrary tools as a root user to aid in diagnostics or customize the host OS.

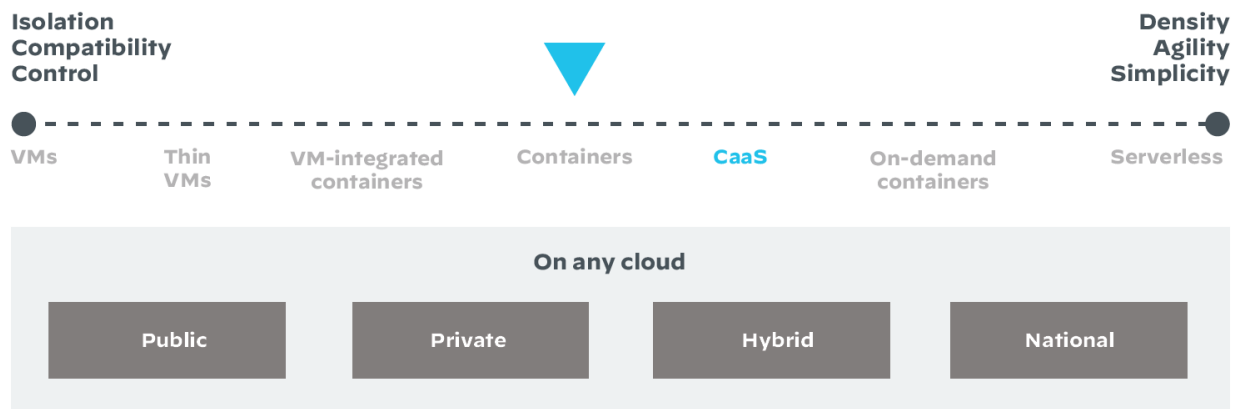


Figure 3-5: *CaaS platform on the continuum of cloud native technologies*

On-demand containers

Although CaaS platforms simplify the deployment and operation of containers at scale, they still provide users with the ability to manage the underlying host OS and VMs. For some organizations, this flexibility is highly desirable, but in other use cases it can be an unneeded distraction. The ability to simply run a container, without any knowledge or configuration of the underlying hosts or VMs can increase development efficiency and agility, especially for developers.

On-demand containers are a set of technologies designed to trade off some of the compatibility and control of CaaS platforms for lessened complexity and ease of deployment. On-demand container platforms include AWS Fargate and Azure Container Instances. On these platforms, users may not have any ability to directly access the host OS and must exclusively use the platform interfaces to deploy and manage their container workloads. These platforms provide all three cloud native attributes and arguably even require them; it's typically impractical not to build apps for them as microservices, and the environment can only be managed dynamically and deployed as containers.

On-demand containers are differentiated from CaaS platforms to their left on the continuum (see Figure 3-6) by the lack of support for direct control of the host OS and VMs, along with the requirement that typical management occurs through platform-specific interfaces. They're differentiated from serverless on their right on the continuum because on-demand containers still run normal container images that could be executed on any other container platform. For example, the same image that a user may run directly in a container on their desktop can be run unchanged on a CaaS platform or in an on-demand container. The consistency of an image format as a globally portable package for apps, including all their underlying OS-level dependencies, is an important difference from serverless environments.

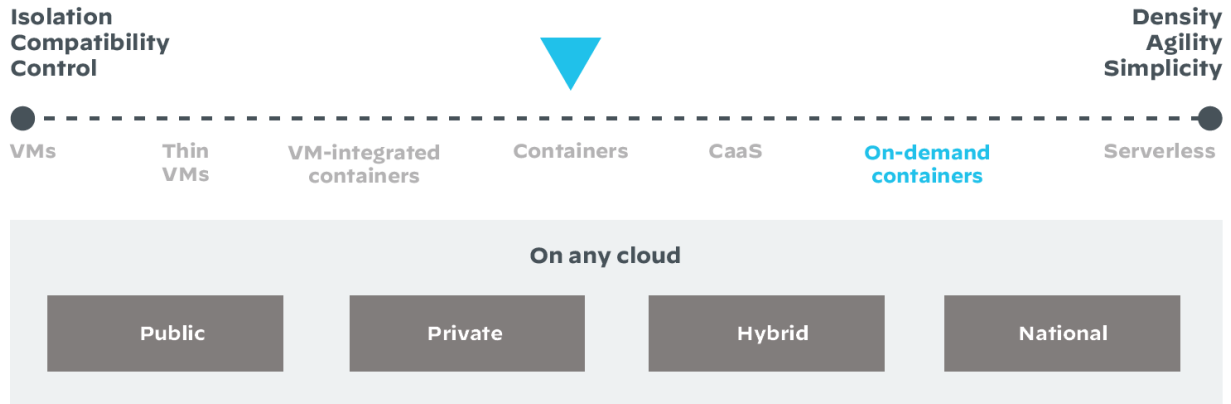


Figure 3-6: On-demand containers on the continuum of cloud native technologies

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which cloud use model runs just one container per virtual machine?
 - A. serverless
 - B. containers as a service (CaaS)
 - C. standard docker containers
 - D. VM-integrated containers
2. Which cloud use model allows you to use containers without having to manage the underlying hardware and virtualization layers, but still lets you access the underlying virtualization if needed?
 - A. serverless
 - B. containers as a service (CaaS)
 - C. standard docker containers
 - D. VM-integrated containers
3. Ten containers running on five virtual machines are spread between two type 1 hypervisors. How many OS instances are you running?
 - A. 2
 - B. 5
 - C. 7
 - D. 17
4. Ten containers running on five virtual machines are spread between two type 2 hypervisors. How many OS instances are you running?
 - A. 2
 - B. 5
 - C. 7
 - D. 17

3.5 Discuss the purpose of serverless computing

The term “serverless” generally refers to an operational model in cloud computing. In the serverless model, applications rely on managed services that abstract away the need to manage,

patch, and secure infrastructure and virtual machines. Serverless applications rely on a combination of managed cloud services and function as a service (FaaS). Adoption of a serverless model can impact application development in several ways:

- **Reduced operational overhead:** With no servers to manage, developers and DevOps don't need to worry about scaling infrastructure, installing and maintaining agents, or other infrastructure-related operations.
- **Increased agility:** Because serverless applications rely heavily on managed services for things such as databases and authentication, developers can focus on the business logic of the application, which typically will run on a FaaS, such as AWS Lambda or Google Cloud Functions.
- **Reduced costs:** With most services used in serverless applications, the customer pays only for use. For example, with AWS Lambda, customers pay for the executions of their functions. This pricing model typically has a significant impact on cost because customers don't have to pay for unused capacity as they would with virtual machines.

Although on-demand containers greatly reduce the “surface area” exposed to end users and, thus, the complexity associated with managing them, some users prefer an even simpler way to deploy their apps. Serverless is a class of technologies designed to allow developers to provide only their app code to a service, which then instantiates the rest of the stack below it automatically.

In serverless apps, the developer uploads only the app package itself, without a full container image or any OS components. The platform dynamically packages it into an image, runs the image in a container, and (if needed) instantiates the underlying host OS and VM and the hardware required to run them. In a serverless model, users make the most dramatic trade-offs of compatibility and control for the simplest, most efficient deployment and management experience.

Examples of serverless environments include Amazon Lambda and Azure Functions. Many PaaS offerings, such as Pivotal Cloud Foundry, also are effectively serverless even if they have not historically been marketed as such. Although serverless may appear to lack the container-specific, cloud native attribute, containers are extensively used in the underlying implementations, even if those implementations are not exposed to end users directly.

Serverless is differentiated from on-demand containers to the left on the continuum (see Figure 3-7) by the complete inability to interact with the underlying host and container runtime, often to the extent of not even having visibility into the software that it runs.

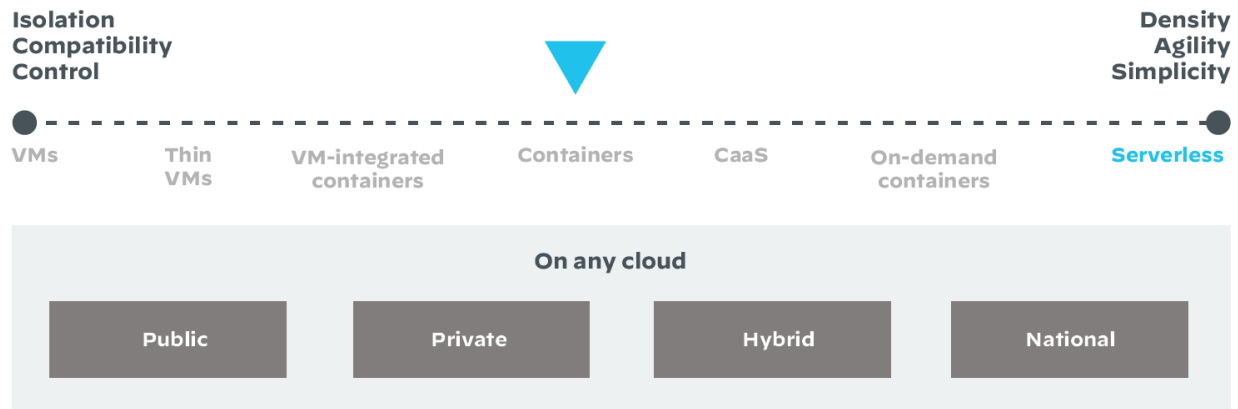


Figure 3-7: Serverless on the continuum of cloud native technologies

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which cloud use model restricts your choice of a runtime environment to the environments supported by the cloud provider?
 - A. serverless
 - B. on-demand containers
 - C. containers as a service (CaaS)
 - D. standard docker containers
2. Which three attributes are advantages of serverless computing, when compared with CaaS? (Choose three.)
 - A. reduced costs
 - B. increased control over the workload
 - C. increased ability to monitor and identify problems
 - D. increased agility
 - E. reduced operational overhead

3.6 Compare the differences between DevOps and DevSecOps

In a traditional software development model, developers write large amounts of code for new features, products, bug fixes, and such, and then pass their work to the Operations team for deployment, usually via an automated ticketing system. The Operations team receives this request in its queue, tests the code, and gets it ready for production, a process that can take days, weeks, or months. Under this traditional model, if Operations runs into any problems during deployment, the team sends a ticket back to the developers to tell them what to fix. Eventually, after this back-and-forth interaction is resolved, the workload gets pushed into production.

This model makes software delivery a lengthy and fragmented process. Developers often see Operations as a roadblock, slowing down their project timelines, and Operations teams feel like a repository for development problems.

DevOps solves these problems by uniting Development and Operations teams throughout the entire software delivery process, enabling them to discover and remediate issues earlier, automate testing and deployment, and reduce time to market.

To better understand what DevOps is, let's first understand what DevOps is not.

DevOps is not:

- **A combination of the Dev and Ops teams:** There still are two teams; they just operate in a communicative, collaborative way.
- **Its own separate team:** There is no such thing as a “DevOps engineer.” Although some companies may appoint a “DevOps team” as a pilot when trying to transition to a DevOps culture, DevOps refers to a culture where developers, testers, and operations personnel cooperate throughout the entire software delivery lifecycle.
- **A tool or set of tools:** Although there are tools that work well with a DevOps model or help promote DevOps culture, DevOps ultimately is a strategy, not a tool.
- **Automation:** Although automation is very important for a DevOps culture, it alone does not define DevOps.

Now, let's discuss what DevOps is. Instead of developers coding huge feature sets before blindly handing them over to Operations for deployment, in a DevOps model, developers frequently deliver small amounts of code for continuous testing. Instead of communicating issues and requests through a ticketing system, the Development and Operations teams meet regularly, share analytics, and co-own projects from beginning to end.

CI/CD pipeline

DevOps is a cycle of continuous integration and continuous delivery (or continuous deployment), otherwise known as the CI/CD pipeline. The CI/CD pipeline integrates Development and Operations teams to improve productivity by automating infrastructure and workflows and continuously measuring application performance.

Continuous integration requires developers to integrate code into a repository several times per day for automated testing. Each check-in is verified by an automated build, thus allowing teams to detect problems early.

Continuous delivery means that the CI pipeline is automated, but the code must go through manual technical checks before it is implemented in production.

Continuous deployment takes continuous delivery one step further. Instead of manual checks, the code passes automated testing and is automatically deployed, thus giving customers instant access to new features.

DevOps and security

One problem in DevOps is that security often is neglected. Developers move quickly, and their

workflows are automated. Security is a separate team, and developers don't want to slow down for security checks and requests. As a result, many developers deploy without going through the proper security channels and inevitably make harmful security mistakes.

To solve the DevOps efficiency problem, organizations are adopting DevSecOps. DevSecOps takes the concept behind DevOps that developers and IT teams should work together closely, instead of separately, throughout software delivery and extends it to include security and integrate automated checks into the full CI/CD pipeline. The integration of the CI/CD pipeline takes care of the problem of security seeming like an outside force and allows developers to maintain their speed without compromising data security.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which continuous process replaces manual checks with automated code testing and deployment?
 - A. integration
 - B. development
 - C. delivery
 - D. deployment
2. What are the two meanings of the CI/CD pipeline? (Choose two.)
 - A. continuous integration/continuous delivery
 - B. continuous implementation/continuous delivery
 - C. continuous integration/continuous deployment
 - D. continuous implementation/continuous deployment
 - E. continuous innovation/continuous development
3. Which step of the CI/CD pipeline cannot be automated?
 - A. Coding
 - B. Integration
 - C. Testing
 - D. Monitoring
4. Which step of the CI/CD pipeline is the ideal place for automated penetration testing?
 - A. Coding
 - B. Integration
 - C. Testing
 - D. Deployment

3.7 Explain governance and compliance related to deployment of SaaS applications

Cloud resources and SaaS applications must be correctly configured and adhere to your organization's security standards from day one to prevent successful attacks. Also, these applications, and the data they collect and store, must be properly protected and compliant to avoid costly fines, brand reputation damage, and loss of customer trust. Security teams must meet security standards and maintaining compliant environments at scale, and across SaaS applications.

Despite the availability of numerous tools, most organizations struggle to effectively control their data exposure and enforce security policies across ever-changing cloud environments and SaaS applications. Furthermore, ensuring compliance where data is stored across distributed environments puts a significant burden on constrained security teams.

Ensuring governance and compliance across multi-cloud environments and SaaS applications requires:

- Real-time discovery and classification of resources and data across dynamic SaaS and PaaS and IaaS environments
- Configuration governance, ensuring application and resource configurations match your security best practices as soon as they are deployed, and preventing configuration drift
- Access governance using granular policy definitions to govern access to SaaS applications and resources in the public cloud and to apply network segmentation
- Compliance auditing, leveraging automation and built-in compliance frameworks, to ensure compliance at any time and generate audit-ready reports on demand

Seamless user experience that doesn't force additional steps or introduce significant latency in the use of applications as you add new security tools

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. What is the meaning of a SaaS application that is advertised as being HIPPA compliant?
 - A. Regardless of how you configure the application for your enterprise, you will be HIPPA compliant.
 - B. If your administrator configures the security settings on the application correctly, you will be HIPPA compliant.
 - C. If your administrator and your users use the application correctly, you will be HIPPA compliant.
 - D. If your administrator and your users use the application correctly, the application won't cause you to not be HIPPA compliant.
2. Which systems do you have to secure to ensure compliance with security standards?
 - A. The servers in the data center
 - B. The devices owned by the enterprise, whether they are servers in the data center, cloud VMs you manage, or user endpoint devices
 - C. Any system where the data for which you are responsible goes
 - D. Every device that is either owned by the enterprise, or used by enterprise employees
3. GDPR compliance is required to do business in which area?
 - A. United States of America
 - B. Canada
 - C. China
 - D. European Union

3.8 Describe traditional data security solution weaknesses

Traditional data center security solutions exhibit the same weaknesses found when they are deployed at a perimeter gateway on the physical network: They make their initial positive control network access decisions based on port, using stateful inspection, and then they make a series of sequential, negative control decisions using installed feature sets. This approach has several problems:

- **Limited visibility and control:** The “ports first” focus of traditional data security solutions limits their ability to see all traffic on all ports, which means that evasive or encrypted applications, and any corresponding threats that may or may not use standard ports, can evade detection. For example, many data center applications (such as Microsoft Lync, Active Directory, and SharePoint) use a wide range of contiguous ports to function properly. You therefore must open all those ports first, exposing those same ports to other applications or cyberthreats.
- **No concept of unknown traffic:** Unknown traffic is high risk but represents only a relatively small amount of traffic on every network. Unknown traffic can be a custom application, an unidentified commercial off-the-shelf application, or a threat. The common practice of blocking all unknown traffic may cripple your business. Allowing all traffic is highly risky. You need to be able to systematically manage unknown traffic using native policy management tools to reduce your organizational security risks.
- **Multiple policies, no policy reconciliation tools:** Sequential traffic analysis (stateful inspection, application control, intrusion prevention system (IPS), anti-malware, etc.) in traditional data center security solutions requires a corresponding security policy or profile, often using multiple management tools. The result is that your security policies become convoluted as you build and manage a firewall policy with source, destination, user, port, and action; an application control policy with similar rules; and any other threat prevention rules required. Multiple security policies that mix positive (firewall) and negative (application control, IPS, and anti-malware) control models can cause security holes by missing traffic and/or not identifying the traffic. This situation is made worse when there are no policy reconciliation tools.
- **Cumbersome security policy update process.** Existing security solutions in the data center do not address the dynamic nature of your cloud environment, because your policies have difficulty contending with the numerous dynamic changes that are common in virtual data centers. In a virtual data center, VM application servers often move from one physical host to another, so your security policies must adapt to changing network conditions.

Many cloud security offerings are merely virtualized versions of port and protocol based security appliances with the same inadequacies as their physical counterparts.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. How would a port filter firewall classify access to the URL <https://example.com:22/this/page?>
 - A. HTTP
 - B. HTTPS
 - C. Telnet
 - D. SSH

3.9 Compare east-west and north-south traffic protection

In a virtual data center (private cloud), there are two different types of traffic, each of which is secured in a different manner (see Figure 3-8):

- **North-south** refers to data packets that move in and out of the virtualized environment from the host network or a corresponding traditional data center. North-south traffic is secured by one or more physical form factor perimeter edge firewalls. The edge firewall usually is a high-throughput appliance working in high availability active/passive (or active/active) mode to increase resiliency. It controls all the traffic reaching into the data center and authorizes only allowed and “clean” packets to flow into the virtualized environment.
- **East-west** refers to data packets moving between virtual workloads entirely within the private cloud. East-west traffic is protected by a local, virtualized firewall instantiated on each hypervisor. East-west firewalls are inserted transparently into the application infrastructure and do not necessitate a redesign of the logical topology.

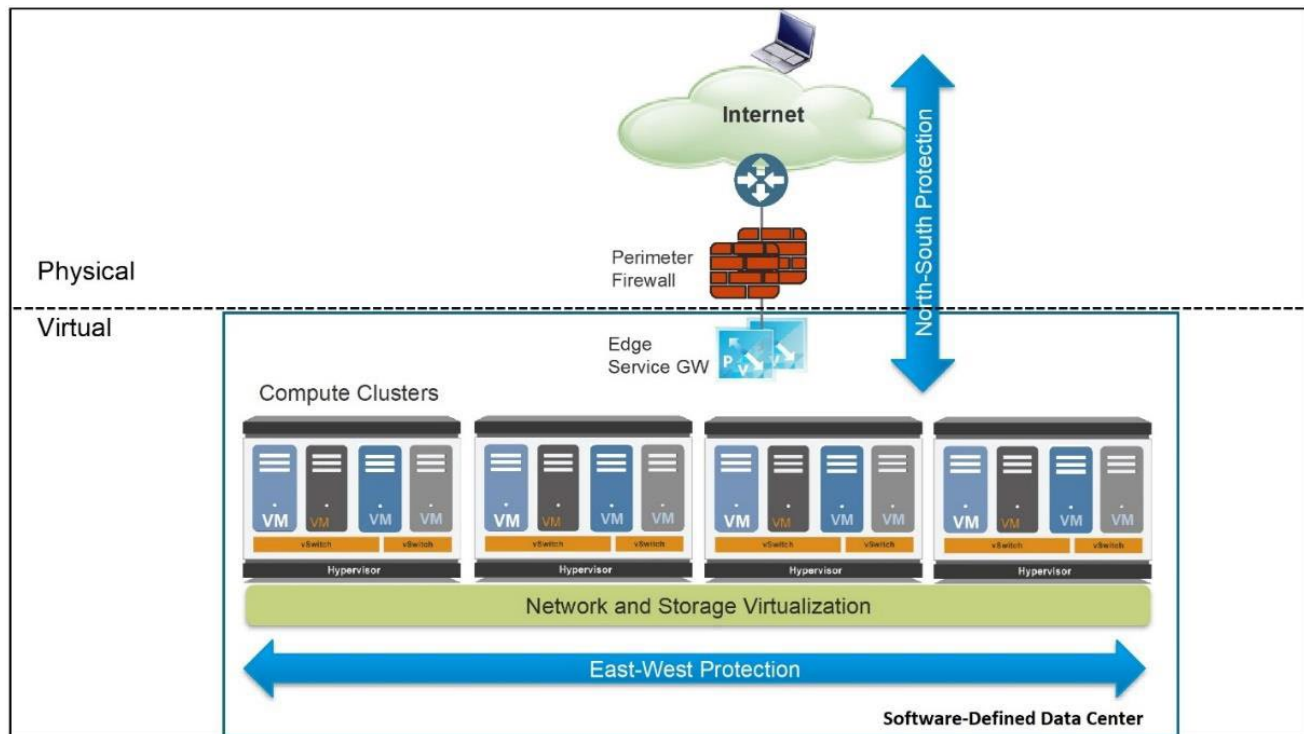


Figure 3-8: Typical virtual data center design architecture

The compute cluster is the building block for hosting the application infrastructure and provides the necessary resources in terms of compute, storage, networking, and security. Compute clusters can be interconnected using OSI model Layer 2 (Data Link) or Layer 3 (Network) technologies such as virtual LAN (VLAN), virtual extensible LAN (VXLAN), or Internet Protocol (IP), thus providing a domain extension for workload capacity. Innovations in the virtualization space allow VMs to move freely in this private cloud while preserving compute, storage, networking, and security characteristics and postures.

Organizations usually implement security to protect traffic flowing north-south, but this approach is insufficient for protecting east-west traffic within a private cloud. To improve their security posture, enterprises must protect against threats across the entire network, both north-south and east-west.

One common practice in a private cloud is to isolate VMs into different tiers. Isolation provides clear delineation of application functions and allows a security team to easily implement security policies. Isolation is achieved using logical network attributes (such as a VLAN or a VXLAN) or logical software constructs (such as security groups). Figure 3-9 displays a simple three-tier application that is composed of a WEB-VM as the frontend, an APP-VM as the application, and a DB-VM providing database services.

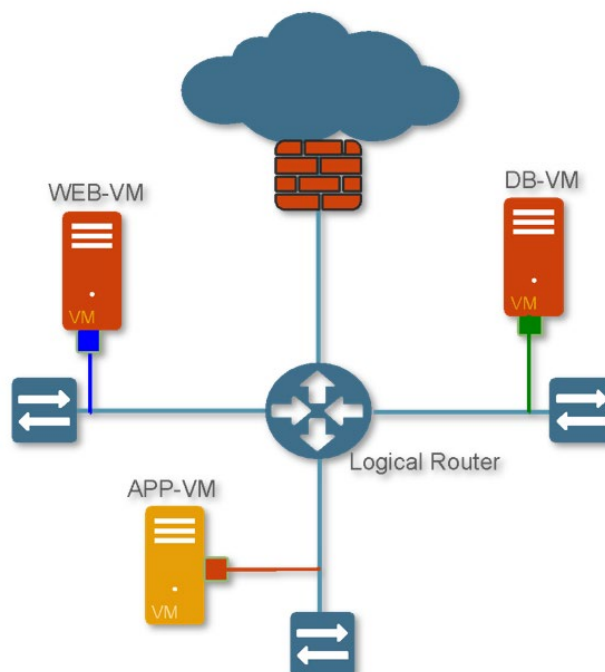


Figure 3-9: *Three-tier application hosted in a virtual data center*

An attacker has multiple options to steal data from the DB-VM. The first option is to initiate an SQL injection attack by sending HTTP requests containing normalized SQL commands that target an application vulnerability. The second option is to compromise the WEB-VM (using

vulnerability exploits) and then move laterally to the APP-VM, initiating a brute-force attack to retrieve the SQL admin password.

After the DB-VM is compromised, the attacker can hide sensitive data extraction by using techniques such as DNS tunneling or by moving data across the network with NetBIOS and then off the network via FTP. In fact, attackers using applications commonly found on nearly every network have virtually unlimited options for stealing critical data in this environment. Infiltration into the environment and exfiltration of critical data can be completely transparent and undetected because the data is carried over legitimate protocols (such as HTTP and DNS) that are used for normal business activities.

Virtual data center security best practices dictate a combination of north-south and east-west protection. East-west protection provides the following benefits:

- Authorizes only allowed applications to flow inside the data center, between VMs
- Reduces lateral threat movement when a front-end workload has been compromised (the attacker breaches the front-end server by using a misconfigured application or unpatched exploit)
- Stops known and unknown threats that are sourced internally within the data center
- Protects against data theft by leveraging data and file filtering capability and blocking anti-spyware communications to the external world

An added benefit of using virtual firewalls for east-west protection is the unprecedented traffic and threat visibility that the virtualized security device can now provide. After traffic logs and threat logs are turned on, VM-to-VM communications and malicious attacks become visible. This virtual data center awareness allows security teams to optimize policies and enforce cyberthreat protection (for example, IPS, anti-malware, file blocking, data filtering, and DoS protection) where needed.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Intra-VM traffic is also known as which type of traffic?
 - A. north-south
 - B. unknown
 - C. east-west
 - D. untrusted
2. What is the term for traffic between a web site and a local database that stores information for it?
 - A. north-south
 - B. east-west
 - C. unknown
 - D. cloud
3. What is the term for traffic between a website and a remote user's browser?
 - A. north-south

- B. east-west
 - C. unknown
 - D. cloud
4. Which type of traffic can stay contained in a single physical server?
- A. north-south
 - B. east-west
 - C. unknown
 - D. trusted traffic
5. Which type of traffic can be secured by a physical appliance?
- A. north-south
 - B. east-west
 - C. unknown
 - D. cloud
6. Which stage of an attack is typically east-west traffic?
- A. reconnaissance
 - B. weaponization
 - C. lateral spread
 - D. actions on the objective

3.10 Recognize the four phases of hybrid data center security

The following approach to security in the evolving data center from traditional three-tier architectures to virtual data centers and to the cloud aligns with practical realities, such as the need to leverage existing best practices and technology investments, and the likelihood that most organizations will transform their data centers incrementally.

This approach consists of four phases:

- **Consolidating servers within trust levels:** Organizations often consolidate servers within the same trust level into a single virtual computing environment: either one physical host or a cluster of physical hosts. Intra-host communications generally are minimal and inconsequential. Most traffic routinely is directed “off box” to users and systems residing at different trust levels. When intra-host communications do happen, the absence of protective safeguards between these virtualized systems also is consistent with the organization’s security posture for non-virtualized systems. Live migration features typically are used to enable transfer of VMs only to hosts supporting workloads within the same subnet. Security solutions should incorporate a robust virtual systems capability in which a single instance of the associated countermeasures can be partitioned into multiple logical instances, each with its own policy, management, and event domains. This virtual systems capability enables a single physical device to be used to simultaneously meet the unique requirements of multiple VMs or groups of VMs. Control and protection of inter-host traffic with physical network security appliances that are properly positioned and configured is the primary security focus.
- **Consolidating servers across trust levels:** Workloads with different trust levels often coexist on the same physical host or cluster of physical hosts. Intra-host communications are limited, and live migration features are used to enable transfer of VMs only to hosts that are on the same subnet and that are configured identically with regard to routing of

VM-to-VM traffic. Intra-host communication paths intentionally are not configured between VMs with different trust levels. Instead, all traffic is forced off box through a default gateway such as a physical network security appliance before it is allowed to proceed to the destination VM. This off-box routing typically can be accomplished by configuring separate virtual switches with separate physical network interface cards (NICs) for the VMs at each distinct trust level. As a best practice for virtualization, you should minimize the combination of workloads with different trust levels on the same server. Live migrations of VMs also should be restricted to servers supporting workloads within the same trust levels and within the same subnet. Over time, and in particular as workloads move to the cloud, maintenance of segmentation based on trust levels becomes more challenging.

- **Selective network security virtualization:** Intra-host communications and live migrations are architected at this phase. All intra-host communication paths are strictly controlled to ensure that traffic between VMs at different trust levels is intermediated either by an on-box, virtual security appliance or by an off-box, physical security appliance. Long-distance live migrations (for example, between data centers) are enabled by a combination of native live migration features with external solutions that address associated networking and performance challenges. The intense processing requirements of solutions such as next-generation firewall virtual appliances will ensure that purpose-built physical appliances continue to play an important role in the virtual data center. However, virtual instances are ideally suited for scenarios where countermeasures need to migrate along with the workloads they control and protect.
- **Dynamic computing fabric:** Conventional, static computing environments are transformed into dynamic fabrics (private or hybrid clouds) where underlying resources such as network devices, storage, and servers can be fluidly engaged in whatever combination best meets the needs of the organization at any given point in time. Intra-host communication and live migrations are unrestricted. This phase requires networking and security solutions that not only can be virtualized but also are virtualization-aware and can dynamically adjust as necessary to address communication and protection requirements, respectively. Classification, inspection, and control mechanisms in virtualization-aware security solutions must not be dependent on physical and fixed Network layer attributes. In general, higher-layer attributes such as application, user, and content identification are the basis not only for how countermeasures deliver protection but also for how they dynamically adjust to account for whatever combination of workloads and computing resources exist in their sphere of influence. Associated security management applications also need to be capable of orchestrating the activities of physical and virtual instances of countermeasures first with each other and then with other infrastructure components. This capability is necessary to ensure that adequate protection is delivered optimally in situations where workloads are frequently migrating across data center hosts.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. What does the first phase of implementing security in virtualized data centers consist of?
 - A. consolidating servers across trust levels
 - B. consolidating servers within trust levels
 - C. selectively virtualizing network security functions
 - D. implementing a dynamic computing fabric

3.11 Identify the four pillars of cloud application security [Prisma Cloud]

Application development methodologies are moving away from the traditional “waterfall” model toward more agile continuous integration/continuous delivery (CI/CD) processes with end-to-end automation. This new approach brings a multitude of benefits, such as shorter time to market and faster delivery, but it also introduces security challenges because traditional security methodologies weren’t designed to address these modern application workflows. As developer teams embrace cloud native technologies, security teams find themselves scrambling to keep pace. Limited prevention controls, poor visibility, and tools that lack automation yield incomplete security analytics; all of these things increase the risk of compromise and the likelihood of successful breaches in cloud environments. Meanwhile, the demand for an entirely new approach to security emerges: cloud Native Security Platforms (CNSPs).

The term “cloud native” refers to an approach to building and running applications that takes full advantage of a cloud computing delivery model instead of an on-premises data center. This approach takes the best of what cloud has to offer (scalability, deployability, manageability, and limitless on-demand compute power) and applies these principles to software development, combined with CI/CD automation, to radically increase productivity, business agility, and cost savings.

Cloud native architectures consist of cloud services such as containers, serverless security, platform as a service (PaaS), and microservices. These services are loosely coupled, meaning they are not hardwired to any infrastructure components, thus allowing developers to make changes frequently without affecting other pieces of the application or other team members’ projects, all across technology boundaries such as public, private, and multicloud deployments.

“Cloud native” refers to a methodology of software development that essentially is designed for cloud delivery and exemplifies all the benefits of the cloud by nature.

As more organizations have adopted DevOps and developer teams have begun to update their application development pipelines, security teams quickly realized their tools were not well-suited for the developer-driven, API-centric, infrastructure-agnostic patterns of cloud native security. As a result, cloud native security point products began to appear on the market. These products each were engineered to address one part of the problem or one segment of the software stack, but on their own they could not collect enough information to accurately understand or report on the risks across cloud native environments. This situation forced security teams to

juggle multiple tools and vendors, which increased cost, complexity, and risk in addition to creating blind spots where the tools overlapped but didn't integrate.

The solution to this problem requires a unified platform approach that can envelop the entire CI/CD lifecycle and integrate with the DevOps workflow. Just as cloud native approaches have fundamentally changed the how cloud is used, CNSPs are fundamentally restructuring how the cloud is secured.

CNSPs share context about infrastructure, PaaS, users, development platforms, data, and application workloads across platform components to enhance security. They also:

- Provide unified visibility for SecOps and DevOps teams
- Deliver an integrated set of capabilities to respond to threats and protect cloud native applications
- Automate the remediation of vulnerabilities and misconfigurations consistently across the entire build-deploy-run lifecycle

In the past, organizations that wanted to embrace new compute options were stifled by the need to buy more security products to support those options. Stitching together disparate solutions in an attempt to enforce consistent policies across technology boundaries became more of a problem than a solution. CNSPs, however, provide coverage across the continuum of compute options, multicloud, and the application development lifecycle. This coverage allows organizations to choose the correct compute options for any given workload, thus granting them freedom without worry over how to integrate solutions for security. CNSPs epitomize the benefits of a cloud native strategy, enabling agility, flexibility, and digital transformation.

The Palo Alto Networks CNSP includes the following solutions to secure the cloud: Prisma Cloud, Prisma Access, and Prisma SaaS.

Prisma Cloud is the most comprehensive cloud native security platform, designed to protect all aspects of cloud use with the industry's leading technology. Prisma Cloud provides broad security and compliance coverage for the entire cloud native technology stack and applications and data throughout the entire application lifecycle, across multicloud and hybrid cloud environments. Prisma Cloud takes an integrated approach that enables SecOps and DevOps teams to accelerate cloud native application deployment by implementing security early in the development cycle.

Prisma Cloud comprises four pillars:

- **Visibility, governance, and compliance.** Gain deep visibility into the security posture of multicloud environments. Track everything that gets deployed with an automated asset inventory, and maintain compliance with out-of-the-box governance policies that enforce good behavior across your environments.
- **Compute security.** Secure hosts, containers, and serverless workloads throughout the application lifecycle. Detect and prevent risks by integrating vulnerability intelligence into your *integrated development environment (IDE)*, *software configuration management (SCM)*, and CI/CD workflows. Enforce machine learning-based runtime

protection to protect applications and workloads in real time.

- **Network protection.** Continuously monitor network activity for anomalous behavior, enforce microservice-aware micro-segmentation, and implement industry-leading firewall protection. Protect the network perimeter and the connectivity between containers and hosts.
- **Identity security.** Monitor and leverage *user and entity behavior analytics (UEBA)* across your environments to detect and block malicious actions. Gain visibility into and enforce governance policies on user activities, and manage the permissions of both users and workloads.

Key Terms

- An *integrated development environment (IDE)* is a software application that provides comprehensive tools such as a source code editor, build automation tools, and a debugger for application developers.
- *Software configuration management (SCM)* is the task of tracking and controlling changes in software.
- *User and entity behavior analytics (UEBA)* is a type of cybersecurity solution or feature that discovers threats by identifying activity that deviates from a baseline.

Cloud governance and compliance

Ensuring that your cloud resources and SaaS applications are correctly configured and adhere to your organization's security standards from day one is essential to prevent successful attacks. Also, making sure that these applications, and the data they collect and store, are properly protected and compliant is critical to avoid costly fines, a tarnished image, and loss of customer trust. Meeting security standards and maintaining compliant environments at scale, and across SaaS applications, is the new expectation for security teams.

Despite the availability of numerous tools, most organizations struggle to effectively control their data exposure and enforce security policies across ever-changing cloud environments and SaaS applications. Furthermore, ensuring compliance where data is stored across distributed environments puts a significant burden on your already constrained security teams.

Ensuring governance and compliance across multicloud environments and SaaS applications requires:

- **Real-time discovery and classification** of resources and data across dynamic SaaS, PaaS, and IaaS environments
- **Configuration governance** ensuring that application and resource configurations match your security best practices as soon as they are deployed, and preventing configuration drift
- **Access governance** using granular policy definitions to govern access to SaaS applications and resources in the public cloud and to apply network segmentation
- **Compliance auditing** leveraging automation and built-in compliance frameworks, to ensure compliance at any time and generate audit-ready reports on demand

- **Seamless user experience** that doesn't force additional steps or introduce significant latency in the use of applications as you add new security tools

Compute security

The cloud native landscape is constantly evolving with new technologies and levels of abstraction. Hosts, containers, and serverless workloads provide unique benefits and have different security requirements. Prisma Cloud provides best-in-class solutions for securing any type of cloud native workload, throughout the development lifecycle.

Prisma Cloud provides cloud native compute security from build to run, including:

- **Vulnerability management.** Detect and prevent vulnerabilities and misconfigurations throughout the entire development process. Prioritize vulnerabilities based on your unique environment and prevent vulnerable code from ever reaching production.
- **Runtime security.** Prevent threats and anomalies across your hosts, containers, serverless functions, and orchestrators. Build automated, machine learning-driven models that define known good behaviors across process, network, file system, and system call sensors. Models are correlated to image IDs, so every time you build your app, you get a model uniquely calculated and customized for that specific build.
- **Application security.** Protect applications and APIs through a powerful combination of web traffic inspection and *runtime application self-protection* (RASP). Adopt an “explicit allow” model where only the specific activities and capabilities required by your application are allowed and everything else is treated as anomalous and therefore is prevented.
- **DevSecOps enabled.** Integrate security into your IDE, SCM, and CI workflows to detect and prevent issues as early as possible. Powerful plugins allow developers to inspect images, IaC templates, and functions and to see vulnerability status every time they run a build. Security teams can prevent compromised assets from ever progressing down the pipeline.

Key Terms

- ***Runtime application self-protection (RASP)*** detects attacks against an application in real time. RASP continuously monitors an app's behavior and the context of behavior to immediately identify and prevent malicious activity.

Network protection

Network protection must be adapted for cloud native environments while still enforcing consistent policies across hybrid environments. Prisma Cloud detects and prevents network anomalies by enforcing container-level micro-segmentation, inspecting traffic flow logs, and leveraging advanced Layer 7 threat protection.

Prisma Cloud network protection capabilities include:

- **Network visibility and anomaly detection:** Ingest network traffic flow logs from multiple sources, and gain deep visibility into network behavior to detect and prevent

anomalies.

- **Identity-based micro-segmentation:** Enforce cloud native micro-segmentation at the container and host levels with Layer 4 and Layer 7 distributed firewalls. Segment cloud networks and deploy policies based on logical workload and application identities, rather than dynamic IP addresses.
- **Cloud native firewalling:** Automatically model traffic flows between microservices, and dynamically create filters that allow valid connections and drop suspicious ones. Protect networks with Layer 4 and Layer 7 security capabilities, such as DNS security and URL filtering.

Identity security

Management of a large number of privileged users with access to an ever-expanding set of sensitive resources can be challenging. Cloud resources themselves also have permission sets that need to be managed. Prisma Cloud helps you leverage the identity of cloud resources to enforce security policies and ensure secure user behavior across your cloud environments.

Key capabilities include:

- **Identity and Access Management (IAM) security:** Secure and manage the relationships between users and cloud resources. Enforce governance policies to ensure that users and resources behave only as intended and do not introduce risk to the environment.
- **Access management:** Ensure least-privileged access to cloud resources and infrastructure, and decouple user permissions from workload permissions.
- **Machine identity:** Decouple workload identity from IP addresses. Leverage tags and metadata to assign a logical identity to applications and workloads, and then use it to enforce ID-based micro-segmentation and security policies that adapt to your dynamic environments.
- **UEBA:** Continuously analyze the behavior of users and resources in your cloud to detect and prevent anomalous behavior, such as an admin logging in from an unknown location or a container accessing a file it should not be able to access.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which action is part of the compute security pillar?
 - A. user and entity behavior analytics (UEBA)
 - B. Microservice-aware micro-segmentation
 - C. integration with the CI/CD workflow
 - D. automated asset inventory
2. Which action is part of the compute cloud governance and compliance pillar?
 - A. user and entity behavior analytics (UEBA)
 - B. Microservice-aware micro-segmentation
 - C. integration with the CI/CD workflow
 - D. automated asset inventory
3. Which action is part of the identity security pillar?

- A. user and entity behavior analytics (UEBA)
 - B. Microservice-aware micro-segmentation
 - C. integration with the CI/CD workflow
 - D. automated asset inventory
4. Which action is part of the network security pillar?
- A. user and entity behavior analytics (UEBA)
 - B. Microservice-aware micro-segmentation
 - C. integration with the CI/CD workflow
 - D. automated asset inventory

3.12 Describe the Prisma Access SASE architecture

With increasing numbers of mobile users, branch offices, data, and services located outside the protections of traditional network security appliances, organizations are struggling to keep pace and ensure the security, privacy, and integrity of their networks and their customers' data.

Many of the technologies on the market are built on architectures that were not designed to handle all types of traffic and security threats. Organizations thus are forced to adopt multiple point products to handle different requirements, such as secure web gateways, firewalls, secure VPN remote access, and SD-WAN. For every product there is an architecture to deploy, a set of policies to configure, and an interface to manage, each with its own set of logs. This situation creates an administrative burden that introduces cost, complexity, and gaps in security posture.

To address these challenges, Secure Access Service Edge (SASE) has emerged. SASE (pronounced "sassy") is designed to help organizations adopt cloud and mobility by providing network and network security services from a common cloud-delivered architecture. A SASE solution must provide consistent security services and access to all types of cloud applications (public cloud, private cloud, and SaaS) delivered through a common framework. Organizations can remove multiple point products and adopt a single cloud-delivered SASE solution to reduce complexity while saving significant technical, human, and financial resources.

A SASE solution converges networking and security services into one unified, cloud-delivered solution (see Figure 3-10) that includes the following:

- **Networking:**
 - Software-defined wide-area networks (SD-WANs)
 - Virtual private networks (VPNs)
 - Zero Trust network access (ZTNA)
 - Quality of Service (QoS)
- **Security:**
 - Firewall as a service (FWaaS)
 - Domain Name System (DNS) security
 - Threat prevention
 - *Secure web gateway* (SWG)

- Data loss prevention (DLP)
- Cloud access security broker (CASB)

Key Terms

- A **secure web gateway (SWG)** is a security platform or service that is designed to maintain visibility in web traffic. Additional functionality may include web content filtering.
- A **cloud access security broker (CASB)** is software that monitors activity and enforces security policies on traffic between an organization's users and cloud-based applications and services.

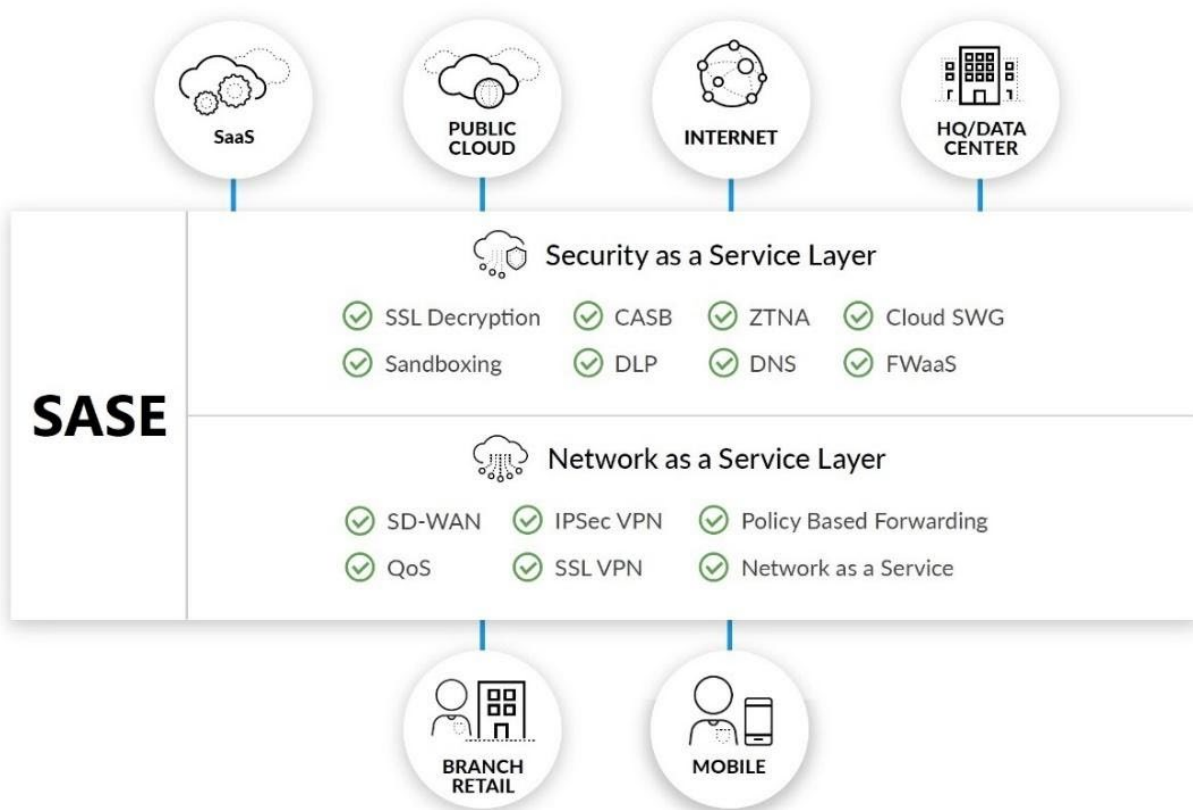


Figure 3-10: SASE delivers advanced network and security capabilities in a converged, cloud-delivered solution.

Prisma Access delivers globally distributed networking and security to all your users and applications. Whether your users are at branch offices or are remote, they connect to Prisma Access to safely access cloud and data center applications and the internet.

Prisma Access consistently protects all traffic, on all ports and from all applications, thus enabling your organization to:

- **Prevent successful cyberattacks** with proven security philosophies and threat intelligence for deep visibility and precise control that extends across your organization

- **Fully inspect all application traffic** bidirectionally, including SSL/TLS-encrypted traffic, on all ports, whether communicating with the internet, with the cloud, or between branches
- **Benefit from comprehensive threat intelligence** powered by automated threat data from Palo Alto Networks and hundreds of third-party feeds

The Prisma Access SASE architecture consists of a network-as-a-service layer, a security-as-a-service layer, and a common management platform to secure branch/retail and mobile users across SaaS, public cloud, internet, and headquarters/data center environments (see Figure 3-11).

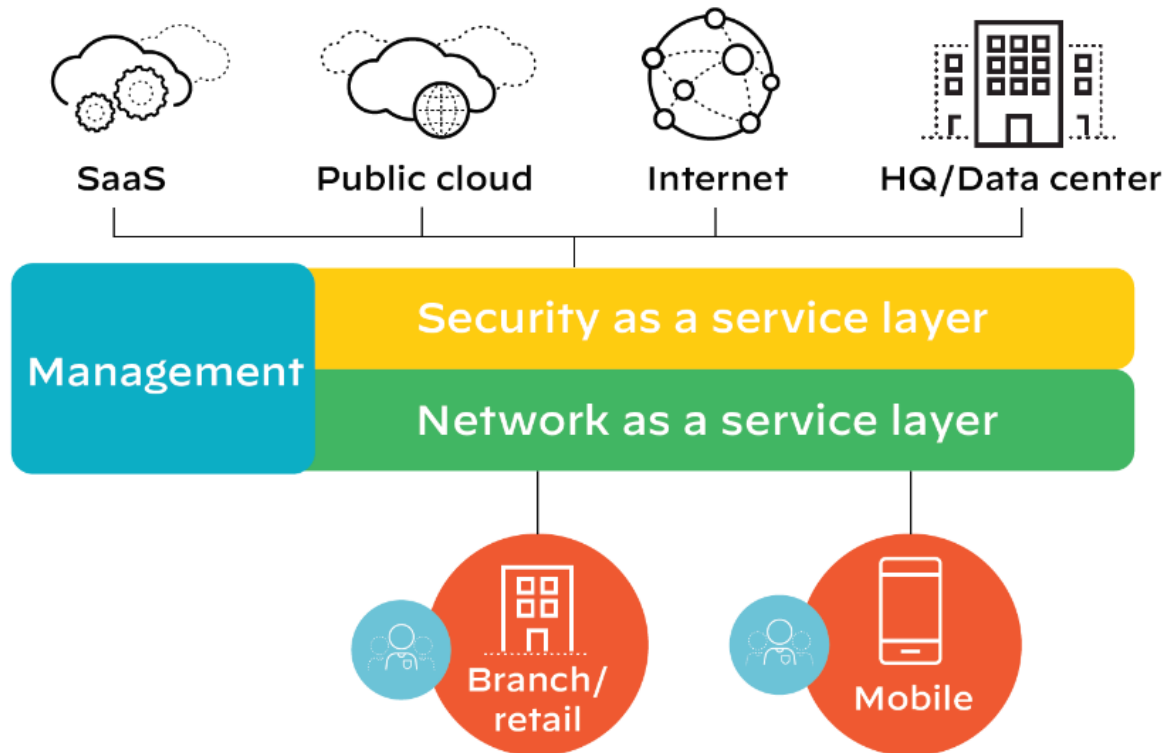


Figure 3-11: The Prisma Access architecture

Network-as-a-service layer

The network-as-a-service layer in Prisma Access delivers important SASE capabilities, including:

- Software-defined wide-area network (SD-WAN)
- Virtual private network (VPN)
- Zero Trust network access (ZTNA)
- Quality of service (QoS)

SD-WAN

Companies are adopting software-defined wide-area network (SD-WAN) to connect branch offices to the corporate network and provide local internet breakout as an alternative to costly

multiprotocol label switching (MPLS) connections. The challenge with SD-WAN, however, is how to combine security with the SD-WAN fabric, which leads to the need for multiple overlays.

In a SASE solution, SD-WAN edge devices can be connected to a cloud-based infrastructure, rather than to physical SD-WAN hubs located in data center or collocation facilities. This approach enables the interconnectivity between branch offices without the complexity of deploying and managing physical SD-WAN hubs.

You already should be considering or have already adopted SD-WAN into your organization's network infrastructure as a way to securely connect and control access to branch offices and remote employees. SASE creates a unified framework for SD-WAN services and other solutions to connect to, thus providing a single point of view and simplified management solution to protect your network.

Prisma Access connects branch offices over a standard IPsec VPN tunnel using common IPsec-compatible devices, such as your existing branch router, SD-WAN edge device, or a third-party firewall. It uses Border Gateway Protocol (BGP) or static routes for routing from the branch and equal-cost multi-path (ECMP) routing for faster performance and better redundancy across multiple links.

Virtual private network

Organizations rely on virtual private networks (VPNs) to provide a secure encrypted connection for mobile users and branch offices to access corporate data, applications, and internet access. There are many types of VPN services from IPsec VPN to SSL VPN, clientless VPN, and remote access VPN, all of which require a connection to a VPN gateway. VPNs are not optimized for access to the cloud, which results in no security or access control when users disconnect to reach cloud apps or services.

A SASE solution encompasses VPN services and enhances the capabilities to operate in a cloud-based infrastructure to securely route traffic to the public cloud, SaaS, internet, or private-cloud apps. In an IPsec VPN example, you can create a site-to-site connection to a cloud-based infrastructure from any IPsec-compatible device located at a branch or retail location via a branch router, wireless access point, SD-WAN edge device, or firewall. Mobile users employ an always-on IPsec or SSL VPN connection between their endpoint or mobile device, and a SASE solution ensures consistent traffic encryption and threat prevention.

Regardless of which type of VPN service you use in your organization, a SASE solution provides a unified cloud infrastructure to connect to, instead of backhauling to a VPN gateway at corporate headquarters. This solution dramatically simplifies the management and policy control needed to enforce least-privileged access rules.

Prisma Access (formerly GlobalProtect cloud service) provides cloud-delivered security infrastructure that enables your organization to connect users to a nearby cloud gateway, enable

secure access to all applications, and maintain full visibility and inspection of traffic across all ports and protocols.

For managed mobile devices:

- Users with managed devices have the GlobalProtect app installed on their laptop, mobile phone, or tablet. The GlobalProtect app connects to Prisma Access automatically whenever internet access is available, without requiring any user interaction.
- Users can access all of their applications, whether in the cloud or the data center. The connectivity layer connects applications in different locations, thus enabling secure access (based on App-ID and User-ID policies) to public cloud, SaaS, and data center applications.
- Prisma Access delivers protection through the security service layer, such as protections against known and unknown malware, exploits, C2 traffic, and credential-based attacks.

For unmanaged/BYOD devices:

- Your organization can deploy Prisma Access in conjunction with mobile device management (MDM) integration to support bring-your-own-device (BYOD) policies. The integration enables capabilities such as per-app VPN.
- Users such as contractors and employees with BYOD devices with unmanaged devices can access applications without an app installed by using Prisma Access with Clientless VPN.
- Clientless VPN also enables secure access to SaaS applications from unmanaged devices with inline protections by using Security Assertion Markup Language (SAML) proxy integration. This functionality works in conjunction with Prisma SaaS.

Zero Trust network access

Zero Trust network access (ZTNA) is an important part of the Zero Trust philosophy of “never trust, always verify,” developed by Forrester to identify the need to protect data. ZTNA requires users that want to connect to the cloud to authenticate through a gateway before gaining access to the applications they need. This requirement provides an IT admin the ability to identify users and create policies to restrict access, minimize data loss, and quickly mitigate any issues or threats that may arise.

Many ZTNA products are based on software-defined perimeter (SDP) architectures, which do not provide content inspection, thus creating a discrepancy in the types of protection available for each application. In terms of consistent protection, the organization must build additional controls on top of the ZTNA model and establish inspection for all traffic across all applications.

SASE builds on the ZTNA key principles and applies them across all the other services within a SASE solution. SASE identifies users, devices, and applications, regardless of where they connect from, thus simplifying policy creation and management. SASE removes the complexity of connecting to a gateway by incorporating the networking services into a single unified cloud infrastructure.

A SASE solution should incorporate ZTNA concepts for protecting applications and apply other

security services for the consistent enforcement of DLP and threat prevention policies. Access controls are useful for establishing who a person is, but other security controls also are necessary to make sure that the person's behaviors and actions are not harmful to the organization. And the same controls need to be applied across access to all applications.

Quality of Service

Organizations that transition from MPLS to SD-WAN using broadband services are finding that the service quality varies. Quality of Service (QoS) establishes bandwidth allocation assigned to particular apps and services. Businesses rely on QoS to ensure that their critical apps and services perform adequately (for example, medical equipment or credit card processing services). If these systems were to slow down due to lack of bandwidth, business operations and sales would be severely impacted. QoS prioritizes business-critical apps, based on a ranking system, so that you can choose which apps and services take precedence over others.

QoS is an important step when you begin migrating from MPLS. A SASE solution incorporates QoS services in the cloud, thus allowing you to easily mark sensitive applications (such as VoIP) as higher priority than general internet browsing and entertainment apps.

QoS is immensely important for businesses of any size. Management of QoS traffic and allocation doesn't need to be difficult. SASE enables you to dynamically shape traffic based on the policies that prioritize critical application requirements. Make sure that your SASE solution contains QoS capabilities.

Security-as-a-service layer

The security-as-a-service layer in Prisma Access delivers important SASE capabilities, including:

- DNS security
- Firewall as a service (FWaaS)
- Threat prevention
- Secure web gateway (SWG)
- Data loss prevention (DLP)
- Cloud access security broker (CASB)

DNS security

Every organization uses DNS to translate a domain name into an IP address. DNS is an open service, and by default it cannot detect DNS-based threats. As a result, malicious activity within DNS can be used to propagate an attack.

DNS security protects your users by predicting and blocking malicious domains while neutralizing threats. A SASE solution adopts DNS security features by providing consistent security across the network and users, regardless of their location.

Your SASE solution should contain DNS protections, delivered within the cloud environment as

part of the network access. DNS security should be built in to the solution your branch offices and mobile users use to connect to the internet. The DNS security provided in your SASE solution should leverage a combination of predictive analytics, machine learning, and automation to combat threats in DNS traffic.

Prisma Access delivers the Palo Alto Networks DNS Security service, which provides a combination of predictive analytics, machine learning, and automation to combat threats in DNS traffic. Organizations can block known malicious domains, predict new malicious domains, and stop DNS tunneling.

Firewall as a service

Firewall as a service (FWaaS) is a deployment method for delivering a firewall as a cloud-based service. FWaaS has the same features as a next-generation firewall, but it is implemented in the cloud. Organizations that move the firewall to the cloud can benefit from cost savings by eliminating the need to install or maintain security hardware at branch and retail locations.

A SASE solution incorporates FWaaS into its unified platform. Organizations that encompass the FWaaS service model within a SASE framework can easily manage their deployments from a single platform.

A SASE solution should enable FWaaS capabilities to provide the protection of a next-generation firewall by implementing Network Security policy in the cloud. You must ensure that your SASE solution does not provide only basic port blocking or minimal firewall protections. You need the same features that a next-generation firewall embodies and the features that cloud-based security offers, such as threat prevention services and DNS security.

Prisma Access provides FWaaS, which protects branch offices from threats while providing the security services expected from a next-generation firewall. The full spectrum of FWaaS includes threat prevention, URL filtering, and sandboxing.

Threat prevention

In today's world of small- and large-scale breaches, where ransomware attacks occur daily, threat prevention is important for protecting your organization's data and employees. A variety of threat prevention tools are available, from anti-malware and intrusion prevention to SSL decryption and file blocking, thus providing organizations ways to block threats. However, these point products require separate solutions, thus making management and integration difficult.

Within a SASE solution, all these point products and services now are integrated into a single cloud platform. This integration provides simplified management and oversight of all threats and vulnerabilities across your network and cloud environments.

You must stop exploits and malware by using the latest threat intelligence to protect your data. Your SASE solution should incorporate threat prevention tools into its framework so that you

can react quickly and swiftly to remediate threats. Be sure to check the quality of threat intelligence that is being provided by the vendor. The vendor should be gathering and sharing data from various sources, including customers, other vendors, and other related industry leaders, to provide continuous protection from unknown threats.

The use of Prisma Access for threat prevention combines the proven technologies in the Palo Alto Networks platform with global sources of threat intelligence and automation to stop previously known or unknown attacks.

Secure web gateway

Organizations rely on secure web gateway (SWG) to prevent employees and devices from accessing malicious websites. SWG can be used to block inappropriate content (such as pornography and gambling) or websites that businesses don't want users accessing while at work, such as streaming services such as Netflix. SWG also can be used to enforce an acceptable use policy (AUP) before internet access is granted.

SWG is one of the many security services that a SASE solution must provide. As organizations grow and add ever greater numbers of remote users, coverage and protection become more difficult. A SASE solution moves SWG into the cloud, thus providing protection in the cloud through a unified platform for complete visibility and control over the entire network.

A SASE solution includes the same security services in an SWG, allowing organizations to control access to the web and enforce security policies that protect users from hostile websites. Other security services such as FWaaS, DNS security, threat prevention, DLP, and CASB also should be included.

Prisma Access for SWG functionality is designed to maintain visibility into all types of traffic while stopping evasions that can mask threats. The Palo Alto Networks web filtering capabilities also drive its credential theft prevention technology, which can stop corporate credentials from being sent to previously unknown sites.

Data loss prevention

Data loss prevention (DLP) tools protect sensitive data and ensure that it is not lost, stolen, or misused. DLP is a composite solution that monitors data within the environments where it is deployed (such as networks, endpoints, and clouds) and through their egress points. It also alerts important stakeholders when policies are violated. Due to compliance requirements such as the Health Insurance Portability and Accountability Act (HIPAA), Payment Card Industry Data Security Standard (PCI DSS), and General Data Protection Regulation (GDPR), DLP is a crucial solution needed for data security and compliance. Legacy DLPs rely on old core technology initially designed for on-premises perimeters and subsequently extended and adapted to cloud applications. DLPs are loaded with features, disjointed policies, configurations, and workarounds, and have become very complex, difficult to deploy at scale, and too expensive. Digital transformation and new data use models demand a fresh approach to data protection.

Through the SASE approach, DLP becomes one cloud-delivered solution centralized around the data itself, everywhere. The same policies are consistently applied to sensitive data, whether at rest, in motion, and in use, and regardless of its location. In the SASE architecture, DLP is not a standalone solution anymore but is embedded in the organization's existing control points, thus eliminating the need to deploy and maintain multiple tools. With SASE, organizations finally can enable a comprehensive data protection solution that relies on a scalable and simple architecture and allows effective machine learning by leveraging access to global traffic.

DLP is a necessary tool to protect sensitive data and ensure compliance throughout the organizations. Consequently, the SASE solution must include this core capability. With SASE, DLP is an embedded, cloud-delivered service used to accurately and consistently identify, monitor, and protect sensitive data everywhere across networks, clouds, and users.

Prisma Access combines integration with DLP controls that are API-driven (through Prisma SaaS) and inline (through Prisma Access). These DLP policies allow organizations to categorize data and establish policies that prevent data loss.

Cloud access security broker

Many organizations depend on cloud access security brokers (CASBs) to provide visibility into SaaS application use, understand where their sensitive data resides, enforce company policies for user access, and protect their data from hackers. CASBs are cloud-based security policy enforcement points that provide a gateway for your SaaS provider and your employees.

CASB should be another security feature that is part of your SASE solution, creating a single platform for stakeholders to manage security controls. A SASE solution helps you understand which SaaS apps are being used and where data is going, regardless of where users are located.

Your SASE solution should incorporate both inline and API-based SaaS controls for governance, access controls, and data protection. The combination of inline and API-based CASB capabilities is called a multimode CASB and provides superior visibility, management, security, and zero-day protection against emerging threats.

Prisma Access and Prisma SaaS implement security controls that combine inline security API security and contextual controls, acting as a CASB to determine access to sensitive information. These controls are implemented in an integrated manner and applied throughout all cloud application policies.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. What does SASE stand for?
 - A. Service Access SEcurity
 - B. Semi-Accessible Sensitive Environment
 - C. Secrets Accessible in a Secure Environment

- D. Secure Access Service Edge
- 2. Which two types of services does SASE provide? (Choose two.)
 - A. storage
 - B. security
 - C. networking
 - D. compute
- 3. What are the two advantages of SASE? (Choose two.)
 - A. a single physical point of ingress into the organization
 - B. a single logical point of ingress into the organization
 - C. a single physical point of egress out of the organization
 - D. a single logical point of egress from the organization

3.13 Compare sanctioned, tolerated, and unsanctioned SaaS applications

To safely enable SaaS use in your organization, start by clearly defining the SaaS applications that should be used and which behaviors within those applications are allowed. This step requires a clear definition of which applications are:

- **Sanctioned** (allowed and provided by IT)
- **Tolerated** (allowed because of a legitimate business need, with restrictions, but not provided by IT)
- **Unsanctioned**

Sanctioned SaaS applications provide business benefits and are fast to deploy, require minimal cost, and are infinitely scalable. Tolerated SaaS applications fulfill a legitimate business need, but certain use restrictions may be necessary to reduce risk. Unsanctioned SaaS applications either clearly provide no business benefits or the security risks of the application outweigh the business benefits. For example, an unsanctioned SaaS application may violate regulatory compliance mandates, create an unacceptable risk of loss of corporate intellectual property or other sensitive data, or enable malware distribution (see Figure 3-12).

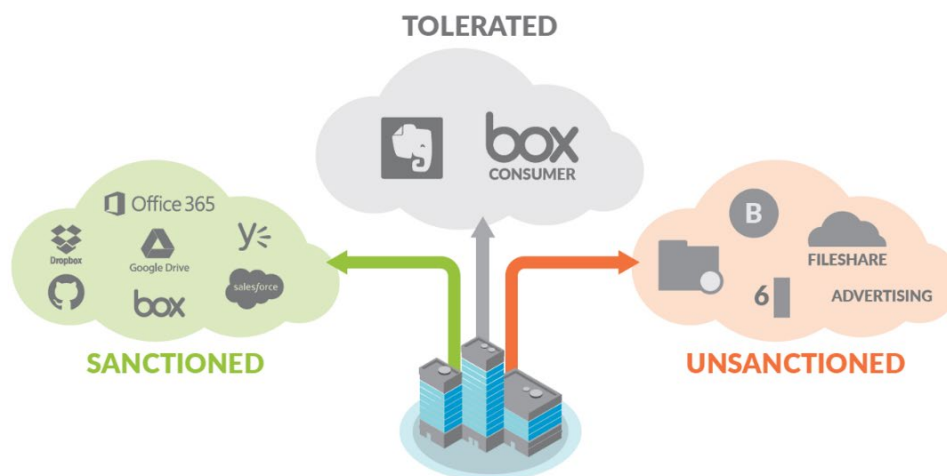


Figure 3-12: Sanctioned and unsanctioned SaaS applications

To control sanctioned SaaS use, an enterprise security solution must provide the following:

- **Threat prevention:** SaaS applications introduce new threat risks that need to be understood and controlled. Many SaaS applications automatically sync files with users, and users often share data in SaaS applications with third parties that are out of an organization's control. These two aspects of SaaS environments create a new insertion point for malware that not only can get in from external shares but also can automatically sync those infected files across the organization without any user intervention. To address SaaS-based malware threats, a security solution must be able to prevent known and unknown malware from residing in sanctioned SaaS applications, regardless of the source.
- **Visibility and data exposure control:** After sanctioned SaaS use is defined and controlled with a granular policy, data residing in those SaaS applications no longer is visible to the organization's perimeter firewalls. This loss of visibility creates a blind spot for IT. Additional data exposure controls are needed to specifically address the unique risks associated with SaaS environments, with a focus on data protection. Visibility of data stored and used in SaaS applications is critical to ensuring a deep understanding of users, the data they have shared, and how they have shared it.
- **Risk prevention, not just risk response:** An organization's users commonly use certain SaaS applications long before the organization officially sanctions those applications. Even after a SaaS application is sanctioned, data often is shared with third parties that don't necessarily have next-generation security solutions to effectively safeguard SaaS data from malware threats and data exposure risks. Threat prevention and data exposure control in a SaaS-based environment require visibility and control not just from the time that a SaaS application is sanctioned going forward. You need visibility and control of *all* your data, including data that was being stored and shared before the SaaS application was sanctioned.

Data residing within enterprise-enabled SaaS applications is not visible to an organization's network perimeter. Prisma SaaS connects directly to sanctioned SaaS applications to provide data classification, sharing/permission visibility, and threat detection within the application. This capability yields unparalleled visibility, which allows organizations to inspect content for data exposure violations and control access to shared data via a contextual policy.

Prisma SaaS builds on the existing SaaS visibility and granular control capabilities of the product portfolio provided through App-ID, with detailed SaaS-based reporting and granular control of SaaS use. Figure 3-13 shows an example of the granular controls for SaaS applications supported by App-ID.

Application	Control	Feature
Box	Box – Personal	App-ID
	Box – Corporate	App-ID
	Upload control	File Blocking
	Download control	File Blocking
	Malware detection	WildFire & protection profile
	User-based control	User-ID

Figure 3-13: Example of granular controls supported by App-ID

Prisma SaaS is a completely cloud-based, end-to-end security solution that provides visibility and control within SaaS applications, without the need for any proxies, agents, software, additional hardware, or network changes. Prisma SaaS isn't an inline service, so it doesn't impact latency, bandwidth, or end-user experience. Prisma SaaS communicates directly with the SaaS applications themselves and looks at data from any source, regardless of the device or location from which the data was sent.

SaaS threat prevention

WildFire threat cloud integration with Prisma SaaS provides cyberthreat prevention to block known malware and to identify and block unknown malware. This integration extends the existing integration of WildFire to prevent threats from spreading through the sanctioned SaaS applications, which prevents a new insertion point for malware. When new malware is discovered by Prisma SaaS, the threat information is shared with the rest of the product portfolio, even if it is not deployed inline with the SaaS applications.

Data exposure visibility

Prisma SaaS provides complete visibility across all user, folder, and file activity, which provides detailed analysis that helps you transition from a position of speculation to one of knowing exactly what is occurring in the SaaS environment at any given point. Because you can view deep analytics into day-to-day use, you can quickly determine if there are any data risk or compliance-related policy violations. This detailed analysis of user and data activity allows for granular data governance and forensics.

Prisma SaaS connects directly to the applications themselves, so it provides continuous silent monitoring of the risks within the sanctioned SaaS applications, with detailed visibility that is not possible with traditional security solutions.

Contextual data exposure control

Prisma SaaS enables you to define granular, context-aware policy control that provides you with the ability to drive enforcement and quarantine users and data as soon as a violation occurs. This

control enables you to quickly and easily satisfy data risk compliance requirements such as PCI and PII while still maintaining the benefits of cloud-based applications.

Prisma SaaS prevents data exposure in unstructured (hosted files) and structured (application entries such as Salesforce.com) data. Both data types are common sources of improper data shares.

Advanced document classification

Prisma SaaS inspects documents for common sensitive data strings (such as credit card numbers, SSH keys, and Social Security numbers) and flags them as risks if they are improperly shared. Unique to Prisma SaaS is the ability to identify documents by type, through advanced document classification regardless of the data that is contained in the document itself. Prisma SaaS has been designed to automatically identify sensitive documents, such as those related to medical, tax, and legal issues.

Retroactive policy

A traditional network security solution can see only inline data and apply security policies to data that is accessed inline, after the policy is created. This approach doesn't effectively prevent SaaS data exposure, however, because SaaS data may have been shared long before the policy was created. This data may not be accessed inline for many months or years, thus potentially leaving sensitive data exposed indefinitely to malware infection and unauthorized access.

Prisma SaaS retroactively applies security policies to all users and data from the beginning of the SaaS account's creation, rather than the policy's creation, to identify any potential vulnerabilities or policy violations. Prisma SaaS does not wait for someone to access the data inline to apply policies and resolve any vulnerabilities or violations; SaaS data and shares are proactively discovered, protected, and resolved, regardless of when they were created.

Policies are context-driven to allow for granular definitions of data exposure risks. This granularity is necessary to enable SaaS use by users while still preventing accidental data exposure. Policies take several factors in context to create an overall data exposure risk profile. One or two factors may not provide enough insight into the potential risk of the share. The overall risk of exposure is determined only after the full context of the share is understood.

Risks are calculated by user type, document type, sensitive data contained, how the data is shared, and whether malware is present. This capability provides the ability to control the exposure at a granular level based on several important factors. For example, a financial team may be able to share financial data with other people on its team, but not beyond that. Even though the original share is allowed, the team cannot share data that is infected with malware. The financial team may, however, be allowed to share non-sensitive data company-wide or, in some cases, with external vendors. The key to enabling this level of granularity is the ability to look at the share in the context of all the factors.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. **True or False.** Prisma SaaS is used to protect sanctioned SaaS use, as part of an integrated security solution that includes next-generation firewalls to prevent unsanctioned SaaS use. Prisma SaaS communicates directly with the SaaS applications themselves and therefore does not need to be deployed inline and does not require any software agents, proxies, additional hardware, or network configuration changes.
2. **True or False.** Prisma SaaS protects data in hosted files and application entries.
3. Who is responsible for the software of a sanctioned SaaS application?
 - A. provider
 - B. IT department
 - C. line of business that uses it
 - D. users
4. Who is responsible for the security settings of a sanctioned SaaS application?
 - A. he provider
 - B. IT department
 - C. line of business that uses it
 - D. users

Exam Domain 4 — Elements of Security Operations

4.1 The six essential elements of effective security operations

Security operations (SecOps) is a necessary function for protecting the digital way of life, for global businesses and customers. SecOps requires continuous improvement in operations to handle fast-evolving threats. SecOps needs to arm security operations professionals with high-fidelity intelligence, contextual data, and automated prevention workflows to quickly identify and respond to these threats. SecOps must leverage automation to reduce strain on analysts and execute the Security Operation Center's (SOC) mission to identify, investigate, and mitigate threats. All this continuous improvement features and automation, though necessary, can be very overwhelming for organizations building out a SecOps function or modernizing an existing SOC.

To increase confidence in the ability to quickly stop stealthy attacks and adapt defenses to prevent future attacks, a SecOps function requires the correct set of building blocks. These building blocks include the people, process, and technology aspects required to support the business, the visibility that is required to defend the business, and the interfaces needed with other organizations outside of the SOC. Operations can use these elements to build a SecOps function and improve by increasing automation and accelerating investigations.

SecOps consists of six elements:

1. **Business** (goals and outcomes)
2. **People** (who will perform the work)
3. **Interfaces** (external functions to help achieve goals)
4. **Visibility** (information needed to accomplish goals)
5. **Technology** (capabilities needed to provide visibility and enable people)
6. **Processes** (tactical steps needed to execute on goals)

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which SecOp function is proactive?
 - A. Identify
 - B. Investigate
 - C. Mitigate
 - D. Improve
2. Which SecOp function requires processing large amounts of information, and typically is automated?
 - A. Identify
 - B. Investigate
 - C. Mitigate
 - D. Improve

3. Which three options partially comprise the six elements of SecOps? (Choose three.)
 - A. People
 - B. Networking
 - C. Data storage
 - D. Technology
 - E. Processes
 - F. Classification
4. Which options three partially comprise the six elements of SecOps? (Choose three.)
 - A. Visibility
 - B. Disaster recovery
 - C. Business
 - D. Interfaces
 - E. Regular audits
 - F. Logging

4.2 Describe the purpose of security information and event management (SIEM) and SOAR

A SIEM platform, commercial or homegrown, is used as a central repository to ingest logs from all corporate-owned systems. SIEMs collect and process audit trails, activity logs, security alarms, telemetry, metadata, and other historical or observational data from a variety of different applications, systems, and networks in an enterprise. Most SIEMs also provide correlation capabilities.

Before a SIEM can operate properly, connectors and interfaces are required to ensure translated flow from the system of interest to the SIEM data lake. The SecOps organization should define how ownership of an event will be established, and the central point to where an analyst will go to receive alerts. Sometimes it is the SIEM and in other cases it is a security orchestration, automation, and response (SOAR) platform or ticketing system.

The selected SIEM approach should address any governance, risk, and compliance requirements for the separation of data, privacy, and retention times, which will drive requirements on storage space and controls. Limitation of data redundancy between the SIEM and feeder systems can help control costs and offline storage for long-term compliance needs.

Security orchestration, automation, and response

According to Gartner:

“SOAR refers to technologies that enable organizations to collect inputs monitored by the security operations team. For example, alerts from the SIEM system and other security technologies — where incident analysis and triage can be performed by leveraging a combination of human and machine power — help define, prioritize and drive standardized incident response activities. SOAR tools allow an organization to define incident analysis and response procedures in a digital workflow format.

SOAR systems allow for accelerated incident response through the execution of standardized and

automated playbooks that work upon inputs from security technology and other data flows. SOAR tools ingest aggregated alerts from detection sources (such as SIEMs, network security tools, and mailboxes) before executing automatable, process-driven playbooks to enrich and respond to these alerts. The playbooks coordinate across technologies, security teams, and external users for centralized data visibility and action. They help accelerate incident response times and increase analyst productivity. They standardize processes and thus provide consistency, which improves operational confidence in SOC capabilities (see Figure 4-1).

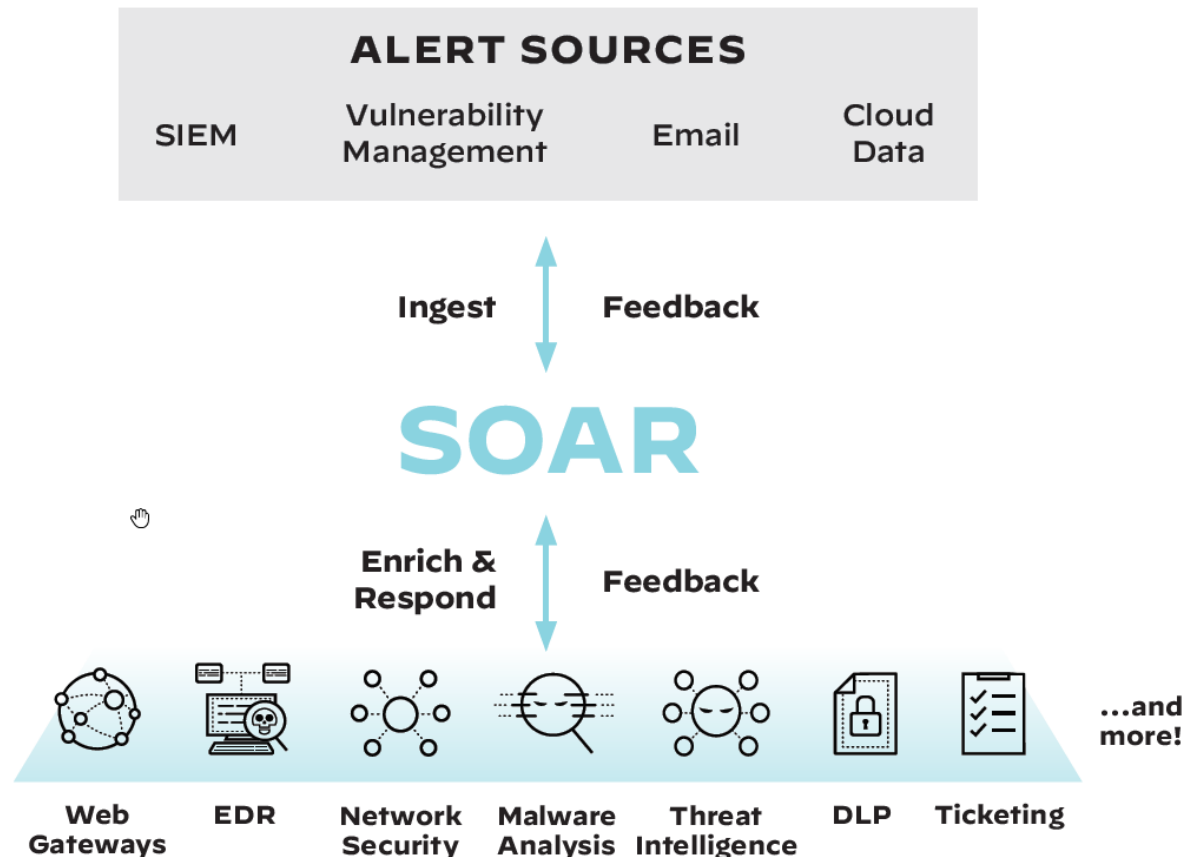


Figure 4-1: High-level view of how SOAR tools sit in a SOC

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. What does SOAR stand for?
 - A. security operations automation for reaction
 - B. secure operations and research
 - C. security operations, analysis, and research
 - D. security orchestration, automation, and response
2. What is the relationship between SIEM and SOAR?
 - A. SIEM products implement the SOAR business process.
 - B. SIEM and SOAR are different names for the same product category.
 - C. SIEM systems collect information to identify issues that SOAR products help

- mitigate.
 - D. SOAR systems collect information to identify issues that SIEM products help mitigate.
3. What is the advantage of automated responses over manual responses?
- A. speed
 - B. accuracy
 - C. flexibility
 - D. user friendliness

4.3 Describe the analysis tools used to detect evidence of a security compromise

Analysis tools include advanced techniques, tools, and algorithms that provide the ability to detect evidence of security compromise within large volumes of data. Processes should be defined for how an analyst will determine whether an alert is malicious and the chosen tools should assist or automate this process. The tools also should provide access to gather context, preferably automated about the given event. Ownership, budget, and the support model for the tools need to be defined.

Analysis tools often are based on machine learning, deep learning, and artificial intelligence that provide either standalone, embedded, or add-on functionality to detect evidence of a security compromise. Security analytics can be performed on data that is either stored at rest or collected in motion, even at line speed on a massive network. This capability can be obtained by SecOps teams in a variety of different ways with most security products and service including some sort of security analytics function.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. Which environment allows you to install an appliance that sees all traffic?
 - A. LAN when people work from home
 - B. non-virtualized data center
 - C. virtualized data center
 - D. VPC network
2. An analysis tool raised an alert, but the security analyst who researched it discovered it wasn't a problem. Which type of finding is this?
 - A. false positive
 - B. true positive
 - C. false negative
 - D. true negative
3. An analysis tool's machine learning identified, correctly, that the network is infected by a worm. Which type of finding is this?
 - A. false positive
 - B. true positive
 - C. false negative
 - D. true negative

4.4 Describe the features of Cortex XDR endpoint protection technology

Adversary strategies have evolved from simple malware distribution to a broad set of automated, targeted, and sophisticated attacks that can bypass traditional endpoint protection. This evolution has forced organizations to deploy multiple products from different vendors to protect against, detect, and respond to these threats. Cortex XDR brings powerful endpoint protection together with endpoint detection and response (EDR) in a single agent. You can replace all your traditional antivirus agents with one lightweight agent that shields your endpoints from the most advanced adversaries by understanding and blocking all elements of attacks.

Due to the fundamental differences between malware and exploits, effective prevention must protect against both. The Cortex XDR agent combines multiple methods of prevention at critical phases within the attack lifecycle to halt the execution of malicious programs and stop the exploitation of legitimate applications, regardless of operating system, the endpoint's online or offline status, and whether the endpoint is connected to an organization's network or roaming (see Figure 4-2).

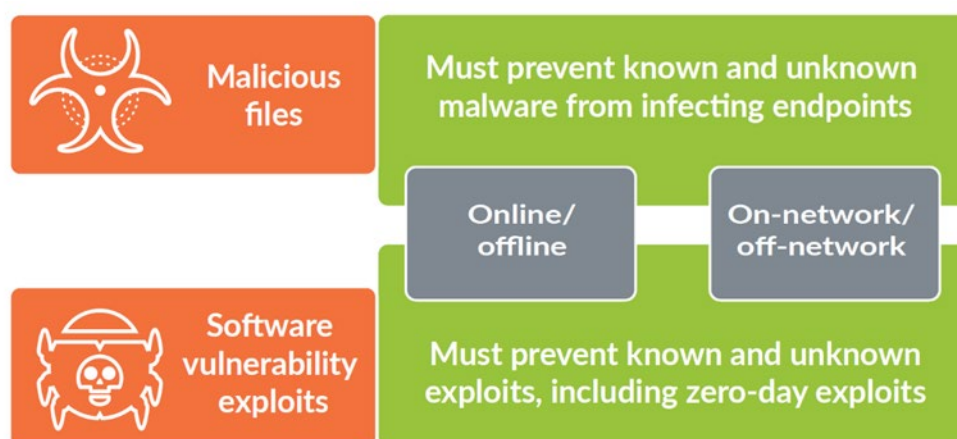


Figure 4-2: Malicious files vs. exploits

Stop malware and ransomware

The Cortex XDR agent prevents the execution of malicious files with an approach customized to combating both traditional and modern attacks. Administrators also can use periodic scanning to identify dormant threats, comply with regulatory requirements, and accelerate incident response with endpoint context. The Cortex XDR agent also performs scheduled or on-demand scans for dormant malware in malicious Office files with macros, executable files, and DLLs, to remediate them without the malicious files being opened. Known and unknown malware, including ransomware, is subject to multiple preventive technologies (see Figure 4-3).

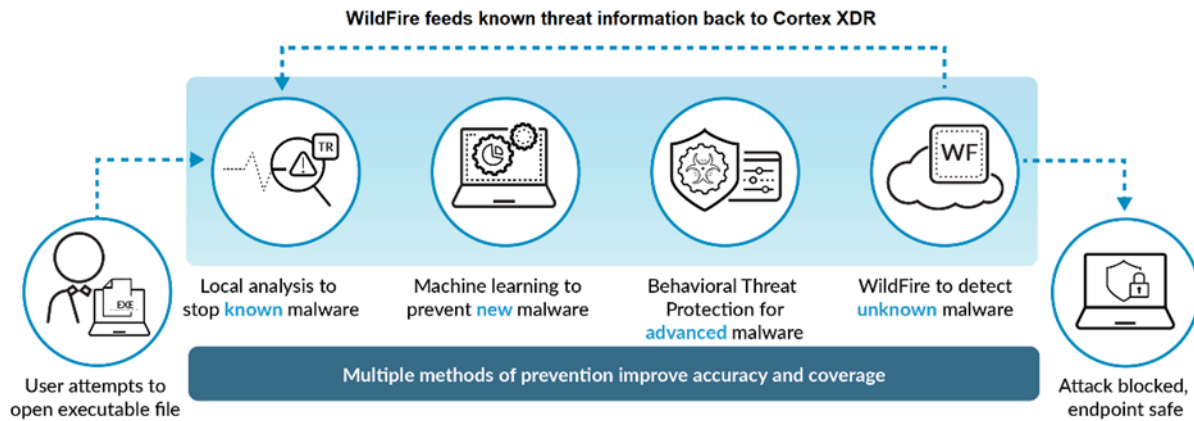


Figure 4-3: Cortex XDR leverages multiple technologies and techniques to protect endpoints from known and unknown malware.

WildFire threat intelligence

In addition to third-party feeds, Cortex XDR uses the intelligence obtained from tens of thousands of subscribers to the Palo Alto Networks WildFire malware prevention service to continuously aggregate threat data and maintain the collective immunity of all users across endpoints, networks, and cloud applications:

1. Before a file runs, the Cortex XDR agent queries WildFire with the hash of any Windows, macOS, or Linux executable file, and any dynamic link library (DLL) or Office macro, to assess its standing within the global threat community. WildFire returns a near-instantaneous verdict on whether a file is malicious or benign.
2. If a file is unknown, the Cortex XDR agent proceeds with additional prevention techniques to determine whether it is a threat that should be blocked.
3. If a file is deemed malicious, the Cortex XDR agent automatically terminates the process and (optionally) quarantines the file.

Local analysis and machine learning

If a file remains unknown after the initial hash lookup, the Cortex XDR agent uses local analysis via machine learning on the endpoint trained by the rich threat intelligence from global sources, including WildFire, to determine whether the file can run. By examining thousands of file characteristics in real time, local analysis can determine whether a file is likely malicious or benign without relying on signatures, scanning, or behavioral analysis. The model is built on a unique agile framework, thus enabling continuous updates to ensure that the latest local prevention always is available.

Behavioral Threat Protection

Sophisticated attacks that use multiple legitimate applications and processes for malicious operations have become more common, are difficult to detect, and require deeper visibility to correlate malicious behavior. Before behavior-based protection can be effective, including identification of malicious activity occurring within legitimate processes, you must understand

everything happening on the endpoint. The Cortex XDR agent enacts behavior-based protection in a few different ways.

Endpoint attacks often comprise multiple events that occur in the system. Each event by itself appears benign as attackers use legitimate applications and operating system functions to achieve their goal. However, a collection of events may represent a malicious event flow. With Behavioral Threat Protection, the Cortex XDR agent can detect and act on malicious chains of events that target multiple operations on an endpoint, such as network, process, file, and registry activity (see Figure 4-4). When the Cortex XDR agent detects a match, it executes a policy-based action, such as “block” or “alert.” It also reports the behavior of the entire event chain to the console and identifies the actor that caused the activity chain. The Cortex XDR agent also can quarantine files that were involved in malicious flows. Behavioral Threat Protection is ideal for protecting against script-based and fileless attacks.

Figure 4-4: Behavioral Threat Protection with Cortex XDR

The granular *child process* protection module prevents script-based attacks used to deliver malware by blocking known targeted processes from launching child processes that commonly are used to bypass traditional security approaches. The Cortex XDR agent prevents script-based and fileless attacks by default with out-of-the-box, fine-grained controls over the launching of legitimate applications, such as script engines and command shells, and continues to expand these controls through regular content updates. Administrators have additional flexibility and control with the ability to allow or block child processes, along with command line comparisons, to increase detection without negatively affecting process performance or shutting down processes.

Key Terms

- In multitasking operating systems, a **child** process is a subprocess created by a parent process that is running on the system.

The behavior-based ransomware protection module protects against encryption-based behavior associated with ransomware by analyzing and stopping ransomware activity before any data loss occurs. To combat these attacks, Cortex XDR employs decoy files to attract the ransomware. When the ransomware attempts to write to, rename, move, delete, or encrypt the decoy files, the Cortex XDR agent analyzes the behavior and prevents the ransomware from encrypting and holding files hostage. When the Cortex XDR agent is configured to operate in prevention mode, it blocks the process attempting to manipulate the decoy files. When you configure this module in notification mode, the agent logs a security event.

WildFire inspection and analysis

In addition to local analysis, Cortex XDR can send unknown files to WildFire for discovery and deeper analysis to rapidly detect potentially unknown malware. WildFire brings together the

benefits of independent detection techniques for high-fidelity and evasion-resistant discovery that goes beyond legacy approaches. Among these techniques:

- Static analysis is a powerful form of analysis, based in the cloud, that detects known threats by analyzing the characteristics of samples before execution.
- Dynamic analysis (sandboxing) detonates previously unknown submissions in a custom-built, evasion-resistant virtual environment to determine real-world effects and behavior.
- Bare-metal analysis uses a hardware-based analysis environment specifically designed for advanced threats that exhibit highly evasive characteristics and can detect virtual analysis.

If WildFire determines a file to be a threat, it automatically creates and shares a new prevention control with the Cortex XDR agent and other Palo Alto Networks products in minutes to ensure that the threat is immediately classified as malicious and blocked if it is encountered again.

Block exploits and fileless threats

The Cortex XDR agent does not rely on signatures or behavior-based detection to identify exploit-based attacks. It takes the unique approach of targeting the limited set of techniques, or tools, any exploit-based attack must use to manipulate a software vulnerability. By preventing the use of these techniques, instead of identifying each individual attack, the Cortex XDR agent uses multiple methods to prevent zero-day exploits and protect unpatched systems, shadow IT (or applications IT is unaware of), and unsupported legacy systems.

Pre-exploit protection

The Cortex XDR agent prevents the vulnerability-profiling techniques exploit kits use before launching attacks. By blocking these techniques, the agent prevents attackers from targeting vulnerable endpoints and applications, effectively stopping the attacks before they begin.

Technique-based exploit prevention

The Cortex XDR agent prevents known, zero-day, and unpatched vulnerabilities by blocking the exploitation techniques attackers use to manipulate applications (see Figure 4-5). Although there are thousands of exploits, they typically rely on a small set of exploitation techniques that change infrequently. By blocking these techniques, Cortex XDR prevents exploitation attempts before endpoints can be compromised.

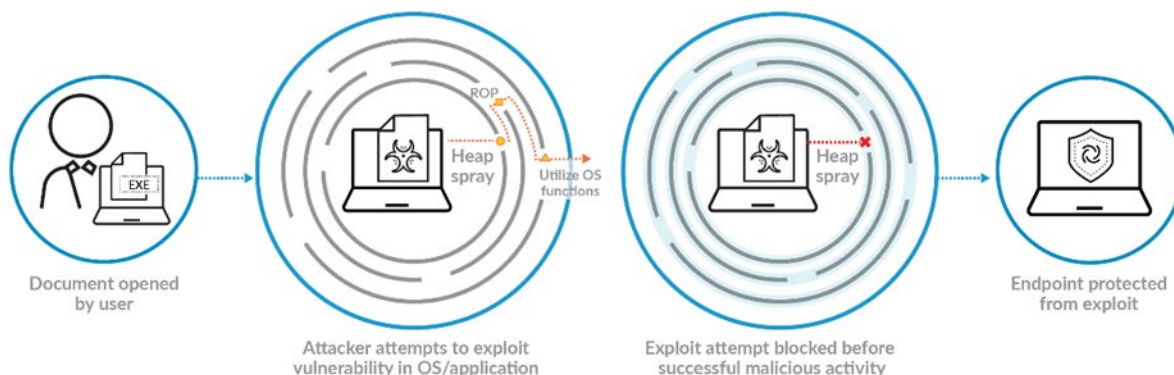


Figure 4-5: Cortex XDR focuses on exploit techniques rather than on the exploits themselves.

Kernel exploit prevention

The Cortex XDR agent prevents exploits that use vulnerabilities in the operating system kernel to create processes with escalated, system-level privileges. It also protects against new exploit techniques used to execute malicious payloads, such as those seen in the 2017 WannaCry and NotPetya attacks. The Cortex XDR agent blocks processes from accessing the injected malicious code from the kernel and thus can stop an attack early in the attack lifecycle without affecting legitimate processes. This capability enables the agent to block advanced attacks that target or stem from the operating system itself.

By blocking the techniques common to exploit-based attacks, the Cortex XDR agent allows customers to:

- **Protect applications that can't be patched and shadow IT applications.** The Cortex XDR agent enables organizations to run any applications, including those developed in-house, no longer receiving updates or security support, or running in the environment without IT's awareness, without opening the network to the threat of exploit-based attacks.
- **Prevent successful zero-day exploits.** Because the Cortex XDR agent blocks the limited set of exploitation techniques that zero-day exploits typically use, it protects organizations against attacks that utilize zero-day exploits.
- **Eliminate the need to urgently patch applications.** Organizations using the Cortex XDR agent can apply security patches when it is best for the business and after sufficient testing. It prevents the exploitation of application vulnerabilities regardless of when an organization applies security patches issued by application vendors.

Credential theft protection

Attackers steal credentials to impersonate valid users, move uninterrupted through targeted organizations' networks, and find and exfiltrate valuable data. The Cortex XDR agent prevents credential theft tools such as Mimikatz from accessing system passwords, thus ensuring that adversaries and malicious insiders cannot misuse credentials or escalate privileges. For additional credential theft protection, Cortex XDR can collect endpoint events, profile behavior,

and detect credential-based attacks to eliminate difficult-to-find attacks.

Investigate and respond to attacks

To facilitate faster investigation and response, Cortex XDR offers administrators and incident response teams multiple means to further their investigations, collect necessary information, and make any necessary changes to the endpoint in question (see Figure 4-6).

NAME	DESCRIPTION	SIGNATURE	THREAT INTELLIGENCE
7c296baa7d925c... (7c296...)	Process involved in 7 Alerts	Unsigned	WF Malware VT 62/71 AF +2
MSWDM.EXE (4f8558c017...)	Process involved in 5 Alerts	Unavailable	WF Malware VT 62/71 AF +2
explorer.exe (0a8ce026714e03...)	Process involved in 4 Alerts	✓ Microsoft Corp...	WF Benign VT 0/72 AF +1

ASSETS	Alerts
Randall-win7	8 Alerts
acme.com/Administrator	5 Alerts
ACME\Administrator	3 Alerts

	TIMESTAMP	HOST	USER NAME	SEVERITY	ALERT SOURCE	ACTION	CATEGORY
•	Dec 19th 2019 16:15:09	Randall-win7	ACME\Administrator	Medium	XDR Agent	Prevented (Blocked)	Malware
•	Dec 19th 2019 16:15:09	Randall-win7	ACME\Administrator	High	XDR Agent	Prevented (Blocked)	Malware
•	Dec 17th 2019 07:47:40	Randall-win7	acme.com/Administrator	Medium	XDR Agent	Prevented (Blocked)	Malware
•	Dec 17th 2019 07:38:58	Randall-win7	acme.com/Administrator	High	XDR Agent	Prevented (Blocked)	Malware
•	Dec 17th 2019 07:38:51	Randall-win7	acme.com/Administrator	High	XDR Agent	Prevented (Blocked)	Malware
•	Dec 17th 2019 07:38:49	Randall-win7	acme.com/Administrator	High	XDR Agent	Prevented (Blocked)	Malware
•	Dec 17th 2019 07:38:49	Randall-win7	acme.com/Administrator	Medium	XDR Agent	Prevented (Blocked)	Malware
•	Dec 6th 2019 12:54:21	Randall-win7	ACME\Administrator	Medium	XDR Agent	Prevented (Blocked)	Malware

Figure 4-6: Investigate and respond to attacks

When remediation on the endpoint is needed following an alert or investigation, administrators can take the following actions:

- **Isolate endpoints** by disabling all network access on compromised endpoints except for traffic to the Cortex XDR management console, thus preventing these endpoints from communicating with and potentially infecting other endpoints
- **Terminate processes** to stop any running malware from continuing to perform malicious activity on the endpoint
- **Block additional executions** of a given file by blocking it in the policy
- **Quarantine malicious files** and remove them from their working directories if the Cortex XDR agent has not already quarantined the files
- **Retrieve specific files** from endpoints under investigation for further analysis
- **Directly access endpoints with Live Terminal**, gaining the most flexible response actions in the industry to run Python, PowerShell, or system commands or scripts; review and manage active processes; and view, delete, move, or download files
- **Orchestrate response with open APIs** that allow third-party tools to apply enforcement policies and collect agent information from any location

Extending prevention beyond Windows environments

Although native security has grown among major operating system vendors, such security remains focused on its own OS, thus creating fragmented protection, policies, enforcement, and visibility. Organizations need to be able to apply security rules across a mixed environment from a single screen and protect against a range of threats, from basic to advanced.

Organizations can use the Cortex XDR console to control default and custom security policies across Windows, macOS, Linux, and Android endpoints with confidence that multiple methods of protection are keeping their systems safe from attack.

Cortex XDR for macOS

Cortex XDR secures macOS systems against malware and exploits with more than just “check box” security. The Cortex XDR agent uses multiple methods such as local analysis, WildFire inspection and analysis, Gatekeeper enhancements, trusted publisher identification, and administrator override policies to block malware. To prevent exploits, the agent blocks kernel privilege escalation and exploitation techniques, including *JIT* and *ROP* and *dylib hijacking*.

The Cortex XDR agent prevents attackers from bypassing the macOS digital signature verification mechanism, Gatekeeper. This mechanism allows or blocks the execution of applications based on their digital signatures, which are ranked in three signature levels: Apple System, Mac App Store, and Developers. It extends Gatekeeper functionality to enable customers to specify whether to block all child processes or to allow only those with signature levels that match or exceed those of their parent processes.

Cortex XDR for Android

The Cortex XDR agent prevents known malware and unknown Android Package Kit (APK) files from running on Android endpoints. It enforces your organization’s security policy as defined in the Cortex XDR console. The security policy determines whether to block known malware and unknown files, upload unknown files for in-depth inspection and analysis, treat malware as grayware, or perform local analysis to determine the likelihood that unknown files are malware. You also can allow trusted signers to enable unknown, signed apps to run before the Cortex XDR agent receives an official verdict for the app.

Key Terms

- An ***Android Package Kit (APK)*** file is an app created for the Android mobile operating system.

Cortex XDR for Linux

The Cortex XDR agent protects Linux servers by preventing attackers from executing malicious ELF files or exploiting known or unknown Linux vulnerabilities to compromise endpoints. The agent also extends protection to processes that run in Linux containers. The Cortex XDR agent enforces your organization’s security policy as defined in the Cortex XDR console. When a

security event occurs on your Linux server, the Cortex XDR agent collects forensic information that you can use to analyze the incident further. The Cortex XDR agent on Linux operates transparently in the background as a system process. After you install it on a Linux server, it automatically protects any new or existing containerized processes regardless of how the container is deployed and managed.

Device control for secure USB access

USB devices offer a variety of benefits, but they also introduce risk. When users unwittingly connect malware-laden flash drives to their computers or copy confidential data to backup disk drives, they expose their organizations to attack and data loss. Advanced attackers even can infect seemingly innocuous USB devices such as keyboards and webcams with malware. The powerful device control module included with Cortex XDR allows you to monitor and secure USB access without needing to install another endpoint agent on all your hosts. You can assign policies based on Active Directory group and organizational unit, restrict use by device type, and assign read-only or read/write policy exceptions by vendor, product, and serial number. The device control module allows you to easily manage USB access and gain assurance that you've mitigated USB-based threats.

Simple endpoint security management

Cortex XDR has an intuitive, web-based user interface (see Figure 4-7) that helps administrators quickly coordinate and protect the organization with out-of-the-box, day-one capabilities, without sacrificing a complex environment's need for control and customization.

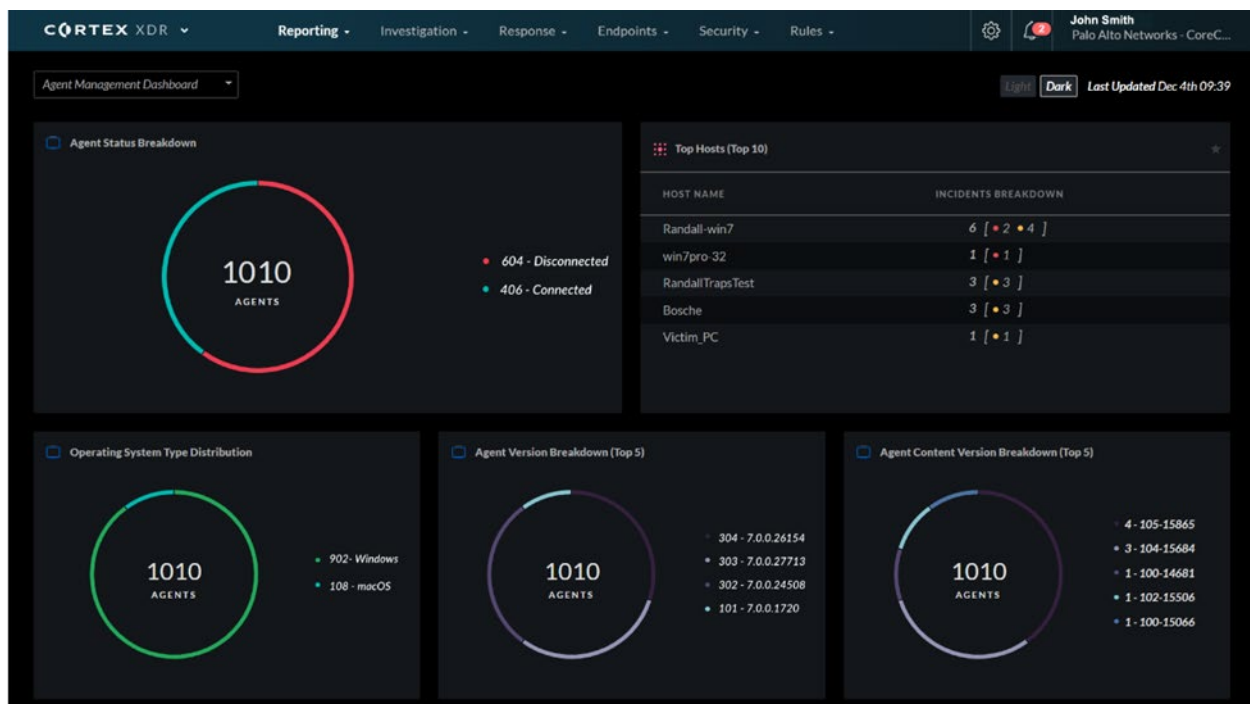


Figure 4-7: The Cortex XDR dashboard

Cloud-based management

The multiregion, cloud-based Cortex XDR service saves you from investing in building out your own global security infrastructure and complements the suite of Palo Alto Networks products for additional integration and value. The service is simple to deploy and requires no server licenses, databases, or other infrastructure to get started, thus enabling your organization to protect hundreds or millions of endpoints without incurring additional operating costs.

Intuitive interface

Cortex XDR was designed to address security teams' growing responsibilities with an interface that eases management of policies and events and accelerates incident response. Cortex XDR combines endpoint policy management, detection, investigation, and response in one web-based management console to provide a seamless platform experience. You can quickly assess security status with customizable dashboards and summarize incidents and security trends with graphical reports that can be scheduled or generated on demand. You also can deploy and upgrade Cortex XDR agents easily from a central location.

Cortex XDR elements include:

- **Multiple grouping methods**, including static groups or dynamic groups. Dynamic grouping can be based on endpoint characteristics such as a partial hostname or alias, full or partial domain or workgroup name, IP address, range or subnet, installation type such as VDI, agent version, endpoint type, or operating system version.
- **Security profiles and simplified, rule-based policies** to protect endpoints out-of-the-box while enabling granular customization for sensitive departments or individuals and easy re-use of settings across different endpoint groups
- **Incident management** to help identify high-priority events and enable teams to communicate on status, progress, and other useful information. Integrated WildFire analysis displays information such as hash values, targeted users, applications, processes, and URLs involved in delivery or phone-home activities for incident response.

Benefits of a connected platform

By tightly integrating with the Palo Alto Networks suite of products, the Cortex XDR agent continuously exchanges threat information and data with WildFire – and endpoint incident and event logs with Cortex Data Lake, a cloud-based data collection, storage, and analysis service – to help your organization coordinate and automate enforcement across your entire security ecosystem, including endpoints, networks, and clouds.

Native integration for fast investigation and response

The data collected from the Cortex XDR agent is stored in Cortex Data Lake, which delivers efficient log storage that scales to handle the large volume of data needed for analytics, detection, and response. You can quickly deploy Cortex XDR and Cortex Data Lake, thus avoiding the time-consuming process of setting up new equipment.

Cortex XDR eliminates on-premises log storage and additional sensors and enforcement points

and thus can reduce total cost of ownership by 44 percent on average. Cortex XDR also boosts the productivity of your security operations team by automatically detecting attacks and accelerating investigations.

Cortex XDR is the world's first detection and response app that breaks silos by natively integrating endpoint, cloud, and network apps to stop sophisticated attacks. Cortex supports data from Palo Alto Networks Next-Generation Firewalls, Prisma Access, and Cortex XDR agents, in addition to third-party alerts and logs (see Figure 4-8). It speeds alert triage and incident response by providing a complete view of an attack, including root cause, and stitching together the sequence of events to simplify investigations. Intelligent alert grouping and deduplication reduce the number of individual alerts to review by 98 percent, thus alleviating alert fatigue.

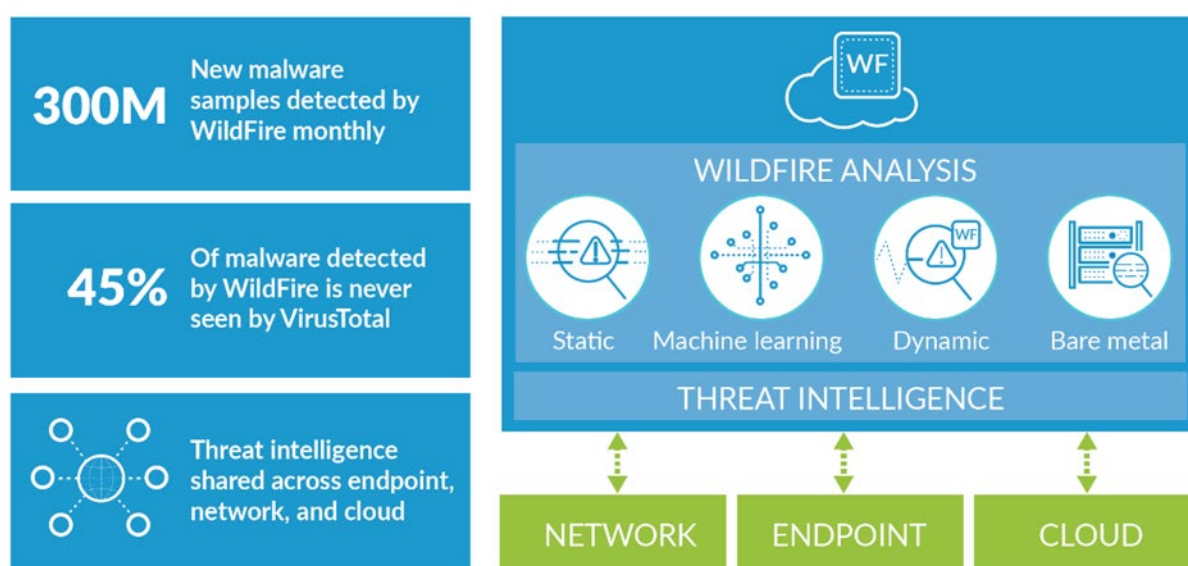


Figure 4-8: Native integration with network, endpoint, and cloud apps and WildFire threat intelligence

Cortex XDR reduces the time and experience required at every stage of security operations, from triage to threat hunting. Cortex XDR uses tight integration with enforcement points such as the Cortex XDR agent to detect and contain threats quickly, and applies the knowledge gained to continually improve your security (see Figure 4-9).

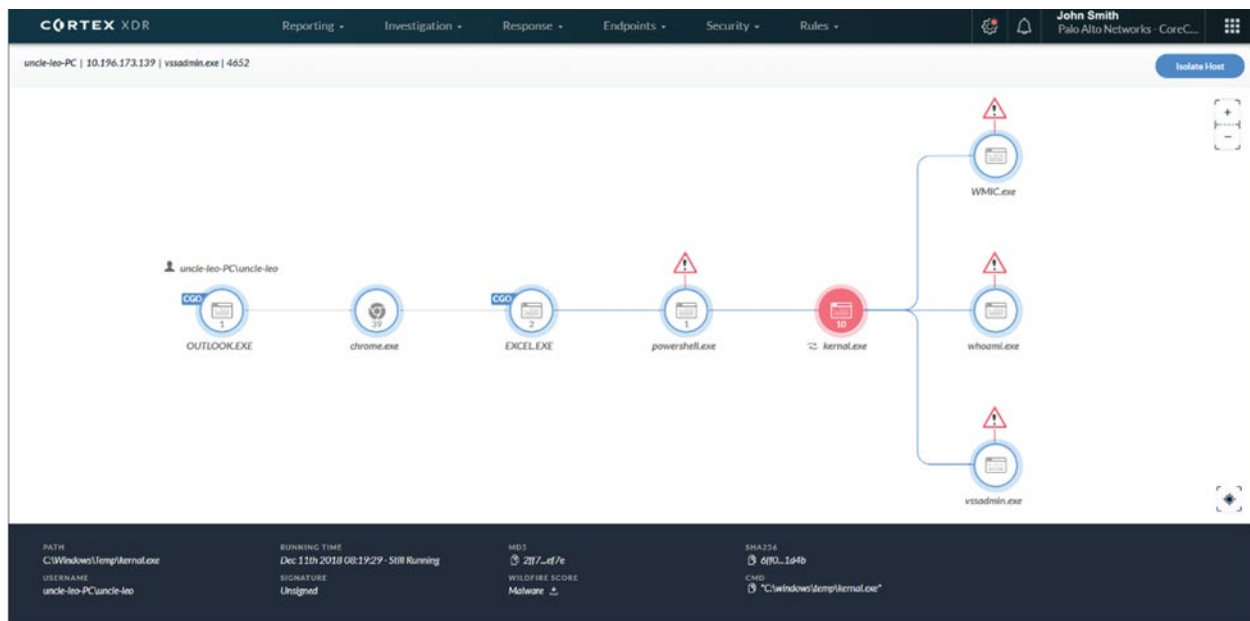


Figure 4-9: Cortex XDR speeds alert triage and incident response.

Coordinated enforcement

The integrated suite of Palo Alto Networks products delivers greater security value than isolated components. Whenever a next-generation firewall sees a new piece of malware, or whenever an endpoint sees a new threat, protections are made available in minutes to all other next-generation firewalls and endpoints running the Cortex XDR agent, requiring no administrative effort, whether it happens at 1 a.m. or 3 p.m. Tight integration between your network, endpoints, and clouds enables a continually improving security posture and provides coordinated enforcement to protect you from zero-day attacks.

Centralized logging across the platform

To surface evasive threats and prevent attacks, your organization must be able to perform advanced analytics and detection and response on all available data. Security applications that perform such analytics need access to scalable storage capacity and processing power.

Cortex Data Lake is a cloud-based storage offering for the context-rich, enhanced network and endpoint logs Palo Alto Networks security products generate, including next-generation firewalls, Prisma Access, and the Cortex XDR agent. The cloud-based nature of Cortex Data Lake allows you to collect ever-expanding volumes of data without needing to plan for local compute and storage.

Cortex XDR uses Cortex Data Lake to store all event and incident data it captures, thus ensuring a clean handoff to other Palo Alto Networks products and services, such as AutoFocus contextual threat intelligence, for further investigation and incident response with endpoint context.

Cortex XDR technical architecture

The architecture of Cortex XDR is optimized for maximum availability, flexibility, and scalability to manage millions of endpoints. It comprises the following components:

- **Cortex XDR endpoint agent:** The endpoint agent consists of various drivers and services, but it requires only minimal memory and CPU use (512MB of RAM and 200MB of disk space) to ensure a non-disruptive user experience. After it's deployed on your endpoints, your administrators have complete control over all Cortex XDR agents in your environment through the Cortex XDR console.
- **Cortex XDR management console:** Cortex XDR is a cloud-based application designed to minimize the operational challenges associated with protecting your endpoints. From the web-based Cortex XDR console, you can manage endpoint security policy, review security events as they occur, identify threat information, and perform additional analysis of associated logs.
- **WildFire malware prevention service:** Cortex XDR can send unknown malware to WildFire. The properties, behaviors, and activities that a sample displays during analysis and execution in the WildFire sandbox help WildFire determine a verdict for the sample: benign, grayware, or malicious. WildFire then generates signatures and makes these globally available every five minutes, thus allowing other Palo Alto Networks products to recognize the newly discovered malware.
- **Cortex Data Lake:** Cortex Data Lake is a scalable, cloud-based log repository that stores context-rich logs generated by Palo Alto Networks security products, including next-generation firewalls, Prisma Access, and Cortex XDR agents. The cloud-based nature of Cortex Data Lake allows you to collect ever-expanding volumes of data without needing to plan for local compute and storage.
- **On-premises broker for restricted networks:** The on-premises broker service extends Cortex XDR agents to devices that cannot directly connect to the internet. Agents can use the broker service as a communication proxy to the Cortex XDR management service, receive the latest security console, and send content to Cortex Data Lake and WildFire without having to directly access the internet.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. **True or False:** The key to Cortex XDR is blocking core exploit and malware techniques, not individual attacks.
2. Which two advantages does endpoint protection technology have over network traffic analysis? (Choose two.)
 - A. ability to identify most common attacks by their symptoms
 - B. Deployed and managed centrally
 - C. easier to deploy endpoint protection when people work from home
 - D. detects command and control channels
 - E. can easily identify worms
3. What is the order in which the endpoint checks if a new program is safe?
 - A. behavioral threat protection, then local analysis, then WildFire query
 - B. local analysis, then behavioral threat protection, then WildFire query

- C. WildFire query, then local analysis, then behavioral threat protection
- D. local analysis, then WildFire query, then behavioral threat protection
- 4. Of the endpoint checks, which one is bypassed for known programs?
 - A. WildFire query
 - B. behavioral threat protection
 - C. local analysis
 - D. firewall analysis
- 5. Which three operating systems are supported by Cortex XDR? (Choose three.)
 - A. z/OS
 - B. Linux
 - C. macOS
 - D. Minix
 - E. Android

4.5 Describe how Cortex XSOAR improves SOC efficiency and how Cortex Data Lake improves SOC visibility

Security teams lack the people and scalable processes to keep pace with an overwhelming volume of alerts and endless security tasks. Analysts waste time pivoting across consoles for data collection, determining false positives, and performing manual, repetitive tasks throughout the lifecycle of an incident.

Cortex XSOAR enhances Security Operations Center (SOC) efficiency with the world's most comprehensive operating platform for enterprise security. Cortex XSOAR unifies case management, automation, real-time collaboration, and native threat intel management in the industry's first extended security orchestration, automation, and response (SOAR) offering. Teams can manage alerts across all sources, standardize processes with playbooks, take action on threat intelligence, and automate response for any security use case, resulting in up to 90 percent faster response times and as much as a 95 percent reduction in alerts requiring human intervention.

Cortex XSOAR ingests aggregated alerts and indicators of compromise (IoCs) from detection sources such as security information and event management (SIEM) solutions, network security tools, threat intelligence feeds, and mailboxes before executing automatable, process-driven playbooks to enrich and respond to these incidents (see Figure 4-10). These playbooks coordinate across technologies, security teams, and external users for centralized data visibility and action.

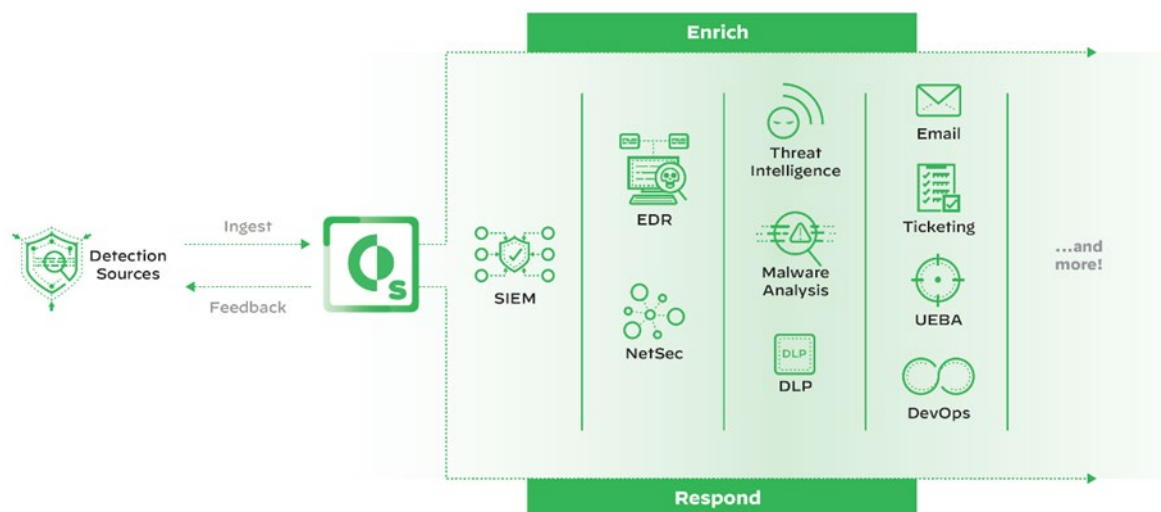


Figure 4-10: Cortex XSOAR ingests alerts and IoCs from multiple detection sources and executes playbooks to enrich and respond to incidents.

Cortex XSOAR empowers security professionals to efficiently carry out security operations and incident response by streamlining security processes, connecting disparate security tools, and maintaining the correct balance of machine-powered security automation and human intervention.

Knowledge Check

Test your understanding of the fundamentals in the preceding section. Review the correct answers in Appendix A.

1. What does Cortex XSOAR use to automate security processes?
 - A. bash scripts
 - B. Windows PowerShell
 - C. playbooks
 - D. Python scripts

4.6 Explain how AutoFocus gains threat intelligence for security analysis and response

Highly automated and increasingly sophisticated cyberattacks are occurring in greater volume than ever before. Overburdened security teams futilely attempt to investigate every threat in the enterprise network and have little time to analyze and understand truly advanced attacks.

Palo Alto Networks AutoFocus enables a proactive, prevention-based approach to network security that puts automation to work for security professionals. Threat intelligence from the service is made directly accessible in the Palo Alto Networks platform, including PAN-OS software and Panorama. AutoFocus speeds the security team's existing workflows, which allows for in-depth investigation into suspicious activity, without additional specialized resources.

AutoFocus is built on a large-scale, distributed computing environment hosted in the Palo Alto

Networks Threat Intelligence Cloud. Unlike other solutions, the service makes threat data accessible and actionable at the IoC level and goes beyond simply showing summarized logs from multiple sources in a dashboard. AutoFocus has unprecedented visibility into the threat landscape, with the collective insight of thousands of global enterprises, service providers, and governments feeding the service (see Figure 4-11).

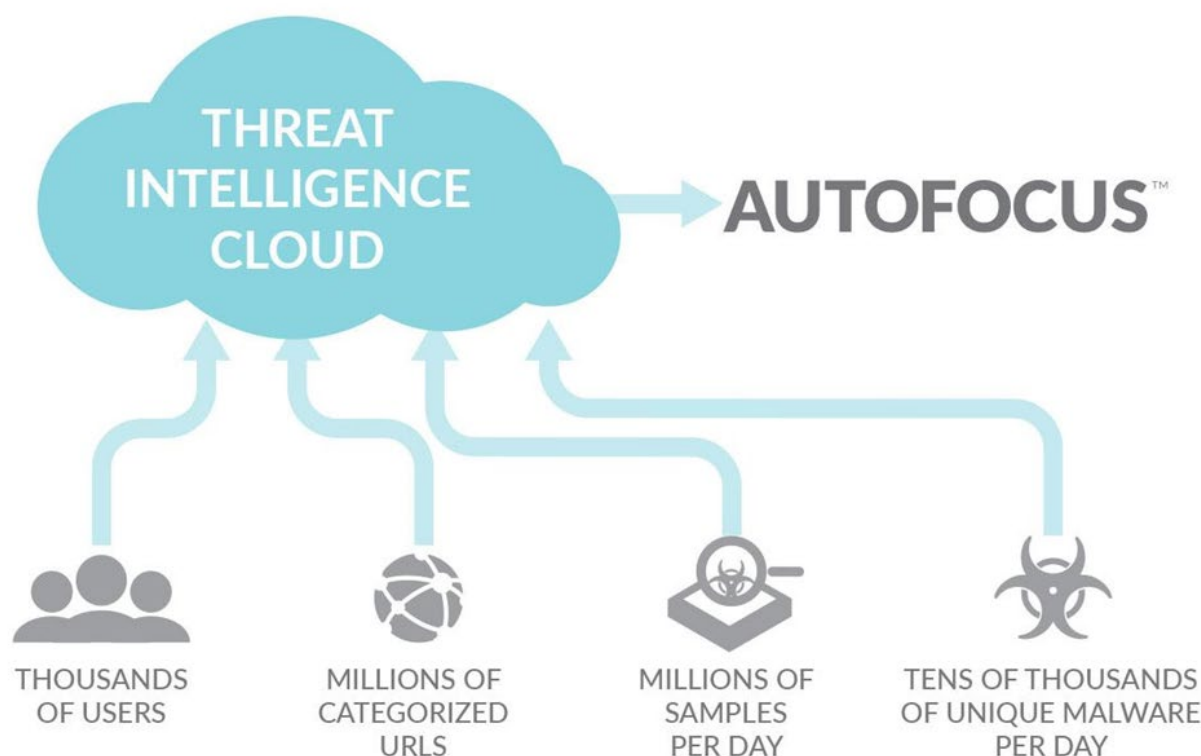


Figure 4-11: Palo Alto Networks AutoFocus Threat Intelligence Cloud

The service correlates and gains intelligence from:

- WildFire
- URL filtering with the PAN-DB service
- Palo Alto Networks global passive DNS network
- Palo Alto Networks Unit 42 threat intelligence and research team
- Third-party feeds, including closed-source and open-source intelligence

AutoFocus makes over a billion samples and sessions, including billions of artifacts, immediately actionable for security analysis and response efforts. AutoFocus extends the product portfolio with the global threat intelligence and attack context needed to accelerate analysis, forensics, and hunting workflows. Together, the platform and AutoFocus move security teams away from legacy manual approaches that rely on aggregating a growing number of detection-based alerts and post-event mitigation, to preventing sophisticated attacks and enabling proactive hunting activities.

Priority alerts and tags

AutoFocus enables you to distinguish the most important threats from everyday commodity attacks, thus contextualizing events on your network with tags. Unique to AutoFocus are tags that enrich your visibility into the most critical threats, with contextual intelligence that lets you know which malware families, campaigns, threat actors, malicious behaviors, and exploits are being used against you.

When a tag matches an event on your network, a priority alert is sent via email, within the AutoFocus dashboard or via HTTP post, with the full tag context included. Alerts are highly customizable, which enhances your existing security workflow with prioritization and context for the most critical threats.

Tags can be created for any host or network-based indicator in AutoFocus to alert you when a specific threat has been observed in your organization or industry. All tags are searchable so that you can quickly identify associated malicious samples or indicators.

As new threats are identified, Palo Alto Networks Unit 42, your own organization, and the global community of AutoFocus experts add new tags to the service. AutoFocus is the primary analysis tool used by Unit 42 to identify new threats, correlate global data, identify connections between malicious samples, and build adversary or campaign profiles.

With AutoFocus and the product portfolio, security teams can:

- Determine how targeted or unique a threat seen on their network is
- Investigate related malicious samples
- Identify suspicious DNS queries with domain resolution history

Threat correlation

When security teams conduct threat analysis, they must quickly identify which IoCs represent the best path to remediation. For an active or ongoing compromise, the speed of investigation and the ability to meaningfully correlate data is critical. Each file has hundreds or, potentially thousands of artifacts, with only a small number of unique IoCs than can be correlated to the larger profile of an adversary or related attacks.

AutoFocus uses an innovative statistical analysis engine to correlate billions of artifacts across a global dataset and bring forward unique IoCs likely associated with targeted attacks. The service automatically applies a unique visual weighting system to identify unique and critical IoCs, which appropriately guides analysis and incident response efforts.

AutoFocus allows you to build sophisticated multilayer searches at the host and network-based artifact levels and target your search within industry, time period, and other filters. These searches allow you to make previously unknown connections between attacks and plan your incident response actions accordingly.

When further analysis is required, security teams can switch between AutoFocus and PAN-OS

software or Panorama, with pre-populated searches for both systems. AutoFocus provides the entirety of Palo Alto Networks threat intelligence, which dramatically reduces the time required to conduct analysis, forensics, and hunting tasks.

Actionable intelligence

Security teams require more than a way to prioritize, analyze, and correlate threat intelligence. They need a way to convert it into actionable controls to prevent future attacks. AutoFocus enables you to create new protections for the product portfolio by exporting high-value IoCs from the service into PAN-OS software External Dynamic Lists to instantly block malicious URLs, domains, and IP addresses. AutoFocus also can export IoCs to third-party security devices via a standard CSV format. Security teams can use AutoFocus to identify unique, targeted attacks against their organization and take direct action to mitigate and prevent them.

Threat analysis, forensics, and incident response teams often rely on a broad range of scripts, open-source tools, security devices, and services to investigate potential security incidents. AutoFocus can dramatically reduce the time required to investigate by enriching third-party services through:

- **Open API support:** The AutoFocus API is built on an easy-to-use *representational state transfer* (RESTful) framework and allows for integrations into hundreds of use cases, such as sending threat intelligence data to existing SIEM tools. This framework makes data available for additional threat analysis or custom threat-blocking automations.
- **Remote sweeping capability:** Security teams can move from indicators in the service to internal and third-party external systems directly from AutoFocus. Teams can define up to 10 external systems, which lets them continue their analysis seamlessly across their entire infrastructure, such as correlating logs from next-generation firewalls or triggering searches in SIEM tools.
- **Support for STIX data format:** AutoFocus provides out-of-the-box integration with *Structured Threat Information Expression* (STIX) infrastructure and makes data available for export in the STIX data format.

Key Terms

- ***Representational state transfer (REST)*** is an architectural programming style that typically runs over HTTP. It commonly is used for mobile apps, social networking websites, and mashup tools.
- ***Structured Threat Information Expression (STIX)*** is an ***Extensible Markup Language (XML)*** format for conveying data about cybersecurity threats in a standardized format.
- ***Extensible Markup Language (XML)*** is a programming language specification that defines a set of rules for encoding documents in a human-readable and machine-readable format.

Threat indicator sharing (MineMeld)

To prevent successful cyberattacks, many organizations collect indicators of compromise (IoCs) from various threat intelligence providers with the intent of creating new controls for their security devices. Unfortunately, legacy approaches to aggregation and enforcement are highly

manual in nature, often creating complex workflows and extending the time needed to identify and validate which IoCs should be blocked.

MineMeld is an open-source application that streamlines the aggregation, enforcement, and sharing of threat intelligence. MineMeld is available directly on GitHub and on prebuilt virtual machines (VMs) for easy deployment. MineMeld has an extensible modular architecture, so anyone can add to its functionality by contributing code to the open-source repository.

MineMeld (see Figure 4-12) supports a variety of use cases, with more being added each day by the community, including:

- Aggregating and correlating threat intelligence feeds
- Enforcing new prevention controls, including IP address blocking
- Evaluating the value of a specific threat intelligence feed for your environment
- Extracting indicators from Palo Alto Networks device logs and sharing them with other security tools
- Sharing indicators with trusted peers
- Identifying incoming sessions from Tor exit nodes for blocking or strict inspection
- Tracking Office365 URLs and IP addresses

Figure 4-12: MineMeld aggregates and correlates threat intelligence feeds.

MineMeld allows you to aggregate threat intelligence across public, private, and commercial intelligence sources, including between government and commercial organizations.

MineMeld simplifies the collection and correlation of intelligence across:

- Commercial threat intelligence feeds
- Open-source intelligence (OSINT) providers
- Threat intelligence platforms
- Information sharing and analysis centers (ISACs)
- Computer emergency response teams (CERTs)
- Other MineMeld users

After indicators are collected, MineMeld can filter, deduplicate, and consolidate metadata across all sources, which allows security teams to analyze a more actionable set of data, enriched from multiple sources, for easier enforcement.

MineMeld natively integrates with the Palo Alto Networks product portfolio to automatically create new prevention-based controls for URLs, IP addresses, and domain intelligence derived from all sources feeding into the tool. Organizations can simplify their workflows for blocking IoCs with External Dynamic Lists and Dynamic Address Groups (DAGs), without spending additional resources to manage block lists, including the automated timeout of expired indicators. MineMeld also integrates with the AutoFocus contextual threat intelligence service to allow

organizations to identify high-value, targeted indicators in AutoFocus and block them on their next-generation firewalls with export lists and MineMeld.

Appendix A: Answers to Knowledge Check Questions

Exam Domain 1 — Fundamentals of Cybersecurity

1.1 Identify Web 2.0/3.0 applications and services

1. **True.** Business intelligence (BI) software consists of tools and techniques used to surface large amounts of raw unstructured data to perform a variety of tasks, including data mining, event processing, and predictive analytics.
2. **True.** The process in which end users find personal technology and apps that are more powerful or capable, more convenient, less expensive, quicker to install, and easier to use than enterprise IT solutions is known as *consumerization*.
3. Which action is associated with Web 1.0?
 - A. **checking CNN's website for news**
 - B. posting on Facebook
 - C. adding information to Wikipedia
 - D. asking Apple's Siri a question
4. Which action is associated with Web 3.0?
 - A. Checking CNN's website for news
 - B. posting on Facebook
 - C. adding information to Wikipedia
 - D. **asking Apple's Siri a question**
5. Gmail is associated with which cloud computing model?
 - A. **SaaS**
 - B. PaaS
 - C. IaaS
 - D. DaaS

1.2 Recognize applications used to circumvent port-based firewalls

1. Which two port numbers are associated with HTTP? (Choose two.)
 - A. **80**
 - B. 389
 - C. **8080**
 - D. 25
2. Which port number is associated with HTTPS?
 - A. 21
 - B. 23
 - C. **443**
 - D. 53
3. Which port is used for encrypted communication?
 - A. **22**
 - B. 80
 - C. 389
 - D. 25
4. Which protocol distinguishes between applications using port numbers?
 - A. **TCP**
 - B. ICMP

- C. ESP
 - D. UDP
5. How do attackers prevent port scans from being noticed by monitoring software?
 - A. **scan ports so quickly it is finished before it can be detected and stopped**
 - B. scan ports so slowly it looks like random attempts to connect, rather than a concerted attack
 - C. scan ports from an internal device
 - D. scan ports through WiFi instead of Ethernet
 6. Which potentially risky attributes is the most serious?
 - A. pervasive
 - B. **malware**
 - C. excessive bandwidth
 - D. tunnels
 7. Which application can be used as a tunnel for other applications?
 - A. Telnet
 - B. SMTP
 - C. HTTPS
 - D. **SSH**
 8. Which two devices or systems require the configuration of non-standard ports to be able to use an application on a non-standard port? (Choose two.)
 - A. firewall
 - B. **client**
 - C. **server**
 - D. operating system
 - E. certificate

1.3 Summarize cloud computing challenges and best practices

1. If you are responsible for the application's security but not the operating system's security, which cloud computing service model are you using?
 - A. your own data center
 - B. IaaS
 - C. **PaaS**
 - D. SaaS
2. Which kind of security always is the responsibility of the cloud customer?
 - A. physical
 - B. network
 - C. application
 - D. **data**

1.4 Identify SaaS application security

1. Where is your data typically stored in a SaaS application?
 - A. in your data center, in a database under your control
 - B. in your data center, in a database controlled by the SaaS provider
 - C. in the cloud, in a database you control
 - D. **in the cloud, in a database controlled by the SaaS provider**

2. Who is responsible for the security settings in an enterprise SaaS application?
 - A. SaaS provider
 - B. IT administrator of the customer organization
 - C. user, typically an employee of the customer organization
 - D. both IT administrators and users**
3. When is it impossible to secure SaaS data?
 - A. when a user uses an unmanaged device to access an unsanctioned SaaS instance**
 - B. when a user uses a managed device to access an unsanctioned SaaS instance
 - C. when a user uses an unmanaged device to access a sanctioned SaaS instance
 - D. when a user uses a managed device to access a sanctioned SaaS instance

1.5 Recognize cybersecurity laws and regulations

1. **True.** An organization can be compliant with all applicable security and privacy regulations for its industry yet still not be secure.
2. Which three data fields are considered personally identifiable information (PII)? Choose three.)
 - A. unique identification number (such as driver's license number)**
 - A. honorific (Mr., Mrs., Dr., etc.)
 - B. telephone number**
 - C. blood pressure (when not connected to other fields)
 - D. fingerprints**
3. Which risk is eliminated in an organization that is 100% compliant?
 - A. having confidential information become public
 - B. having an advanced persistent threat change your information
 - C. having the regulator punish you for being non-compliant**
 - D. having malicious insiders steal information

1.6 List recent high-profile cyberattack examples

1. What does CVE mean?
 - A. Computer Vulnerabilities and their Exploits
 - B. Computer Vulnerabilities and Exposures
 - C. Common Vulnerabilities and Their Exploits
 - D. Common Vulnerabilities and Exposures**
2. What is the difference between CVE and CVSS?
 - A. CVE tells you what the vulnerabilities are. CVSS gives vulnerabilities a score (0-10) to evaluate how serious they are.**
 - B. CVE is on a scale of low, medium, high, critical. CVSS is on a scale of 0-100.
 - C. CVSS tells you what the vulnerabilities are. CVE gives vulnerabilities a score (0-10) to evaluate how serious they are.
 - D. CVE is on a scale of 0-100. CVSS is on a scale of 0-10.

1.7 Discover attacker profiles and motivations

1. **False.** External threat actors have accounted for the majority of data breaches over the past five years.
2. Which group is likely to attack indiscriminately, whether you are a valuable target or not?
 - A. hacktivists
 - B. cybercriminals
 - C. cyberterrorists**
 - D. state-affiliated groups
3. Which group is primarily motivated by money?
 - A. hacktivists
 - B. cybercriminals**
 - C. cyberterrorists
 - D. state-affiliated groups

1.8 Describe the modern cyberattack life-cycle

1. **False.** The cyberattack lifecycle is a seven-step process.
2. **False.** A defender needs to break only a single step in the cyberattack lifecycle framework to prevent an attack from succeeding.
3. **True.** The key to breaking the cyberattack lifecycle during the Installation phase is to implement network segmentation, a Zero Trust model, and granular control of applications to limit or restrict an attacker's lateral movement within the network.
4. Which stage of the cyberattack lifecycle can be identified by port scans from external sources?
 - A. Reconnaissance**
 - B. Weaponization and Delivery
 - C. Exploitation
 - D. Installation
5. Which stage of the cyberattack lifecycle involves querying public databases and testing exploits in the attacker's internal network?
 - A. Reconnaissance
 - B. Weaponization and Delivery**
 - C. Exploitation
 - D. Installation
6. Which step is involved in getting malware to run on the inside of the targeted organization?
 - A. Weaponization and Delivery
 - B. Exploitation and Installation**
 - C. Command and Control
 - D. Actions on the Objective
7. In which stage of the cyberattack lifecycle would you identify unusual communication between an internal database that should not access the internet and an external server?
 - A. Exploitation
 - B. Installation

- C. **Command and Control**
- D. Actions on the Objective

1.9 Classify malware types

1. Which two malware types are self-replicating? (Choose two.)
 - A. logic bomb
 - B. back door
 - C. **virus**
 - D. trojan horse
 - E. **worm**
2. Which two malware types are likely to be left behind by a disgruntled employee? (Choose two.)
 - A. **logic bomb**
 - B. **back door**
 - C. virus
 - D. trojan horse
 - E. worm
3. Which two malware types require external communication channels? (Choose two.)
 - A. ransomware
 - B. **spyware**
 - C. **adware**
 - D. logic bomb
4. What is the term for an unauthorized remote access program?
 - A. logic bomb
 - B. **back door**
 - C. trojan horse
 - D. ransomware

1.10 List the differences between vulnerabilities and exploits

1. Which statement is correct?
 - A. A security researcher might write a vulnerability to demonstrate an exploit.
 - B. **A security researcher might write an exploit to demonstrate a vulnerability.**
 - C. Exploits often are the result of poorly trained programmers.
 - D. Exploits always are the vendor's responsibility.
2. A zero-day exploit uses which type of vulnerability?
 - A. one that hasn't been discovered yet, by anybody.
 - B. **one that hasn't been disclosed to the vendor (or published)**
 - C. one that the vendor knows about, but hasn't released a patch for
 - D. one that has a patch, but the patch hasn't been installed everywhere yet
3. Which time interval describes a "window of vulnerability"?
 - A. between when a vulnerability is discovered and the time a patch is published
 - B. between when a patch is published and when the patch is installed on your system

- C. **between when a vulnerability is discovered and when the patch is installed on your system**
- D. between when a vulnerability is discovered and when it is disclosed to the vendor

1.11 Categorize spamming and phishing attacks

1. Which type of attack includes an email advertisement for a dry cleaning service?
 - A. **spamming**
 - B. phishing
 - C. spear phishing
 - D. whaling
2. Which type of attack includes an email with an attachment not-a-trojan.exe?
 - A. spamming
 - B. **phishing**
 - C. spear phishing
 - D. Whaling
3. Which type of attack would include an email with your name that claims to be from your bank and tells you to click the link <https://chase.bankofamerica.mysite.ru>?
 - A. spamming
 - B. phishing
 - C. **spear phishing**
 - D. whaling
4. Your CFO receives an email with her name that claims to be the company's bank and tells her to click the link <https://chase.bankofamerica.mysite.ru>. Which type of attack is this?
 - A. spamming
 - B. phishing
 - C. spear phishing
 - D. **whaling**

1.12 Social Engineering

1. Which two techniques do "social engineers" use to distract their targets so they'll do whatever the attacker wants? (Choose two.)
 - A. **autopilot, requesting an action that the user does automatically without thinking**
 - B. phishing, sending email that asks for specific actions
 - C. masquerading as a trojan horse
 - D. infecting programs with a virus
 - E. **emotional distraction, such as yelling that the target would get fired**
2. Who is the most likely target of social engineering?
 - A. executive management, because it has the most permissions
 - B. senior IT engineers, because the attacker hopes to get them to disable the security infrastructure

- C. **junior people, because they are easier to stress and probably not as well trained**
- D. the accounting department, because it can wire money directly to the attacker's account

1.13 Cybersecurity Attacks

1. In the cyberattack lifecycle, what does C2 mean?
 - A. Configuration and Communication
 - B. Configuration Control
 - C. **Command and Control**
 - D. Communication Control
2. A server that has a bug that lets a single transaction take it offline is susceptible to which type of attack?
 - A. **Denial of Service (DoS)**
 - B. Distributed Denial of Service (DDoS)
 - C. trojan horses
 - D. worms
3. Which two attacks typically use a botnet? (Choose two.)
 - A. social engineering
 - B. DoS
 - C. **DDoS**
 - D. **sending spam to a lengthy mailing list**
 - E. spear phishing

1.14 Define the characteristics of advanced persistent threats

1. Which option is least likely to be the purpose of an advanced persistent threat?
 - A. wire money to an offshore bank account
 - B. steal classified information
 - C. **expand a botnet to send more spam**
 - D. be able to destroy an enemy's infrastructure in case of a war
2. Which behavior does an advanced persistent threat (APT) use to elude detection?
 - A. do everything at night, when nobody is monitoring
 - B. rely exclusively on insiders with privileged access
 - C. do everything quickly with scripting so that the effect of the threat is achieved by the time it is detected
 - D. **use a low-and-slow approach to avoid triggering alarms**

1.15 Recognize common Wi-Fi attacks

1. Which two types of behavior could enable someone to eavesdrop on a WiFi network? (Choose two.)
 - A. **passive**
 - B. inactive

- C. yielding
 - D. active**
 - E. agile
2. What is the name of the attack in which the attacker gets the victim to connect to an access point the attack controls?
 - A. person in the middle
 - B. man in the middle**
 - C. access point in the middle
 - D. access point masquerading
 3. What is the name of the “authentication” method that lets anybody with the password access a WiFi network?
 - A. Pre-Shared Key (PSK)**
 - B. Password Authentication (PA)
 - C. Extensible Authentication Protocol (EAP)
 - D. service set identifier (SSID)

1.16 Define perimeter-based network security

1. What is a network demilitarized zone (DMZ)?
 - A. the safest part of the network, used for the security infrastructure
 - B. the part of the network you don’t secure, for example, a network segment used for visitors to access the internet
 - C. the database management zone
 - D. the network zone where you put servers that serve the outside, to limit the exposure**
2. Which type of traffic flows between the public internet and private DMZ?
 - A. north-south**
 - B. east-west
 - C. up-down
 - D. egress traffic
3. Which type of traffic flows inside a data center?
 - A. north-south
 - B. east-west**
 - C. up-down
 - D. egress traffic
4. What is the name of the device used to secure a network’s perimeter?
 - A. switch
 - B. hub
 - C. modem
 - D. firewall**

1.17 Explain Zero Trust design principles and architecture configuration

1. A Zero Trust network security model is based on which security principle?
 - A. due diligence
 - B. least privilege**
 - C. non-repudiation

- D. negative control
- 2. What does Zero Trust mean?
 - A. Systems never trust the information they get from other systems.
 - B. Systems don't trust each other implicitly.**
 - C. Systems don't trust each other explicitly.
 - D. Systems only trust each other within the same data center.
- 3. In a full Zero Trust architecture, can two devices communicate except through a security checkpoint?
 - A. Yes, but only if they are in the same trust zone.
 - B. Yes, but only if the client's trust zone level is higher than the server's.
 - C. No, unless they belong to the same application.
 - D. No, we need to secure all traffic.**

1.18 Define the capabilities of an effective product portfolio

- 1. Which component of a security operating platform can identify a trojan horse that does not use the network?
 - A. network security
 - B. cloud Security
 - C. Advanced Endpoint Protection**
 - D. SaaS logging service
- 2. The Logging Service stores data on the cloud in an instance that your organization does not control and thus provides protection from what?
 - A. trojan horses
 - B. viruses
 - C. worms
 - D. insider threat**

1.19 Recognize Palo Alto Networks Strata, Prisma, and Cortex Technologies

- 1. Which Palo Alto Networks product suite is used to secure the data center?
 - A. Strata**
 - B. Prisma
 - C. Cortex
 - D. WildFire
- 2. Which Palo Alto Networks product suite is used to secure remote access and cloud native technologies?
 - A. Strata
 - B. Prisma**
 - C. Cortex
 - D. WildFire
- 3. Which Palo Alto Networks product suite is used to manage alerts, obtain additional information, and orchestrate responses?
 - A. Strata
 - B. Prisma
 - C. Cortex**

D. WildFire

Exam Domain 2 — The Connected Globe

2.1 Define the difference between hubs, switches, and routers

1. Which device does not process addresses?
A. hub
B. switch
C. WiFi access point
D. router
2. Which device processes logical addresses?
A. hub
B. switch
C. WiFi Access point
D. router
3. On which device do you configure VLANs?
A. wireless repeater
B. hub
C. switch
D. router

2.2 Classify routed and routing protocols

1. Which option is an example of a static routing protocol?
A. Open Shortest Path First (OSPF)
B. Border Gateway Protocol (BGP)
C. Routing Information Protocol (RIP)
D. split horizon
2. Which is a routed protocol?
A. Open Shortest Path First (OSPF)
B. Internet Protocol (IP)
C. Border Gateway Protocol (BGP)
D. Routing Information Protocol (RIP)
3. Which device type uses routing protocols to exchange information?
A. switches
B. hubs
C. routers
D. servers
4. What is the primary purpose of the information exchanged by routing protocols?
A. dynamic routing
B. static routing
C. billing for network access
D. advertising MAC addresses

2.3 Summarize area networks and topologies

1. **True.** The internet is an example of a wide-area network (WAN).
2. Which network technology is used for WANs?

- A. Ethernet
 - B. token-ring
 - C. digital subscriber line (DSL)**
 - D. FDDI
3. Which device creates a collision domain that includes all the interfaces to which it is connected?
- A. hub**
 - B. switch
 - C. router
 - D. web server
4. Which requirement must be fulfilled for a client device to use a DHCP server, assuming there are no DHCP relay agents?
- A. be on the same collision domain
 - B. be on the same broadcast domain**
 - C. have latency below 20msec
 - D. have the same subnet mask
5. What kind of network is most likely to use point-to-point links?
- A. LAN
 - B. WAN**
 - C. SD WAN (only)
 - D. WAN (only if it is not SD WAN)

2.4 Explain the purpose of the Domain Name System (DNS)

1. Which DNS record type do you use to find the IPv4 address of a host?
- A. A**
 - B. AAAA
 - C. PTR
 - D. MX
2. Which DNS record type do you use to find the IPv6 address of a host?
- A. A
 - B. AAAA**
 - C. PTR
 - D. MX
3. A website is called www.amazing.co.uk. What does that mean?
- A. The website is hosted in the United Kingdom by a company called Amazing.
 - B. The website can be hosted anywhere, but the company must be located in the United Kingdom.
 - C. The website can be hosted anywhere, and the company decided to appear British.**
 - D. The company decided to appear British, and the website is hosted in the United Kingdom.

2.5 Identify categories of Internet of Things (IoT)

1. Which device is M2M (machine to machine)?
- A. Internet-connected TV
 - B. home alarm that dials the police for response
 - C. car GPS
 - D. temperature sensor connected to a fire suppression system**

2. Sensors for a cultivated field must report the results once a day. These sensors are powered by batteries that need to last for years. Which form of connectivity do you use?
 - A. Bluetooth
 - B. Wi-Fi
 - C. LoRaWAN**
 - D. Satellite C-Band
3. Which two advantages make 2G a popular choice for cellular IoT devices? (Choose two.)
 - A. low latency
 - B. high latency
 - C. low hardware cost**
 - D. low power consumption**
4. Why are IoT devices so often insecure?
 - A. rushed development
 - B. long release and patch cycles**
 - C. insufficient time for quality assurance
 - D. low development budget

2.6 Illustrate the structure of an IPv4/IPv6 address

1. Which option is an example of a logical address?
 - A. IP**
 - B. hardware
 - C. MAC
 - D. burned-in
2. How many bytes are in an IPv6 address?
 - A. 4
 - B. 8
 - C. 16**
 - D. 32
3. Which two components are in an IPv4 address? (Choose two.)
 - A. network**
 - B. MAC address
 - C. host**
 - D. device type
 - E. route number
4. In which two scenarios does network address translation (NAT) reduce the number of needed IP addresses? (Choose two.)
 - A. devices are clients, dynamic NAT that hides them behind a single IP**
 - B. devices are servers, dynamic NAT for load balancing that makes them appear a single device**
 - C. devices are clients, static NAT to let them share an IP address
 - D. devices are servers, static NAT to let them share an IP address
5. How does ARP translate logical addresses?
 - A. IPv6 to IPv4 logical addresses
 - B. IPv4 to IPv6 logical addresses
 - C. IPv4 to MAC addresses**
 - D. IPv6 s to MAC addresses
6. What is the purpose of NDP?

- A. IPv6 to IPv4 logical addresses
- B. IPv4 to IPv6 logical addresses
- C. IPv4 to MAC addresses
- D. IPv6 to MAC addresses**

2.7 Describe the purpose of IPv4 subnetting

1. What is the subnet mask for the network 10.2.0.0/20?
 - A. 255.0.0.0
 - B. 255.255.0.0
 - C. 255.255.240.0**
 - D. 255.255.255.0
2. Which two networks are subnets of 10.2.0.0/20? (Choose two.)
 - A. 10.2.0.0/19
 - B. 10.2.5.0/24**
 - C. 10.2.20.0/24
 - D. 10.2.14.0/28**
 - E. 10.2.0.0/16
3. What is the theoretical maximum number of devices in a class B?
 - A. $2^{24}-2 = 16777214$
 - B. $2^{20}-2 = 1048574$
 - C. $2^{16}-2 = 65534$**
 - D. $2^8-2 = 254$
4. How many /28 subnets can you fit in a class C?
 - A. 2
 - B. 4
 - C. 8
 - D. 16**

2.8 Illustrate the OSI and TCP/IP models

1. The OSI model consists of how many layers?
 - A. four
 - B. six
 - C. seven**
 - D. nine
2. Which two protocols function at the Transport layer of the OSI model? (Choose two.).
 - A. Transmission Control Protocol (TCP)**
 - B. Internet Protocol (IP)
 - C. User Datagram Protocol (UDP)**
 - D. Hypertext Transfer Protocol (HTTP)
3. Which four layers comprise the TCP/IP model? (Choose four.)
 - A. Application
 - B. Transport**
 - C. Physical
 - D. Internet**
 - E. Network Access**

4. Which option shows the ISO layers in the correct order (bottom layer to top)?
 - A. Physical, Transport, Network, Session, Data link, Presentation, Application
 - B. Physical, Data link, Network, Application, Presentation, Transport, Session
 - C. Physical, Data link, Transport, Session, Presentation, Network, Application
 - D. Physical, Data link, Network, Transport, Session, Presentation, Application**
5. Ethernet and WiFi include elements of which two layers? (Choose two.)
 - A. Session
 - B. Transport
 - C. Network
 - D. Data link**
 - E. Physical**
6. The Internet Protocol itself provides the functionality of which layer?
 - A. Transport
 - B. Network**
 - C. Data link
 - D. Physical
7. When HTTP is used directly to server webpages, it is a protocol of which layer?
 - A. Application**
 - B. Presentation
 - C. Session
 - D. Transport
8. When HTTP is used to send REST requests, it is a protocol of which layer?
 - A. Application
 - B. Presentation
 - C. Session**
 - D. Transport

2.9 Explain the data encapsulation process

1. In a TCP packet sent over Ethernet, what is the order of data?
 - A. Ethernet header, TCP header, and then TCP data
 - B. IP header, TCP header, and then TCP data
 - C. Ethernet header, IP header, TCP header, and then TCP data**
 - D. Ethernet header, IP header, IP data, TCP header, and then TCP data
2. Which header does not appear in all packets of an HTTP file transfer over Ethernet?
 - A. Ethernet header
 - B. IP header
 - C. TCP header
 - D. HTTP header**

2.10 Classify various types of network firewalls

1. **False.** A dynamic packet filtering (also known as stateful packet inspection) firewall only inspects individual packet headers during session establishment to determine if the traffic should be allowed, blocked, or dropped by the firewall. After a session is established, individual packets that are part of the session are not inspected.
2. Which type of network firewall provides client address translation by default?
 - A. Packet filtering
 - B. stateful packet inspection

- C. **application**
 - D. next-generation
- 3. Which type of firewall requires the least amount of RAM per connection?
 - A. **packet filtering**
 - B. stateful packet inspection
 - C. application
 - D. next-generation

2.11 Compare intrusion detection and intrusion prevention systems

1. Which type of security measure does intrusion detection system provide?
 - A. preventive
 - B. **detective**
 - C. corrective
 - D. auditive
 2. Which kind of attack can an intrusion prevention system enable?
 - A. trojan horse type malware
 - B. data exfiltration
 - C. command and control
 - D. **denial of service**
 3. Which type of system can be blinded by a low-and-slow approach?
 - A. intrusion detection
 - B. intrusion prevention
 - C. signature based
 - D. **behavior based**
 4. Which type of system cannot identify zero-day vulnerabilities?
 - A. intrusion detection
 - B. intrusion prevention
 - C. **signature based**
 - D. Behavior based
- A. For the same hardware, the ability to process more packets

2.12 Define virtual private networks

1. Which VPN technology is considered the preferred method for securely connecting a remote endpoint device back to an enterprise network?
 - A. Point-to-Point Tunneling Protocol (PPTP)
 - B. Secure Socket Tunneling Protocol (SSTP)
 - C. **Secure Sockets Layer (SSL)**
 - D. Internet Protocol Security (IPsec)
2. What is the most common business to consumer (B2C) VPN?
 - A. **SSL/TLS**
 - B. IPsec
 - C. SSH
 - D. PPP
3. What does PKI mean?
 - A. Password/Key Identification
 - B. Passive Key Identification
 - C. **Public Key Infrastructure**

- D. Private Key Infrastructure
- 4. Which VPN would you expect to see in use between two of an organization's data centers?
 - A. SSL/TLS
 - B. IPsec**
 - C. SSH
 - D. PPP
- 5. Which tunneling protocol can you use to connect two Ethernet segments into one?
 - A. PPP
 - B. L2TP**
 - C. IPsec (without L2TP)
 - D. SLIP
- 6. What is the authentication method that uses usernames and passwords?
 - A. PAP**
 - B. CHAP
 - C. MS-CHAP
 - D. SAP

2.13 Explain data loss prevention (DLP)

- 1. Which form does data need to be in for DLP to work?
 - A. ASCII
 - B. cleartext**
 - C. uncompressed
 - D. encrypted
- 2. DLP works in what layer of the ISO model?
 - A. 7, application layer**
 - B. 5, session layer
 - C. 4, transport layer
 - D. 3, network layer

2.14 Describe unified threat management

- 1. Which three security functions are integrated with a UTM device? (Choose three.)
 - A. cloud access security broker (CASB)
 - B. Remote Browser Isolation (RBI)
 - C. DevOps automation
 - D. firewall**
 - E. Intrusion Detection System (IDS)**
 - F. anti-spam**
- 2. Which two resources are shared between the different functions of a UTM device? (Choose two.)
 - A. RAM**
 - B. alert information
 - C. CPU
 - D. attack signatures
 - E. firewall state

2.15 Define endpoint security basics

1. Which two options are endpoints? (Choose two.)
 - A. laptop computer**
 - B. router/modem/access point combo for a home network
 - C. physical database server
 - D. smartphone used to check work email**
2. Which method to identify ransomware that uses a zero-day exploit is available in endpoint protection, but not on the firewall?
 - A. attack signatures
 - B. behavior analysis
 - C. observation of attack effects**
 - D. data decryption
3. Which option is not part of an endpoint protection solution?
 - A. firewall
 - B. antivirus
 - C. man-in-the-middle decryption**
 - D. intrusion detection

2.16 Compare signature and container-based malware protection

1. **False.** Signature-based anti-malware software is considered a reactive countermeasure because a signature file for new malware can't be created and delivered until the malware is already "in the wild."
2. Which type of malware protection can be bypassed by mutating malware?
 - A. signature-based**
 - B. container-based
 - C. application allow lists
 - D. anomaly detection
3. Which type of malware protection requires in-depth knowledge of applications and how they communicate?
 - A. signature-based
 - B. container-based**
 - C. application allow lists
 - D. anomaly detection
4. Which type of malware protection has a problem with legitimate software upgrades?
 - A. signature-based
 - B. container-based
 - C. application allow lists**
 - D. anomaly detection
5. Which type of malware protection is vulnerable to a low-and-slow approach?
 - A. signature-based
 - B. container-based
 - C. application allow lists
 - D. anomaly detection**

2.17 Recognize types of mobile device management

1. **False:** Signature-based anti-malware software is considered a proactive security countermeasure.

2. Which two operating systems can have mobile device management (MDM)?
 - A. **iOS**
 - B. MacOS
 - C. **Android**
 - D. Windows
 - E. Linux
3. You go on a business visit to another country and you can't access a work application on your cell phone. Which MDM feature could be the reason?
 - A. data loss prevention
 - B. malware protection
 - C. remote erase/wipe
 - D. **geofencing and location services**
4. You downloaded a confidential file to your phone to use in a business meeting. Now you see it is no longer there. Which MDM feature could be the reason?
 - A. data loss prevention
 - B. **malware protection**
 - C. remote erase/wipe
 - D. geofencing and location services

2.18 Explain the purpose of identify and access management

1. Which three processes are part of the AAA model? (Choose three.)
 - A. **authentication**
 - B. **authorization**
 - C. acknowledgement
 - D. **auditing**
 - E. approval
2. Which principle is behind role-based access control (RBAC)?
 - A. separation of duties
 - B. auditability
 - C. **least privilege**
 - D. defense in depth
3. Which type of access control can change a user's permissions based on their location?
 - A. RBAC
 - B. **ABAC**
 - C. PAP
 - D. CHAP
4. Only one manager can get company checks. Only a different manager can sign checks. This example describes which principle?
 - A. **separation of duties**
 - B. auditability
 - C. least privilege
 - D. defense in depth
5. A user can get on the payroll app to see a paycheck, but can't modify it. This example describes which principle?
 - A. separation of duties
 - B. auditability
 - C. **least privilege**
 - D. defense in depth

6. What is the common protocol for accessing a directory?
 - A. DAP
 - B. LDAP**
 - C. SLAP
 - D. SLDAP

2.19 Describe configuration management

3. Which process is part of configuration management?
 - A. identity and access management
 - B. auditing
 - C. patch management**
 - D. scanning for vulnerabilities
4. What is the collective term for software versions, OS settings, and configuration file settings?
 - A. configuration items**
 - B. configurable values
 - C. computer settings
 - D. the configuration

2.20 Identify next-generation firewall features and capabilities

1. Content-ID operates on which layer of the ISO model?
 - A. 7, application layer**
 - B. 6, presentation layer
 - C. 5, session layer
 - D. 4, transport layer
2. Which feature of the NGFW is required to implement RBAC?
 - A. App-ID
 - B. Content-ID
 - C. User-ID**
 - D. GlobalProtect
3. Which feature of the NGFW can distinguish between reading Facebook and commenting?
 - A. App-ID**
 - B. Content-ID
 - C. User-ID
 - D. Global Protect
4. Which feature of the NGFW distinguishes between downloading a legitimate program and downloading malware?
 - A. App-ID
 - B. Content-ID**
 - C. User-ID
 - D. GlobalProtect

2.21 Compare NGFW four core subscription services

1. Which NGFW core subscription would tell your firewall that an attempt to resolve adfewqrtgfhghyj.uykfhzvsdfgpoiye.evil.com is probably an attack?
 - A. DNS Security**
 - B. URL Filtering

- C. Threat Prevention
 - D. WildFire
- 2. Which NGFW core subscription allows your firewall to block known malware?
 - A. DNS Security
 - B. URL Filtering
 - C. Threat Prevention**
 - D. WildFire
- 3. Which NGFW core subscription allows your firewall to identify zero day malware?
 - A. DNS Security
 - B. URL Filtering
 - C. Threat Prevention
 - D. WildFire**
- 4. Which NGFW core subscription allows your firewall to block users when they attempt to submit their credentials to a phishing site?
 - A. DNS Security
 - B. URL Filtering**
 - C. Threat Prevention
 - D. WildFire

2.22 Define the purpose of network security management (Panorama).

- 1. An international organization has more than 100 firewalls in 50 locations. Which Panorama deployment mode would the organization install in multiple locations (beyond the need for disaster recovery)?
 - A. Panorama
 - B. management only
 - C. log collector**
 - D. threat management
- 2. Which Panorama object is used to manage network settings?
 - A. template**
 - B. device group
 - C. virtual system
 - D. Decryption Profile
- 3. Which Panorama object is used to manage the security policy?
 - A. template
 - B. device group**
 - C. virtual system
 - D. Decryption Profile

Exam Domain 3 — Cloud technologies

3.1 Define the NIST cloud service and deployment models

- 1. In which cloud computing service model does a provider's applications run on a cloud infrastructure and the consumer does not manage or control the underlying infrastructure?
 - A. platform as a service (PaaS)**
 - B. infrastructure as a service (IaaS)

- C. software as a service (SaaS)
 - D. public cloud
2. Which NIST cloud service model does not require the customer organization to do any programming?
 - A. IaaS
 - B. PaaS
 - C. FaaS
 - D. SaaS**
 3. Which NIST cloud service model requires the customer to keep the operating system up to date?
 - A. IaaS**
 - B. PaaS
 - C. FaaS
 - D. SaaS
 4. Which NIST cloud service model limits your choice of runtime environments in which an application can be written?
 - A. IaaS
 - B. PaaS**
 - C. FaaS
 - D. SaaS
 5. Which NIST cloud deployment model would you recommend for a startup that does not have much money to pay for hosting or a data center and needs a 24x7 server?
 - A. public**
 - B. private
 - C. community
 - D. hybrid
 6. A news company can serve all requests from their data center 95% of the time. However, some days there is a huge demand for news updates. Which NIST deployment model is recommended for the company?
 - A. public
 - B. private
 - C. community
 - D. hybrid**

3.2 Recognize and list cloud security challenges

1. You are responsible for the security of the application, the runtime, and the VM operating system. Which cloud deployment model are you using?
 - A. SaaS
 - B. FaaS
 - C. PaaS
 - D. IaaS**
2. Which component may be shared with other cloud tenants even when using IaaS?
 - A. application
 - B. runtime
 - C. virtual machine (guest)
 - D. physical machine (host)**
3. Two companies use Gmail for their email (SaaS). Which two components may be transparently shared between them? (Choose two.)

- A. address book
 - B. application code**
 - C. messages
 - D. message database**
 - E. user identities
4. Which cloud service model lets you install a firewall to protect your information?
- A. SaaS
 - B. PaaS
 - C. FaaS
 - D. IaaS**

3.3 Define the purpose of virtualization in cloud computing

1. Which option is a type 2 hypervisor?
 - A. hosted**
 - B. native
 - C. bare-metal
 - D. imported
2. Which cloud provider calls its IaaS service Elastic Computing Service (ECS)?
 - A. Alibaba
 - B. AWS**
 - C. Azure
 - D. GCP
3. Which of the following security issues can cause a long patched vulnerability to resurface?
 - A. VM sprawl
 - B. intra-vm communications
 - C. hypervisor vulnerabilities
 - D. dormant virtual machines**

3.4 Explain the purpose of containers in application deployment

1. Which cloud use model runs just one container per virtual machine?
 - A. serverless
 - B. containers as a service (CaaS)
 - C. standard docker containers
 - D. VM-integrated containers**
2. Which cloud use model allows you to use containers without having to manage the underlying hardware and virtualization layers, but still lets you access the underlying virtualization if needed?
 - A. serverless
 - B. containers as a service (CaaS)**
 - C. standard docker containers
 - D. VM-integrated containers
3. Ten containers running on five virtual machines are spread between two type 1 hypervisors. How many OS instances are you running?
 - A. 2
 - B. 5**
 - C. 7
 - D. 17

4. Ten containers running on five virtual machines are spread between two type 2 hypervisors. How many OS instances are you running?
 - A. 2
 - B. 5
 - C. 7**
 - D. 17

3.5 Discuss the purpose of serverless computing

1. Which cloud use model restricts your choice of a runtime environment to the environments supported by the cloud provider?
 - A. serverless**
 - B. on-demand containers
 - C. containers as a service (CaaS)
 - D. standard docker containers
2. Which three attributes are advantages of serverless computing, when compared with CaaS? (Choose three.)
 - A. reduced costs**
 - B. increased control over the workload
 - C. increased ability to monitor and identify problems
 - D. increased agility**
 - E. reduced operational overhead**

3.6 Compare the differences between DevOps and DevSecOps

1. Which continuous processes replaces manual checks with automated code testing and deployment?
 - A. integration
 - B. development
 - C. delivery
 - D. deployment**
2. What are the two meanings of the CI/CD pipeline? (Choose two.)
 - A. continuous integration/continuous delivery**
 - B. continuous implementation/continuous delivery
 - C. continuous integration/continuous deployment**
 - D. continuous implementation/continuous deployment
3. Which step of the CI/CD pipeline cannot be automated?
 - A. Coding**
 - B. Integration
 - C. Testing
 - D. Monitoring
4. Which step of the CI/CD pipeline is the ideal place for automated penetration testing?
 - A. Coding
 - B. Integration
 - C. Testing**
 - D. Deployment

3.7 Explain governance and compliance related to deployment of SaaS applications

1. What is the meaning of a SaaS application that is advertised as being HIPPA compliant?
 - A. Regardless of how you configure the application for your enterprise, you will be HIPPA compliant.
 - B. If your administrator configures the security settings on the application correctly, you will be HIPPA compliant.
 - C. If your administrator and your users use the application correctly, you will be HIPPA compliant.
 - D. If your administrator and your users use the application correctly, the application will not cause you to not be HIPPA compliant.**
2. Which systems must you secure to ensure compliance with security standards?
 - A. the servers in the data center
 - B. the devices owned by the enterprise, whether they are servers in the data center, cloud vms you manage, or user endpoint devices
 - C. any system where the data for which you are responsible goes**
 - D. every device that is either owned by the enterprise, or used by enterprise employees
3. GDPR compliance is required to do business in which area?
 - A. United States of America
 - B. Canada
 - C. China
 - D. European Union**

3.8 Illustrate traditional data security solution weaknesses

1. How would a port filter firewall classify access to the URL <https://example.com:22/this/page?>
 - A. HTTP
 - B. HTTPS
 - C. Telnet
 - D. SSH**

3.9 Compare east-west and north-south traffic protection

1. Intra-VM traffic is also known as which type of traffic?
 - A. north-south
 - B. unknown
 - C. east-west**
 - D. untrusted
2. What is the term for traffic between a web site and a local database that stores information for it?
 - A. north-south
 - B. east-west**
 - C. unknown
 - D. cloud
3. What is the term for traffic between a web site and a remote user's browser?
 - A. north-south**
 - B. east-west
 - C. unknown

- D. cloud
- 4. Which type of traffic can stay contained in a single physical server?
 - A. north-south
 - B. east-west**
 - C. unknown
 - D. trusted
- 5. Which type of traffic can be secured by a physical appliance?
 - A. north-south**
 - B. east-west
 - C. unknown
 - D. cloud
- 6. What stage of an attack is typically east-west traffic?
 - A. reconnaissance
 - B. weaponization
 - C. lateral spread**
 - D. actions on the objective

3.10 Recognize the four phases of hybrid data center security

- 1. What does the first phase of implementing security in virtualized data centers consist of?
 - A. consolidating servers across trust levels
 - B. consolidating servers within trust levels**
 - C. selectively virtualizing network security functions
 - D. implementing a dynamic computing fabric

3.11 List the four pillars of cloud application security [Prisma Cloud]

- 1. Which action is part of the compute security pillar?
 - A. user and entity behavior analytics (UEBA)
 - B. Microservice-aware micro-segmentation
 - C. integration with the CI/CD workflow**
 - D. automated asset inventory
- 2. Which action is part of the compute cloud governance and compliance pillar?
 - A. user and entity behavior analytics (UEBA)
 - B. Microservice-aware micro-segmentation
 - C. integration with the CI/CD workflow
 - D. automated asset inventory**
- 3. Which action is part of the identity security pillar?
 - A. user and entity behavior analytics (UEBA)**
 - B. Microservice-aware micro-segmentation
 - C. integration with the CI/CD workflow
 - D. automated asset inventory
- 4. Which action is part of the network security pillar?
 - A. user and entity behavior analytics (UEBA)
 - B. Microservice-aware micro-segmentation**
 - C. integration with the CI/CD workflow
 - D. automated asset inventory

3.12 Illustrate the Prisma Access SASE architecture

1. What does SASE stand for?
 - A. Service Access SEcurity
 - B. Semi-Accessible Sensitive Environment
 - C. Secrets Accessible in a Secure Environment
 - D. Secure Access Service Edge**
2. Which two types of services does SASE provide? (Choose two.)
 - A. Storage
 - B. security**
 - C. networking**
 - D. compute
3. What are the two advantages of SASE? (Choose two.)
 - A. a single physical point of ingress into the organization
 - B. a single logical point of ingress into the organization**
 - C. a single physical point of egress out of the organization
 - D. a single logical point of egress from the organization**

3.13 Compare sanctioned, tolerated and unsanctioned SaaS applications

1. **False.** Prisma SaaS is used to protect sanctioned SaaS use, as part of an integrated security solution that includes next-generation firewalls to prevent unsanctioned SaaS use. Prisma SaaS communicates directly with the SaaS applications themselves and therefore does not need to be deployed inline and does not require any software agents, proxies, additional hardware, or network configuration changes.
2. **True.** Prisma SaaS protects data in hosted files and application entries.
3. Who is responsible for the software of a sanctioned SaaS application?
 - A. provider**
 - B. IT department
 - C. line of business that uses it
 - D. users
4. Who is responsible for the security settings of a sanctioned SaaS application?
 - A. provider
 - B. IT department**
 - C. line of business that uses it
 - D. users

Exam Domain 4 — Elements of Security Operations

4.1 List the six essential elements of effective security operations

1. Which SecOp function is proactive?
 - A. Identify
 - B. Investigate
 - C. Mitigate
 - D. Improve**
2. Which SecOp function requires processing large amounts of information, and typically is automated?
 - A. Identify**

- B. Investigate
 - C. Mitigate
 - D. Improve
3. Which three options partially comprise the six elements of SecOps? (Choose three.)
 - A. People**
 - B. Networking
 - C. Data storage
 - D. Technology**
 - E. Processes**
 4. Which three options partially comprise the six elements of SecOps? (Choose three.)
 - A. Visibility**
 - B. Disaster recovery
 - C. Business**
 - D. Interfaces**
 - E. Regular audits

4.2 Describe the purpose of security information and event management (SIEM) and SOAR

1. What does SOAR stand for?
 - A. Security Operations Automation for Reaction
 - B. Secure Operations And Research
 - C. Security Operations, Analysis, and Research
 - D. Security Orchestration, Automation, and Response**
2. What is the relationship between SIEM and SOAR?
 - A. SIEM products implement the SOAR business process.
 - B. SIEM and SOAR are different names for the same product category.
 - C. SIEM systems collect information to identify issues that SOAR products help mitigate.**
 - D. SOAR systems collect information to identify issues that SIEM products help mitigate.
3. What is the advantage of automated responses over manual responses?
 - A. speed**
 - B. accuracy
 - C. flexibility
 - D. user friendliness

4.3 Describe the analysis tools used to detect evidence of a security compromise

1. Which environment allows you to install an appliance that sees all traffic?
 - A. LAN when people work from home
 - B. Non-virtualized data center**
 - C. virtualized data center
 - D. VPC network
2. An analysis tool raised an alert, but the security analyst who researched it discovered it wasn't a problem. Which type of finding is this?
 - A. false positive**
 - B. true positive
 - C. false negative
 - D. true negative
3. An analysis tool's machine learning identified, correctly, that the network is infected by a

worm. What type of finding is this?

- A. false positive
- B. true positive**
- C. false negative
- D. true negative

4.4 Describe the features of Cortex XDR endpoint protection technology

1. **True.** The key to Cortex XDR is blocking core exploit and malware techniques, not individual attacks.
2. Which two advantages does endpoint protection technology have over network traffic analysis? (Choose two.)
 - A. ability to identify most common attacks by their symptoms**
 - B. deployed and managed centrally
 - C. easier to deploy endpoint protection when people work from home**
 - D. detects command and control channels
 - E. can easily identify worms
3. What is the order in which the endpoint checks if a new program is safe?
 - A. behavioral threat protection, then local analysis, then WildFire query
 - B. local analysis, then behavioral threat protection, then WildFire query
 - C. WildFire query, then local analysis, then behavioral threat protection**
 - D. local analysis, then WildFire query, then behavioral threat protection
4. Of the endpoint checks, what is bypassed for known programs?
 - A. WildFire query
 - B. behavioral threat protection
 - C. local analysis**
 - D. Firewall analysis
5. Which three operating systems are supported by Cortex XDR? (select three)
 - A. z/OS
 - B. Linux**
 - C. macOS**
 - D. Minix
 - E. Android**

4.5 Describe how Cortex XSOAR improves SOC efficiency and how Cortex Data Lake improves SOC visibility

1. What does Cortex XSOAR use to automate security processes?
 - A. bash scripts
 - B. Windows PowerShell
 - C. playbooks**
 - D. Python scripts

Appendix B: Glossary

Address Resolution Protocol (ARP): A protocol that translates a logical address, such as an IP address, to a physical MAC address. RARP translates a physical MAC address to a logical address. See also *IP address*, *media access control (MAC) address*, and *Reverse Address Resolution Protocol (RARP)*.

Advanced Encryption Standard (AES): A symmetric block cipher based on the Rijndael cipher.

AES: See *Advanced Encryption Standard (AES)*.

AI: See *artificial intelligence (AI)*.

American Standard Code for Information Interchange (ASCII): A character-encoding scheme based on the English alphabet, consisting of 128 characters.

Android Packet Kit (APK): An app created for the Android mobile operating system.

API: See *application programming interface (API)*.

APK: See *Android Package Kit (APK)*.

APP: See *Australian Privacy Principles (APP)*.

application programming interface (API): A set of routines, protocols, and tools for building software applications and integrations.

AR: See *augmented reality (AR)*.

ARP: See *Address Resolution Protocol (ARP)*.

artificial intelligence (AI): The ability of a system or application to interact with and learn from its environment, and automatically perform actions accordingly, without requiring explicit programming.

AS: See *autonomous system (AS)*.

ASCII: See *American Standard Code for Information Interchange (ASCII)*.

attack vector: A path or tool that an attacker uses to target a network. Also known as a threat vector.

augmented reality (AR): Augmented reality enhances a real-world environment with virtual objects.

Australian Privacy Principles (APP): The Privacy Act 1988 establishes standards for collecting and handling personal information, referred to as the Australian Privacy Principles (APP).

authoritative DNS server: The system of record for a given domain. See also *Domain Name System (DNS)*.

autonomous system (AS): A group of contiguous IP address ranges under the control of a single internet entity. Individual autonomous systems are assigned a 16-bit or 32-bit AS number (ASN) that uniquely identifies the network on the internet. ASNs are assigned by the Internet Assigned Numbers Authority (IANA). See also *Internet Protocol (IP) address* and *Internet Assigned Numbers Authority (IANA)*.

Bare-metal hypervisor: See *native hypervisor*.

BES: See *bulk electric system (BES)*.

blockchain: A data structure containing transactional records (stored as blocks) that ensures security and transparency through a vast, decentralized peer-to-peer network with no single

controlling authority. Cryptocurrency is an internet-based financial instrument that uses blockchain technology. See also *cryptocurrency*.

Boolean: A system of algebraic notation used to represent logical propositions.

boot sector: Contains machine code that is loaded into an endpoint's memory by firmware during the startup process, before the operating system is loaded.

boot sector virus: Targets the boot sector or master boot record (MBR) of an endpoint's storage drive or other removable storage media. See also *boot sector* and *master boot record (MBR)*.

bot: Individual endpoints that are infected with advanced malware that enables an attacker to take control of the compromised endpoint. Also known as a zombie. See also *botnet* and *malware*.

botnet: A network of bots (often tens of thousands or more) working together under the control of attackers using numerous command-and-control (C2) servers. See also *bot*.

bridge: A wired or wireless network device that extends a network or joins separate network segments.

bring your own access (BYOA): A remote access policy in which remote users are allowed to connect to the corporate network using personal wireless service (for example, cellular service for a personal smartphone) from a wireless network operator.

bring your own device (BYOD): A policy trend in which organizations permit end users to use their own personal devices, primarily smartphones and tablets, for work-related purposes. BYOD relieves organizations from the cost of providing equipment to employees, but creates a management challenge because of the vast number and type of devices that must be supported.

broadband cable: A type of high-speed internet access that delivers different upload and download data speeds over a shared network medium. The overall speed varies depending on the network traffic load from all the subscribers on the network segment.

broadcast domain: The portion of a network that receives broadcast packets sent from a node in the domain.

bulk electric system (BES): The large interconnected electrical system, consisting of generation and transmission facilities (among others), that comprises the "power grid."

bus topology: A LAN topology in which all nodes are connected to a single cable (the backbone) that is terminated on both ends. In the past, bus networks were commonly used for very small networks because they were inexpensive and relatively easy to install, but today bus topologies are rarely used. The cable media has physical limitations (the cable length), the backbone is a single point of failure (a break anywhere on the network affects the entire network), and tracing of a fault in a large network can be extremely difficult. See also *local-area network (LAN)*.

BYOA: See *bring your own access (BYOA)*.

BYOD: See *bring your own device (BYOD)*.

California Consumer Privacy Act (CCPA): A privacy rights and consumer protection statute for residents of California that was enacted in 2018 and became effective on January 1, 2020.

CASB: See *cloud access security broker (CASB)*.

CCPA: See *California Consumer Privacy Act (CCPA)*.

CD: See *continuous delivery (CD)*.

CDN: See *content delivery network (CDN)*.

child process: In multitasking operating systems, a subprocess created by a parent process that is

currently running on the system.

CI: See *continuous integration (CI)*.

CIDR: See *classless inter-domain routing (CIDR)*.

CIP: See *Critical Infrastructure Protection (CIP)*.

circuit-switched network: A network in which a dedicated physical circuit path is established, maintained, and terminated between the sender and receiver across a network for each communications session.

classless inter-domain routing (CIDR): A method for allocating IP addresses and IP routing that replaces classful IP addressing (for example, Class A, B, and C networks) with classless IP addressing. See also *Internet Protocol (IP) address*.

cloud access security broker (CASB): Software that monitors activity and enforces security policies on traffic between an organization's users and cloud-based applications and services.

collision domain: A network segment on which data packets may collide with each other during transmission.

consumerization: A computing trend that describes the process that occurs as end users increasingly find personal technology and apps that are more powerful or capable, more convenient, less expensive, quicker to install, and easier to use, than enterprise IT solutions.

container: A standardized, executable, and lightweight software code package that contains all the necessary components to run a given application (or applications), including code, runtime, system tools and libraries, and configuration settings, in an isolated and virtualized environment to enable agility and portability of the application workload(s).

content delivery network (CDN): A network of distributed servers that distributes cached webpages and other static content to a user from a geographic location that is physically closest to the user.

continuous deployment: An automated CI pipeline that requires the code to pass automated testing before it is automatically deployed, giving customers instant access to new features. See also *continuous integration (CI)*.

continuous integration (CI): A development process that requires developers to integrate code into a repository several times per day for automated testing. Each check-in is verified by an automated build, allowing teams to detect problems early.

continuous delivery (CD): An automated CI pipeline that requires the code to go through manual technical checks before it is implemented into production. See also *continuous integration (CI)*.

convergence: The time required for all routers in a network to update their routing tables with the most current routing information about the network.

covered entity: Defined by HIPAA as a healthcare provider that electronically transmits PHI (such as doctors, clinics, psychologists, dentists, chiropractors, nursing homes, and pharmacies), a health plan (such as a health insurance company, health maintenance organization, company health plan, or government program including Medicare, Medicaid, military and veterans' healthcare), or a healthcare clearinghouse. See also *Health Insurance Portability and Accountability Act (HIPAA)* and *protected health information (PHI)*.

CRC: See *cyclic redundancy check (CRC)*.

Critical Infrastructure Protection (CIP): Cybersecurity standards defined by NERC to protect the physical and cyber assets necessary to operate the bulk electric system (BES). See also *bulk*

electric system (BES) and North American Electric Reliability Corporation (NERC).

cryptocurrency: A form of digital currency, such as Bitcoin, that uses encryption to control the creation of currency and verify the transfer of funds independent of a central bank or authority.

Cybersecurity Enhancement Act of 2014: A U.S. regulation that provides an ongoing, voluntary public-private partnership to improve cybersecurity and to strengthen cybersecurity research and development, workforce development and education, and public awareness and preparedness.

Cybersecurity Information Sharing Act (CISA): A U.S. regulation that enhances information sharing about cybersecurity threats by allowing internet traffic information to be shared between the U.S. government and technology and manufacturing companies.

cyclic redundancy check (CRC): A checksum used to create a message profile. The CRC is recalculated by the receiving device. If the recalculated CRC doesn't match the received CRC, the packet is dropped and a request to resend the packet is transmitted back to the device that sent the packet.

DAAS: Data, assets, applications, and services.

data encapsulation: A process in which protocol information from the OSI or TCP/IP layer immediately above is wrapped in the data section of the OSI or TCP/IP layer immediately below. Also referred to as data hiding. See also *Open Systems Interconnection (OSI) model* and *Transmission Control Protocol/Internet Protocol (TCP/IP) model*.

data hiding: See *data encapsulation*.

data mining: Enables patterns to be discovered in large datasets using machine learning, statistical analysis, and database technologies. See also *machine learning*.

DDOS: See *distributed denial-of-service (DDOS)*.

default gateway: A network device, such as a router or switch, to which an endpoint sends network traffic when a specific destination IP address is not specified by an application or service, or when the endpoint does not know how to reach a specified destination. See also *router* and *switch*.

DevOps: The culture and practice of improved collaboration between application development and IT operations teams.

DGA: See *domain generation algorithm (DGA)*.

DHCP: See *Dynamic Host Configuration Protocol (DHCP)*.

digital subscriber line (DSL): A type of high-speed internet access that delivers different upload and download data speeds. The overall speed depends on the distance from the home or business location to the provider's central office (CO).

distributed denial-of-service (DDOS): A type of cyberattack in which extremely high volumes of network traffic such as packets, data, or transactions are sent to the target victim's network to make their network and systems (such as an e-commerce website or other web application) unavailable or unusable.

DLL: See *dynamic-link library (DLL)*.

DNS: See *Domain Name System (DNS)*.

DNS over HTTPS (DoH): DNS traffic that is encrypted using the HTTPS protocol. See also *Domain Name System (DNS)* and *Hypertext Transfer Protocol Secure (HTTPS)*.

DoH: See *DNS over HTTPS (DOH)*.

domain generation algorithm (DGA): A program that is designed to generate domain names in

a particular fashion. Attackers developed DGAs so that malware can quickly generate a list of domains that it can use for command and control (C2).

domain name registrar: An organization that is accredited by a TLD registry to manage domain name registrations. See also *top-level domain (TLD)*.

Domain Name System (DNS): A hierarchical distributed database that maps the FQDN for computers, services, or any resource connected to the internet or a private network to an IP address. See also *fully qualified domain name (FQDN)*.

drive-by download: A software download, typically malware, that happens without a user's knowledge or permission.

DSL: See *digital subscriber line (DSL)*.

Dynamic Host Configuration Protocol (DHCP): A network management protocol that dynamically assigns (leases) IP addresses and other network configuration parameters (such as default gateway and DNS information) to devices on a network. See also *default gateway* and *Domain Name System (DNS)*.

dynamic-link library (DLL): A type of file used in Microsoft operating systems that enables multiple programs to simultaneously share programming instructions contained in a single file to perform specific functions.

EAP: See *Extensible Authentication Protocol (EAP)*.

EAP-TLS: See *Extensible Authentication Protocol Transport Layer Security (EAP-TLS)*.

EBCDIC: See *Extended Binary-Coded Decimal Interchange Code (EBCDIC)*.

EHR: See *electronic health record (EHR)*.

electronic health record (EHR): As defined by HealthIT.gov, an EHR “goes beyond the data collected in the provider's office and include[s] a more comprehensive patient history. EHR data can be created, managed, and consulted by authorized providers and staff from across more than one healthcare organization.”

electronic medical record (EMR): As defined by HealthIT.gov, an EMR “contains the standard medical and clinical data gathered in one provider's office.”

EMR: See *electronic medical record (EMR)*.

endpoint: A computing device such as a desktop or laptop computer, handheld scanner, IoT device or sensor (such as an autonomous vehicle, smart appliance, smart meter, smart TV, or wearable device), point-of-sale (POS) terminal, printer, satellite radio, security or videoconferencing camera, self-service kiosk, smartphone, tablet, or VoIP phone. Although endpoints can include servers and network equipment, the term is generally used to describe end-user devices. See also *internet of things (IoT)* and *Voice over Internet Protocol (VoIP)*.

Enterprise 2.0: A term introduced by Andrew McAfee and defined as “the use of emergent social software platforms within companies, or between companies and their partners or customers.” See also *Web 2.0*.

exclusive or (XOR): A Boolean operator in which the output is true only when the inputs are different (for example, TRUE and TRUE equals FALSE, but TRUE and FALSE equals TRUE). See also *Boolean*.

exploit: A small piece of software code, part of a malformed data file, or a sequence (string) of commands, that leverages a vulnerability in a system or software, causing unintended or unanticipated behavior in the system or software.

Extended Binary-Coded Decimal Interchange Code (EBCDIC): An 8-bit character-encoding

scheme largely used on mainframe and mid-range computers.

extended reality (XR): Broadly covers the spectrum from physical to virtual reality with various degrees of partial sensory to fully immersive experiences.

Extensible Authentication Protocol (EAP): A widely used authentication framework that includes about 40 different authentication methods.

Extensible Authentication Protocol Transport Layer Security (EAP-TLS): An Internet Engineering Task Force (IETF) open standard that uses the Transport Layer Security (TLS) protocol in Wi-Fi networks and PPP connections. See also *Internet Engineering Task Force (IETF)*, *Point-to-Point Protocol (PPP)*, and *Transport Layer Security (TLS)*.

Extensible Markup Language (XML): A programming language specification that defines a set of rules for encoding documents in a human-readable and machine-readable format.

FaaS: See *function as a service (FaaS)*.

false negative: In anti-malware, malware that is incorrectly identified as a legitimate file or application. In intrusion detection, a threat that is incorrectly identified as legitimate traffic. See also *false positive*.

false positive: In anti-malware, a legitimate file or application that is incorrectly identified as malware. In intrusion detection, legitimate traffic that is incorrectly identified as a threat. See also *false negative*.

favicon (“favorite icon”): A small file containing one or more small icons associated with a particular website or webpage.

Federal Exchange Data Breach Notification Act of 2015: A U.S. regulation that further strengthens HIPAA by requiring health insurance exchanges to notify individuals whose personal information has been compromised as the result of a data breach as soon as possible, but no later than 60 days after breach discovery. See also *Health Insurance Portability and Accountability Act (HIPAA)*.

Federal Information Security Management Act (FISMA): See *Federal Information Security Modernization Act (FISMA)*.

Federal Information Security Modernization Act (FISMA): A U.S. law that implements a comprehensive framework to protect information systems used in U.S. federal government agencies. Known as the Federal Information Security Management Act prior to 2014.

fiber optic: Technology that converts electrical data signals to light and delivers constant data speeds in the upload and download directions over a dedicated fiber optic cable medium. Fiber optic technology is much faster and more secure than other types of network technology.

File Transfer Protocol (FTP): A program used to copy files from one system to another over a network.

FISMA: See *Federal Information Security Modernization Act (FISMA)*.

floppy disk: A removable magnetic storage medium commonly used from the mid-1970s until about 2007, when it was largely replaced by removable USB storage devices.

flow control: A technique used to monitor the flow of data between devices to ensure that a receiving device, which may not necessarily be operating at the same speed as the transmitting device, doesn’t drop packets.

FQDN: See *fully qualified domain name (FQDN)*.

FTP: See *File Transfer Protocol (FTP)*.

fully qualified domain name (FQDN): The complete domain name for a specific computer,

service, or resource connected to the internet or a private network.

function as a service (FAAS): A cloud computing service that provides a platform for customers to develop, run, and manage their application functions without having to build and maintain the infrastructure normally required to develop and launch an application.

GDPR: See *General Data Protection Regulation (GDPR)*.

General Data Protection Regulation (GDPR): A European Union (EU) regulation that applies to any organization that does business with EU residents. It strengthens data protection for EU residents and addresses the export of personal data outside the EU.

Generic Routing Encapsulation (GRE): A tunneling protocol developed by Cisco Systems that can encapsulate various Network layer protocols inside virtual point-to-point links.

GIF: See *Graphics Interchange Format (GIF)*.

GLBA: See *Gramm-Leach-Bliley Act (GLBA)*.

Gramm-Leach-Bliley Act (GLBA): A U.S. law that requires financial institutions to implement privacy and information security policies to safeguard the non-public personal information of clients and consumers.

Graphics Interchange Format (GIF): A bitmap image format that allows up to 256 colors and is suitable for images or logos (but not photographs).

GRE: See *Generic Routing Encapsulation (GRE)*.

hacker: Term originally used to refer to anyone with highly specialized computing skills, without connoting good or bad purposes. However, common misuse of the term has redefined a hacker as someone that circumvents computer security with malicious intent, such as a cybercriminal, cyberterrorist, or hacktivist.

hash signature: A cryptographic representation of an entire file or program's source code.

Health Insurance Portability and Accountability Act (HIPAA): A U.S. law that defines data privacy and security requirements to protect individuals' medical records and other personal health information. See also *covered entity* and *protected health information (PHI)*.

heap spray: A technique used to facilitate arbitrary code execution by injecting a certain sequence of bytes into the memory of a target process.

hextet: A group of four 4-bit hexadecimal digits in a 128-bit IPv6 address. See also *Internet Protocol (IP) address*.

high-order bits: The first four bits in a 32-bit IPv4 address octet. See also *Internet Protocol (IP) address*, *octet*, and *low-order bits*.

HIPAA: See *Health Insurance Portability and Accountability Act (HIPAA)*.

hop count: The number of router nodes that a packet must pass through to reach its destination.

hosted hypervisor: A hypervisor that runs within an operating system environment. Also known as a Type 2 hypervisor. See also *hypervisor* and *native hypervisor*.

HTTP: See *Hypertext Transfer Protocol (HTTP)*.

HTTPS: See *Hypertext Transfer Protocol Secure (HTTPS)*.

hub: A device used to connect multiple networked devices together on a local-area network (LAN). Also known as a concentrator.

Hypertext Transfer Protocol (HTTP): An application protocol used to transfer data between web servers and web browsers.

Hypertext Transfer Protocol Secure (HTTPS): A secure version of HTTP that uses SSL or TLS encryption. See also *Secure Sockets Layer (SSL)* and *Transport Layer Security (TLS)*.

hypervisor: Technology that allows multiple, virtual (or guest) operating systems to run concurrently on a single physical host computer.

IaaS: See *Infrastructure as a service (IaaS)*.

IaC: See *infrastructure as code (IaC)*.

IAM: See *Identity and Access Management (IAM)*.

IANA: See *Internet Assigned Numbers Authority (IANA)*.

ICMP: See *Internet Control Message Protocol (ICMP)*.

IDE: See *integrated development environment (IDE)*.

Identity and Access Management (IAM): A framework of business processes, policies, and technologies that facilitates the management of electronic or digital identities.

IETF: See *Internet Engineering Task Force (IETF)*.

IMAP: See *Internet Message Access Protocol (IMAP)*.

indicator of compromise (IoC): A network or operating system (OS) artifact that provides a high level of confidence that a computer security incident has occurred.

infrastructure as a service (IaaS): A cloud computing service model in which customers can provision processing, storage, networks, and other computing resources and deploy and run operating systems and applications. However, the customer has no knowledge of, and does not manage or control, the underlying cloud infrastructure. The customer has control over operating systems, storage, and deployed applications, along with some networking components (for example, host firewalls). The company owns the deployed applications and data, and it is therefore responsible for the security of those applications and data.

infrastructure as code (IaC): A DevOps process in which developers or IT operations teams can programmatically provision and manage the infrastructure stack (such as virtual machines, networks, and connectivity) for an application in software. See also *DevOps*.

initialization vector (IV): A random number used only once in a session, in conjunction with an encryption key, to protect data confidentiality. Also known as a nonce.

integrated development environment (IDE): A software application that provides comprehensive tools such as a source code editor, build automation tools, and a debugger for application developers.

inter-process communication (IPC): A mechanism in an operating system that makes it possible to concurrently coordinate activities and manage shared data between different program processes.

Internet Assigned Numbers Authority (IANA): A private, nonprofit U.S. corporation that oversees global IP address allocation, autonomous system (AS) number allocation, root zone management in the Domain Name System (DNS), media types, and other Internet Protocol-related symbols and internet numbers. See also *autonomous system (AS)* and *Domain Name System (DNS)*.

Internet Control Message Protocol (ICMP): An internet protocol used to transmit diagnostic messages.

Internet Engineering Task Force (IETF): An open international community of network designers, operators, vendors, and researchers concerned with the evolution of the internet architecture and the smooth operation of the internet.

Internet Message Access Protocol (IMAP): A store-and-forward email protocol that allows an email client to access, manage, and synchronize email on a remote server.

internet of things (IoT): The IoT refers to the network of physical smart, connected objects that are embedded with electronics, software, sensors, and network connectivity.

Internet Protocol (IP) address: A 32-bit or 128-bit identifier assigned to a networked device for communications at the Network layer of the OSI model or the Internet layer of the TCP/IP model. See also *Open Systems Interconnection (OSI) model* and *Transmission Control Protocol/Internet Protocol (TCP/IP) model*.

intranet: A private network that provides information and resources such as a company directory, human resources policies and forms, department or team files, and other internal information to an organization's users. Like the internet, an intranet uses the HTTP and/or HTTPS protocols, but access to an intranet typically is restricted to an organization's internal users. Microsoft SharePoint is a popular example of intranet software. See also *Hypertext Transfer Protocol (HTTP)* and *Hypertext Transfer Protocol Secure (HTTPS)*.

IoC: See *indicator of compromise (IoC)*.

IoT: See *internet of things (IoT)*.

IP address: See *Internet Protocol (IP) address*.

IP telephony: See *Voice over Internet Protocol (VoIP)*.

IPC: See *inter-process communication (IPC)*.

IV: See *initialization vector (IV)*.

jailbreaking: Hacking an Apple iOS device to gain root-level access to the device. This hacking is sometimes done by end users to allow them to download and install mobile apps without paying for them, from sources, other than the App Store, that are not sanctioned and/or controlled by Apple. Jailbreaking bypasses the security features of the device by replacing the firmware's operating system with a similar, albeit counterfeit version, which makes the device vulnerable to malware and exploits. See also *rooting*.

Joint Photographic Experts Group (JPEG): A photographic compression method used to store and transmit photographs.

JPEG: See *Joint Photographic Experts Group (JPEG)*.

Kerberos: An authentication protocol in which tickets are used to identify network users.

LAN: See *local-area network (LAN)*.

least privilege: A network security principle in which only the permission or access rights necessary to perform an authorized task are granted.

least significant bit: The last bit in a 32-bit IPv4 address octet. See also *Internet Protocol (IP) address*, *octet*, and *most significant bit*.

linear bus topology: See *bus topology*.

LLC: See *Logical Link Control (LLC)*.

local-area network (LAN): A computer network that connects laptop and desktop computers, servers, printers, and other devices so that applications, databases, files and file storage, and other networked resources can be shared across a relatively small geographic area such as a floor, a building, or a group of buildings.

Logical Link Control (LLC): A sublayer of the OSI model Data Link layer that manages the control, sequencing, and acknowledgement of frames and manages timing and flow control. See also *Open Systems Interconnection (OSI) model* and *flow control*.

Long-Term Evolution (LTE): A type of 4G cellular connection that provides fast connectivity primarily for mobile internet use.

low-order bits: The last four bits in a 32-bit IPv4 address octet. See also *Internet Protocol (IP) address*, *octet*, and *high-order bits*.

LTE: See *Long-Term Evolution (LTE)*.

M2M: See *machine to machine (M2M)*.

MAC address: See *media access control (MAC) address*.

machine learning: A subset of AI that applies algorithms to large datasets to discover common patterns in the data that then can be used to improve the performance of the system. See also *artificial intelligence (AI)*.

machine to machine (M2M): M2M devices are networked devices which exchange data and can perform actions without manual human interaction.

malware: Malicious software or code that typically damages, takes control of, or collects information from an infected endpoint. Malware broadly includes viruses, worms, trojan horses (including remote access trojans, or RATs), anti-AV, logic bombs, back doors, root kits, boot kits, spyware, and (to a lesser extent) adware.

master boot record (MBR): The first sector on a computer hard drive, containing information about how the logical partitions (or file systems) are organized on the storage media, and an executable boot loader that starts up the installed operating system.

MBR: See *master boot record (MBR)*.

MEC: See *multi-access edge computing (MEC)*.

media access control (MAC) address: A unique 48-bit or 64-bit identifier assigned to a network interface card (NIC) for communications at the Data Link layer of the OSI model. See also *Open Systems Interconnection (OSI) model*.

metamorphism: A programming technique used to alter malware code with every iteration, to avoid detection by signature-based anti-malware software. Although the malware payload changes with each iteration, for example, by using a different code structure or sequence, or inserting garbage code to change the file size, the fundamental behavior of the malware payload remains unchanged. Metamorphism uses more advanced techniques than polymorphism. See also *polymorphism*.

MFA: See *multi-factor authentication (MFA)*.

Microsoft Challenge-Handshake Authentication Protocol (MS-CHAP): A protocol used to authenticate Microsoft Windows-based workstations using a challenge-response mechanism to authenticate PPTP connections without sending passwords. See also *Point-to-Point Tunneling Protocol (PPTP)*.

mixed reality (MR): Includes technologies such as VR, AR, and XR, that deliver an immersive and interactive physical and digital sensory experience in real time. See also *augmented reality (AR)*, *extended reality (XR)*, and *virtual reality (VR)*.

most significant bit: The first bit in a 32-bit IPv4 address octet. See also *Internet Protocol (IP) address*, *octet*, and *least significant bit*.

Motion Picture Experts Group (MPEG): An audio and video compression method used to store and transmit audio and video files.

MPEG: See *Motion Picture Experts Group (MPEG)*.

MPLS: See *multiprotocol label switching (MPLS)*.

MR: See *mixed reality (MR)*.

MS-CHAP: See *Microsoft Challenge-Handshake Authentication Protocol (MS-CHAP)*.

multi-access edge computing (MEC): MEC is defined by the European Telecommunications Standards Institute (ETSI) as an environment “characterized by ultra-low latency and high bandwidth as well as real-time access to radio network information that can be leveraged by applications”.

multicloud: An enterprise cloud environment (or strategy) consisting of two or more public and/or private clouds.

multi-factor authentication (MFA): Any authentication mechanism that requires two or more of the following factors: something you know, something you have, something you are.

multiprotocol label switching (MPLS): MPLS is a networking technology that routes traffic using the shortest path based on “labels,” rather than network addresses, to handle forwarding over private wide-area networks.

mutex: A program object that allows multiple program threads to share the same resource, such as file access, but not simultaneously.

NAT: See *network address translation (NAT)*.

National Cybersecurity Protection Advancement Act of 2015: A U.S. regulation that amends the Homeland Security Act of 2002 to enhance multidirectional sharing of information related to cybersecurity risks and strengthens privacy and civil liberties protections.

native hypervisor: A hypervisor that runs directly on the host computer hardware. Also known as a Type 1 or bare-metal hypervisor. See also *hypervisor* and *hosted hypervisor*.

natural language search: The ability to understand human spoken language and context, rather than a Boolean search, for example, to find information. See also *Boolean*.

NERC: See *North American Electric Reliability Corporation (NERC)*.

network address translation (NAT): A technique used to virtualize IP addresses by mapping private, non-routable IP addresses assigned to internal network devices to public IP addresses.

Network and Information Security (NIS) Directive: A European Union (EU) directive that imposes network and information security requirements for banks, energy companies, healthcare providers and digital service providers, among others.

NIS Directive: See *Network and Information Security (NIS) Directive*.

nonce: See *initialization vector (IV)*.

North American Electric Reliability Corporation (NERC): A not-for-profit international regulatory authority responsible for assuring the reliability of the bulk electric system (BES) in the continental United States, Canada, and the northern portion of Baja California, Mexico. See also *bulk electric system (BES)* and *Critical Infrastructure Protection (CIP)*.

obfuscation: A programming technique used to render code unreadable. It can be implemented using a simple substitution cipher, such as an XOR operation, or more sophisticated encryption algorithms, such as AES. See also *Advanced Encryption Standard (AES)*, *exclusive or (XOR)*, and *packer*.

octet: A group of 8 bits in a 32-bit IPv4 address. See *Internet Protocol (IP) address*.

one-way hash function: A mathematical function that creates a unique representation (a hash value) of a larger set of data in a manner that is easy to compute in one direction (input to output), but not in the reverse direction (output to input). The hash function can’t recover the original text from the hash value. However, an attacker could attempt to guess what the original text was and see if it produces a matching hash value.

Open Systems Interconnection (OSI) model: A seven-layer networking model consisting of

the Application (Layer 7 or L7), Presentation (Layer 6 or L6), Session (Layer 5 or L5), Transport (Layer 4 or L4), Network (Layer 3 or L3), Data Link (Layer 2 or L2), and Physical (Layer 1 or L1) layers. Defines standard protocols for communication and interoperability using a layered approach in which data is passed from the highest layer (application) downward through each layer to the lowest layer (physical), then transmitted across the network to its destination, then passed upward from the lowest layer to the highest layer. See also *data encapsulation*.

optical carrier: A standard specification for the transmission bandwidth of digital signals on SONET fiber optic networks. Optical carrier transmission rates are designated by the integer value of the multiple of the base rate (51.84Mbps). For example, OC-3 designates a 155.52Mbps (3 x 51.84) network and OC-192 designates a 9953.28Mbps (192 x 51.84) network. See also *synchronous optical networking (SONET)*.

OSI model: See *Open Systems Interconnection (OSI) model*.

PaaS: See *platform as a service (PaaS)*.

packer: A software tool that can be used to obfuscate code by compressing a malware program for delivery, then decompressing it in memory at runtime. See also *obfuscation*.

packet capture (pcap): A traffic intercept of data packets that can be used for analysis.

packet-switched network: A network in which devices share bandwidth on communications links to transport packets between a sender and receiver across a network.

PAP: See *Password Authentication Protocol (PAP)*.

Password Authentication Protocol (PAP): An authentication protocol used by PPP to validate users with an unencrypted password. See also *Point-to-Point Protocol (PPP)*.

Payment Card Industry Data Security Standards (PCI DSS): A proprietary information security standard mandated and administered by the PCI Security Standards Council (SSC), and applicable to any organization that transmits, processes, or stores payment card (such as debit and credit cards) information. See also *PCI Security Standards Council (SSC)*.

pcap: See *packet capture (pcap)*.

PCI: See *Payment Card Industry Data Security Standards (PCI DSS)*.

PCI DSS: See *Payment Card Industry Data Security Standards (PCI DSS)*.

PCI Security Standards Council (SSC): A group comprising Visa, MasterCard, American Express, Discover, and JCB that maintains, evolves, and promotes PCI DSS. See also *Payment Card Industry Data Security Standards (PCI DSS)*.

PDU: See *protocol data unit (PDU)*.

Personal Information Protection and Electronic Documents Act (PIPEDA): A Canadian privacy law that defines individual rights with respect to the privacy of their personal information, and governs how private sector organizations collect, use, and disclose personal information in the course of business.

personally identifiable information (PII): Defined by the U.S. National Institute of Standards and Technology (NIST) as “any information about an individual maintained by an agency, including (1) any information that can be used to distinguish or trace an individual’s identity... and (2) any other information that is linked or linkable to an individual....”

pharming: A type of attack that redirects a legitimate website’s traffic to a fake site.

PHI: See *protected health information (PHI)*.

PII: See *personally identifiable information (PII)*.

PIPEDA: See *Personal Information Protection and Electronic Documents Act (PIPEDA)*.

PKI: See *public key infrastructure (PKI)*.

platform as a service (PaaS): A cloud computing service model in which customers can deploy supported applications onto the provider's cloud infrastructure, but the customer has no knowledge of, and does not manage or control, the underlying cloud infrastructure. The customer has control over the deployed applications and limited configuration settings for the application-hosting environment. The company owns the deployed applications and data, and it is therefore responsible for the security of those applications and data.

playbooks: Task-based graphic workflows that help visualize processes across security products. Playbooks can be fully automated, fully manual, or anywhere in between. Also known as runbooks.

PoE: See *Power over Ethernet (PoE)*.

Point-to-Point Protocol (PPP): A Layer 2 (Data Link) protocol layer used to establish a direct connection between two nodes.

Point-to-Point Tunneling Protocol (PPTP): An obsolete method for implementing virtual private networks, with many known security issues, that uses a TCP control channel and a GRE tunnel to encapsulate PPP packets. See also *Transmission Control Protocol (TCP)*, *Generic Routing Encapsulation (GRE)*, and *Point-to-Point Protocol (PPP)*.

polymorphism: A programming technique used to alter a part of malware code with every iteration, to avoid detection by signature-based anti-malware software. For example, an encryption key or decryption routine may change with every iteration, but the malware payload remains unchanged. See also *metamorphism*.

POP3: See *Post Office Protocol Version 3 (POP3)*.

Post Office Protocol Version 3 (POP3): An email retrieval protocol that allows an email client to access email on a remote email server.

Power over Ethernet (PoE): A network standard that provides electrical power to certain network devices over Ethernet cables.

PPP: See *Point-to-Point Protocol (PPP)*.

PPTP: See *Point-to-Point Tunneling Protocol (PPTP)*.

pre-shared key (PSK): A shared secret, used in symmetric key cryptography that has been exchanged between two parties communicating over an encrypted channel.

private cloud: A cloud computing model that consists of a cloud infrastructure that is used exclusively by a single organization.

product integrations (or apps): Mechanisms through which SOAR platforms communicate with other products. These integrations can be executed through REST APIs, webhooks, and other techniques. An integration can be unidirectional or bidirectional, with the latter allowing both products to execute cross-console actions. See also *security orchestration, automation, and response (SOAR)*, *representational state transfer (REST)*, and *application programming interface (API)*.

protect surface: In a Zero Trust architecture, the protect surface consists of the most critical and valuable data, assets, application, and services (DAAS) on a network.

protected health information (PHI): Defined by HIPAA as information about an individual's health status, provision of healthcare, or payment for healthcare that includes identifiers such as names, geographic identifiers (smaller than a state), dates, phone and fax numbers, email addresses, Social Security numbers, medical record numbers, or photographs. See also *Health*

Insurance Portability and Accountability Act (HIPAA).

protocol data unit (PDU): A self-contained unit of data (consisting of user data or control information and network addressing).

PSK: See *pre-shared key (PSK)*.

public cloud: A cloud computing deployment model that consists of a cloud infrastructure that is open to use by the general public.

public key infrastructure (PKI): A set of roles, policies, and procedures needed to create, manage, distribute, use, store, and revoke digital certificates and manage public key encryption.

QoS: See *quality of service (QoS)*.

quality of service (QoS): The overall performance of specific applications or services on a network including error rate, bit rate, throughput, transmission delay, availability, jitter, etc. QoS policies can be configured on certain network and security devices to prioritize certain traffic, such as voice or video, over other, less performance-intensive traffic, such as file transfers.

RADIUS: See *Remote Authentication Dial-In User Service (RADIUS)*.

rainbow table: A pre-computed table used to find the original value of a cryptographic hash function.

RARP: See *Reverse Address Resolution Protocol (RARP)*.

RASP: See *runtime application self-protection (RASP)*.

RBAC: See *role-based access control (RBAC)*.

recursive DNS query: A DNS query that is performed (if the DNS server allows recursive queries) when a DNS server is not authoritative for a destination domain. The non-authoritative DNS server obtains the IP address of the authoritative DNS server for the destination domain and sends the original DNS request to that server to be resolved. See also *Domain Name System (DNS)* and *authoritative DNS server*.

Remote Authentication Dial-In User Service (RADIUS): A client-server protocol and software that enables remote access servers to communicate with a central server to authenticate users and authorize access to a system or service.

Remote Procedure Call (RPC): An inter-process communication (IPC) protocol that enables an application to be run on a different computer or network, rather than on the local computer on which it is installed.

repeater: A network device that boosts or retransmits a signal to physically extend the range of a wired or wireless network.

representational state transfer (REST): An architectural programming style that typically runs over HTTP, and is commonly used for mobile apps, social networking websites, and mashup tools. See also *Hypertext Transfer Protocol (HTTP)*.

REST: See *representational state transfer (REST)*.

Reverse Address Resolution Protocol (RARP): A protocol that translates a physical MAC address to a logical address. See also *media access control (MAC) address*.

ring topology: A LAN topology in which all nodes are connected in a closed loop that forms a continuous ring. In a ring topology, all communication travels in a single direction around the ring. Ring topologies were common in token ring networks. See also *local-area network (LAN)*.

role-based access control (RBAC): A method for implementing discretionary access controls in which access decisions are based on group membership, according to organizational or functional roles.

rooting: The Google Android equivalent of jailbreaking. See *jailbreaking*.

router: A network device that sends data packets to a destination network along a network path.

RPC: See *remote procedure call (RPC)*.

runtime application self-protection (RASP): Technology that detects attacks against an application in real time. RASP continuously monitors an app's behavior and the context of behavior to immediately identify and prevent malicious activity.

SaaS: See *software as a service (SaaS)*.

salt: Randomly generated data that is used as an additional input to a one-way hash function that hashes a password or passphrase. The same original text hashed with different salts results in different hash values. See also *one-way hash function*.

Sarbanes-Oxley (SOX) Act: A U.S. law that increases financial governance and accountability in publicly traded companies.

SASE: See *Secure Access Service Edge (SASE)*.

SCM: See *software configuration management (SCM)*.

script kiddie: Someone with limited hacking and/or programming skills that uses malicious programs (malware) written by others to attack a computer or network. See also *malware*.

SCTP: See *Stream Control Transmission Protocol (SCTP)*.

SD-WAN: See *software-defined wide-area network (SD-WAN)*.

Secure Access Service Edge (SASE): An integrated solution that provides consistent networking and security services and access to cloud applications delivered through a common framework.

Secure Shell (SSH): A more secure alternative to Telnet for remote access. SSH establishes an encrypted tunnel between the client and the server, and also can authenticate the client to the server. See also *telnet*.

Secure Sockets Layer (SSL): A cryptographic protocol for managing authentication and encrypted communication between a client and server to protect the confidentiality and integrity of data exchanged in the session.

secure web gateway (SWG): A security platform or service that is designed to maintain visibility in web traffic. Additional functionality may include web content filtering.

security orchestration, automation, and response (SOAR): Technology that helps coordinate, execute, and automate tasks between various people and tools, allowing companies to respond quickly to cybersecurity attacks and improve their overall security posture. SOAR tools use playbooks to automate and coordinate workflows that may include any number of disparate security tools and human tasks. See also *playbook*.

serverless: Generally refers to an operational model in cloud computing in which applications rely on managed services that abstract away the need to manage, patch, and secure infrastructure and virtual machines. Serverless applications rely on a combination of managed cloud services and FaaS offerings. See also *function as a service (FaaS)*.

service set identifier (SSID): A case sensitive, 32-character alphanumeric identifier that uniquely identifies a Wi-Fi network.

Session Initiation Protocol (SIP): An open signaling protocol standard for establishing, managing, and terminating real-time communications such as voice, video, and text over large IP-based networks.

Simple Mail Transfer Protocol (SMTP): A protocol used to send and receive email across the

internet.

Simple Network Management Protocol (SNMP): A protocol used to collect information by polling stations and sending traps (or alerts) to a management station.

SIP: See *Session Initiation Protocol (SIP)*.

SMTP: See *Simple Mail Transfer Protocol (SMTP)*.

SNMP: See *Simple Network Management Protocol (SNMP)*.

SOAR: See *security orchestration, automation, and response (SOAR)*.

software as a service (SaaS): A category of cloud computing services in which the customer is provided access to a hosted application that is maintained by the service provider.

software-defined wide-area network (SD-WAN): A virtualized service that separates the network control and management processes from the underlying hardware in a wide-area network, and makes them available as software.

software configuration management (SCM): The task of tracking and controlling changes in software.

SONET: See *synchronous optical networking (SONET)*.

SOX: See *Sarbanes-Oxley (SOX) Act*.

spear phishing: A highly targeted phishing attack that uses specific information about the target to make the phishing attempt appear legitimate.

SSH: See *Secure Shell (SSH)*.

SSID: See *service set identifier (SSID)*.

SSL: See *Secure Sockets Layer (SSL)*.

STIX: See *Structured Threat Information Expression (STIX)*.

Stream Control Transmission Protocol (SCTP): A message-oriented protocol (similar to UDP) that ensures reliable, in-sequence transport with congestion control (similar to TCP). See also *User Datagram Protocol (UDP)* and *Transmission Control Protocol (TCP)*.

Structured Threat Information Expression (STIX): An XML format for conveying data about cybersecurity threats in a standardized format. See also *Extensible Markup Language (XML)*.

subnet mask: A number that hides the network portion of an IPv4 address, leaving only the host portion of the IP address. See also *Internet Protocol (IP) address*.

subnetting: A technique used to divide a large network into smaller, multiple subnetworks.

supernetting: A technique used to aggregate multiple contiguous smaller networks into a larger network to enable more efficient internet routing.

SWG: See *secure web gateway (SWG)*.

switch: An intelligent hub that forwards data packets only to the port associated with the destination device on a network.

synchronous optical networking (SONET): A protocol that transfer multiple digital bit streams synchronously over optical fiber.

T-carrier: A full-duplex digital transmission system that uses multiple pairs of copper wire to transmit electrical signals over a network. For example, a T-1 circuit consists of two pairs of copper wire – one pair transmits, the other pair receives – that are multiplexed to provide a total of 24 channels, each delivering 64Kbps of data, for a total bandwidth of 1.544Mbps.

TCP: See *Transmission Control Protocol (TCP)*.

TCP segment: A PDU defined at the Transport layer of the OSI model. See also *protocol data*

unit (PDU) and Open Systems Interconnection (OSI) model.

TCP/IP model: See *Transmission Control Protocol/Internet Protocol (TCP/IP) model.*

technical debt: A software development concept, which has also been applied more generally to IT, in which additional future costs are anticipated for rework due to an earlier decision or course of action that was necessary for agility, but not necessarily the most optimal or appropriate decision or course of action.

telnet: A terminal emulator used to provide remote access to a system.

three-way handshake: A sequence used to establish a TCP connection. For example, a PC initiates a connection with a server by sending a TCP SYN (Synchronize) packet. The server replies with a SYN ACK packet (Synchronize Acknowledgment). Finally, the PC sends an ACK or SYN-ACK-ACK packet, acknowledging the server's acknowledgement, and data communication commences. See also *Transmission Control Protocol (TCP).*

threat vector: See *attack vector.*

TLD: See *top-level domain (TLD).*

TLS: See *Transport Layer Security (TLS).*

top-level domain (TLD): The highest-level domain in DNS, represented by the last part of a FQDN (for example, .com or .edu). The most commonly used TLDs are generic top-level domains (gTLD) such as .com, edu, .net, and .org, and country-code top-level domains (ccTLD) such as .ca and .us. See also *Domain Name System (DNS).*

Transmission Control Protocol (TCP): A connection-oriented (a direct connection between network devices is established before data segments are transferred) protocol that provides reliable delivery (received segments are acknowledged and retransmission of missing or corrupted segments is requested) of data.

Transmission Control Protocol/Internet Protocol (TCP/IP) model: A four-layer networking model consisting of the Application (Layer 4 or L4), Transport (Layer 3 or L3), Internet (Layer 2 or L2), and Network Access (Layer 1 or L1) layers.

Transport Layer Security (TLS): The successor to SSL (although it still is commonly referred to as SSL). See also *Secure Sockets Layer (SSL).*

Type 1 hypervisor: See *native hypervisor.*

Type 2 hypervisor: See *hosted hypervisor.*

UDP: See *User Datagram Protocol (UDP).*

UDP datagram: A PDU defined at the Transport layer of the OSI model. See also *protocol data unit (PDU), User Datagram Protocol (UDP), and Open Systems Interconnection (OSI) model.*

UEBA: See *user and entity behavior analytics (UEBA).*

user and entity behavior analytics (UEBA): A type of cybersecurity solution or feature that discovers threats by identifying activity that deviates from a normal baseline.

uniform resource identifier (URI): A string of characters that uniquely identifies a resource, using a predefined syntax in a hierarchical naming scheme.

uniform resource locator (URL): A unique reference (or address) to an internet resource, such as a webpage.

URI: See *uniform resource identifier (URI).*

URL: See *uniform resource locator (URL).*

User Datagram Protocol (UDP): A connectionless (a direct connection between network devices is not established before datagrams are transferred) protocol that provides best-effort

delivery (received datagrams are not acknowledged and missing or corrupted datagrams are not requested) of data.

variable-length subnet masking (VLSM): A technique that enables IP address spaces to be divided into different sizes. See also *Internet Protocol (IP) address*.

virtual local-area network (VLAN): A logical network that is created within a physical local-area network.

virtual machine (VM): An emulation of a physical (hardware) computer systems including CPU, memory, disk, operating system, network interfaces, etc.

virtual reality (VR): A simulated digital experience.

VLAN: See *virtual local-area network (VLAN)*.

VLSM: See *variable-length subnet masking (VLSM)*.

VM: See *virtual machine (VM)*.

Voice over Internet Protocol (VoIP): Technology that provides voice communication over an Internet Protocol (IP)-based network. Also known as IP telephony.

VoIP: See *Voice over Internet Protocol (VoIP)*.

VR: See *virtual reality (VR)*.

vulnerability: A bug or flaw that exists in a system or software and creates a security risk.

WAN: See *wide-area network (WAN)*.

watering hole: An attack that compromises websites that are likely to be visited by a targeted victim to deliver malware via a drive-by download. See also *drive-by download*.

Web 2.0: A term popularized by Tim O'Reilly and Dale Dougherty unofficially referring to a new era of the World Wide Web, which is characterized by dynamic or user-generated content, interaction, and collaboration, and the growth of social media. See also *Enterprise 2.0*.

Web 3.0: As defined on ExpertSystem.com, Web 3.0 is characterized by the following five characteristics: Semantic web, artificial intelligence, 3D graphics, connectivity, and ubiquity.

whaling: A type of spear phishing attack that is specifically directed at senior executives or other high-profile targets within an organization. See also *spear phishing*.

wide-area network (WAN): A computer network that connects multiple LANs or other WANs across a relatively large geographic area, such as a small city, a region or country, a global enterprise network, or the entire planet (for example, the internet). See also *local-area network (LAN)*.

wireless repeater: A device that rebroadcasts the wireless signal from a wireless router or AP to extend the range of a Wi-Fi network.

XML: See *Extensible Markup Language (XML)*.

XOR: See *exclusive or (XOR)*.

XR: See *extended reality (XR)*.

zero-day threat: The window of vulnerability that exists from the time a new (unknown) threat is released until security vendors release a signature file or security patch for the threat.

zombie: See *bot*.